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Human Development

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Deborah Robertson, Rialto

Transportation

Alan Wapner, San Bernardino

Associated Governments

## **MEETING OF THE**

# **EXECUTIVE/ADMINISTRATION COMMITTEE**

***Thursday, August 7, 2014  
9:00 a.m. – 10:00 a.m.***

**SCAG Main Office  
818 W. 7th Street, 12th Floor  
Board Room  
Los Angeles, CA 90017  
(213) 236-1800**

If members of the public wish to review the attachments or have any questions on any of the agenda items, please contact Lillian Harris-Neal at (213) 236-1858 or via email at [harris-neal@scag.ca.gov](mailto:harris-neal@scag.ca.gov).

Agendas & Minutes for the Executive/Administration Committee are also available at: <http://www.scag.ca.gov/committees/Pages/default.aspx>

SCAG, in accordance with the Americans with Disabilities Act (ADA), will accommodate persons who require a modification of accommodation in order to participate in this meeting. SCAG is also committed to helping people with limited proficiency in the English language access the agency's essential public information and services. You can request such assistance by calling (213) 236-1858. We require at least 72 hours (three days) notice to provide reasonable accommodations. We prefer more notice if possible. We will make every effort to arrange for assistance as soon as possible.

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## Executive/Administration Committee

### *Members – August 2014*

<u>Members</u>	<u>Representing</u>
<b>Chair 1. Hon. Carl Morehouse</b>	<i>San Buenaventura</i> <b>District 47</b>
<b>Vice-Chair 2. Hon. Cheryl Viegas-Walker</b>	<i>El Centro</i> <b>District 1</b>
<b>2<sup>nd</sup> Vice-Chair 3. Hon. Michele Martinez</b>	<i>Santa Ana</i> <b>District 16</b>
<b>Imm. Past Pres. 4. Hon. Greg Pettis</b>	<i>Cathedral City</i> <b>District 2</b>
5. Hon. Margaret E. Finlay	<i>Duarte</i> District 35
6. Hon. Bill Jahn	<i>Big Bear Lake</i> District 11
7. Hon. Deborah Robertson	<i>Rialto</i> District 8
8. Hon. Lisa Bartlett	<i>Dana Point</i> TCA
9. Hon. Alan Wapner	<i>Ontario</i> SANBAG
10. Hon. Barbara Messina	<i>Alhambra</i> District 34
11. Hon. Pam O'Connor	<i>Santa Monica</i> District 41
12. Hon. Larry McCallon	<i>Highland</i> District 7
13. Hon. Andrew Masiel, Sr.	<i>Pechanga Band of Luiseño Indians</i> Tribal Government Representative
14. Mr. Randall Lewis	<i>Lewis Group of Companies</i> Ex-Officio
15. Hon. Glen Becerra	<i>Simi Valley</i> District 46
16. Hon. Tom LaBonge	<i>Los Angeles</i> District 51
17. Hon. Carmen Ramirez	<i>Oxnard</i> District 45
18. Hon. Julio Rodriguez	<i>Perris</i> District 69

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# EXECUTIVE/ADMINISTRATION COMMITTEE

## AGENDA

### AUGUST 7, 2014

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*The Executive/Administration Committee may consider and act upon any of the items listed on the agenda regardless of whether they are listed as information or action items.*

#### **CALL TO ORDER & PLEDGE OF ALLEGIANCE**

*(Hon. Carl Morehouse, Chair)*

**PUBLIC COMMENT PERIOD** – Members of the public desiring to speak on items on the agenda, or items not on the agenda, but within the purview of the Committee, must fill out and present a Public Comment Card to the Assistant prior to speaking. Comments will be limited to three (3) minutes per speaker provided that the Chair has the discretion to reduce this time limit based upon the number of speakers. The Chair may limit the total time for all public comments to twenty (20) minutes.

#### **REVIEW AND PRIORITIZE AGENDA ITEMS**

#### **EXECUTIVE DIRECTOR'S REPORT**

*(Hasan Ikhata, Executive Director)*

- SCAG/SCLC – “Fifty Years into the War on Poverty” Summit, August 20, 2014

#### **PRESIDENT'S REPORT**

- Summary Report on SCAG Delegation Activities in China, June 2014

**Attachment**

#### **ACTION/DISCUSSION ITEMS**

**Page No.**

1. Federal Transit Administration (FTA) Section 5310 Administration Hybrid Partnership between MPOs and Caltrans  
*(Basil Panas, Chief Financial Officer)*

**Attachment**

**1**

**Recommended Action:** Recommend that the Regional Council approve the Memorandum of Understanding (MOU) between Caltrans and SCAG, and authorize SCAG's Executive Director, or his designee, to execute the MOU and related agreements and documents to implement the FTA Section 5310 program.

2. SCAG Sustainability Planning Grants Program – New Member Project Applications  
*(Hasan Ikhata, Executive Director)*

**Attachment**

**8**

**Recommended Action:** Approve staff recommendation.

# EXECUTIVE/ADMINISTRATION COMMITTEE

## AGENDA

### AUGUST 7, 2014

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#### ACTION/DISCUSSION ITEMS - continued

Page No.

3. Resolution No. 14-561-1 Regarding Acceptance of U.S. Department of Energy (DOE) Funds for the United Parcel Service (UPS) Los Angeles Metro Zero-Emission Delivery Vehicle Demonstration Project  
*(Basil Panas, Chief Financial Officer)* Attachment 9

**Recommended Action:** Recommend that the Regional Council approve Resolution No. 14-561-1 authorizing SCAG to accept, if awarded, DOE Zero Emission Cargo Transport (ZECT) Demonstration Grant Program funds to support the UPS Los Angeles (LA) Metro Zero-Emission Delivery Vehicle Demonstration Project.

4. Resolution No. 14-561-2 Regarding Acceptance of Southern California Active Transportation Safety and Encouragement Campaign Funds  
*(Basil Panas, Chief Financial Officer)* Attachment 13

**Recommended Action:** Recommend that the Regional Council approve Resolution No. 14-561-2 authorizing SCAG to accept, if awarded, the Department of California Transportation's (Caltrans) Active Transportation Program funds to support the Southern California Active Transportation Safety and Encouragement Campaign.

5. Resolution No. 14-561-3 for Federal Highway Administration (FHWA) Funds for the Use of the Infrastructure Voluntary Evaluation Sustainability Tool (INVEST) to Assist in the Development of the 2016 Regional Transportation Plan/Sustainable Communities Strategy (RTP/SCS)  
*(Basil Panas, Chief Financial Officer)* Attachment 17

**Recommended Action:** Recommend that the Regional Council approve Resolution No. 14-561-3, authorizing SCAG to accept FHWA funds to support use of the Infrastructure Voluntary Evaluation Sustainability Tool (INVEST) to assist in the development of the 2016 RTP/SCS.

6. Resolution No. 14-561-4 Regarding Acceptance of Federal Highway Administration (FHWA) Implementation Assistance Program Funds  
*(Basil Panas, Chief Financial Officer)* Attachment 21

**Recommended Action:** Recommend that the Regional Council approve Resolution No. 14-561-4, authorizing SCAG to accept, if awarded, FHWA Implementation Assistance Program funds.

# EXECUTIVE/ADMINISTRATION COMMITTEE

## AGENDA

### AUGUST 7, 2014

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#### **CONSENT CALENDAR**

**Page No.**

##### **Approval Items**

- |   |            |    |
|---|------------|----|
| 7. <u>Minutes of the June 5, 2014 Meeting</u>   | Attachment | 25 |
| 8. <u>Minutes of the July 11, 2014 Special Meeting</u>  | Attachment | 32 |
| 9. <u>Contracts \$200,000 or Greater: Contract No. 14-019-C1, Public Private Partnership (PPP) Finance and Delivery Strategies Screening for the East West Freight Corridor</u> | Attachment | 34 |
| 10. <u>Contracts \$200,000 or Greater: Contract No. 14-024-C1, Interstate 405 (I-405) Corridor Master Plan</u>  | Attachment | 50 |
| 11. <u>Contracts \$200,000 or Greater: Contract No. 15-002-G1, State Governmental Affairs Consulting and Lobbying Services</u>  | Attachment | 66 |
| 12. <u>Amendment(s) Greater than 30% of the Contract's Original Value: Contract No. 13-018, Organizational Development Services</u>   | Attachment | 73 |
| 13. <u>SCAG Memberships and Sponsorship</u>   | Attachment | 77 |

##### **Receive & File**

- |   |                                  |     |
|---|----------------------------------|-----|
| 14. <u>SCAG Sustainability Planning Grants Program – Monthly Update</u>   | Attachment                       | 80  |
| 15. <u>Purchase Orders \$5,000 but less than \$200,000; Contracts \$25,000 but less than \$200,000; and Amendments \$5,000 but less than \$75,000</u> | Attachment                       | 87  |
| 16. <u>State Approved Cap-and-Trade Expenditure Plan</u>  | Attachment                       | 127 |
| 17. <u>August 2014 State and Federal Legislative Update</u>   | To be distributed at the meeting |     |

#### **CFO MONTHLY FINANCIAL REPORT** *(Basil Panas, Chief Financial Officer)*

Attachment 159

#### **FUTURE AGENDA ITEM(S)**

#### **ANNOUNCEMENTS**

#### **ADJOURNMENT**

*The next EAC meeting will be held on Thursday, September 11, 2014 at the SCAG Los Angeles Office.*

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# REPORT

**DATE:** August 7, 2014

**TO:** Executive/Administration Committee (EAC)  
Regional Council (RC)

**FROM:** Hon. Carl Morehouse, President, SCAG

**SUBJECT:** Summary Report on SCAG Delegation Activities in China, June 2014

**EXECUTIVE DIRECTOR'S APPROVAL:**

**RECOMMENDED ACTION:**

For Information Only – No Action Required.

**EXECUTIVE SUMMARY:**

*As SCAG President, I participated in and represented the SCAG delegation at the International Regional Planning and Interagency Collaboration Discussion (IRPICD) Workshop, organized by the Institute of Policy and Management (IPM), Chinese Academy of Sciences (CAS). The conference was held in Beijing, China from June 19-20, 2014. Upon an invitation from Foreign Affairs Office of Hebei Province of China, SCAG staff also attended the Sustainability and Smart Growth conference in Hebei from June 24-25. While there, I signed, on behalf of SCAG, a Memorandum of Understanding (MOU) with Jingsu Transportation Institute (JSTI) in Nanjing, Capital of Jingsu Province. The Chinese governments informed me of that they were impressed with SCAG's initiative and efforts in advancing sustainability from various angles including land use, transportation, environment, public health, and environmental justice. China desires to collaborate with SCAG in the future including its governance reform; regional planning and policy; air quality regulations; and major projects involving technical analysis and modeling.*

**STRATEGIC PLAN:**

This item supports SCAG's Strategic Plan, Goal 1: Improve Regional Decision Making by Providing Leadership and Consensus Building on Key Plans and Policies, Objective a) Create and facilitate a collaborative and cooperative environment to produce forward thinking regional plans and Goal 4: Develop, Maintain and Promote the Utilization of State of the Art Models, Information Systems and Communication Technologies, Objective a) Develop and maintain planning models that support regional planning and Objective c) Maintain a leadership role in the modeling and planning data/GIS communities.

**BACKGROUND:**

After approval from the Regional Council, SCAG sent a delegation to China. I led the delegation where we attended the IRPICD Workshop organized by IPM, CAS in Beijing, China from June 19-20, 2014. IPM is a top think-tank to the Chinese Central Government for decision-making at the national and macro-level focusing on sustainable socioeconomic development and strategic planning and policy formation.

# REPORT

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I, and other delegates, gave a series of presentations on SCAG governance; programs and policies; RTP/SCS and collaboration; sustainable development; and air quality planning. In the conference discussion, SCAG staff provided recommendations to China's top decision-makers on regional planning and policy to help improve air quality and collaboration in China. In Nanjing, capital of Jiangsu province of China, the delegation had a meeting with Jingsu Transportation Institute (JSTI) and signed a MOU with JSTI for joint research on transportation strategies for sustainable development in cities and regions of both countries. The SCAG delegation also attended another conference of Sustainability and Smart Growth in Hebei from June 24-25.

JSTI is principally engaged in transportation planning and traffic engineering consulting, as well as project contracting business. JSTI has over 2,500 employees and primarily provides various services for roads, bridges, railways and tracks, rock and tunneling, as well as marine traffic and municipal engineering, including investigation, design, consulting, testing, detection, supervision, contract research and development, and other related technology services. The Company also engages in the environmental engineering and project construction business.

Some additional highlights of the trip are as follows:

- SCAG delegation's presentation is the first conference hosted by China's top think-tank, Institute of Policy and Management (IPM) of Chinese Academy held in Beijing. The focus of the conference was on how China can tackle its air pollution problems, particularly PM2.5. After the conclusion of the conference, both parties further discussed our potential collaborations including a few concrete joint programs that will benefit both countries and organizations;
- The MOU with JSTI, that established the platform for SCAG's future collaboration with China;
- SCAG's presentation on sustainability planning and policies was attended by more than 200 representatives from government agencies and planning executives; and
- SCAG's official visits to Beijing historical sites and preservation (Great Wall and Forbidden City), Beijing City architecture planning and design; the MAGLEV ride in Shanghai, Shanghai City Planning Exhibit Hall; Shanghai Central Business District; and Nanjing Historical Conservation District.

## **ATTACHMENT:**

MOU between SCAG and Jiangsu Transportation Institute (JSTI)

# **MEMORANDUM OF UNDERSTANDING**

between

**JIANGSU TRANSPORTATION INSTITUTE**

and

**SOUTHERN CALIFORNIA ASSOCIATION OF GOVERNMENTS**

**June 23<sup>rd</sup>, 2014**

THE SOUTHERN CALIFORNIA ASSOCIATION OF GOVERNMENTS (SCAG) and JIANGSU TRANSPORTATION INSTITUTE (JSTI) (hereinafter referred to as "the Parties") agree to the following Memorandum of Understanding (MOU) for the purpose of promoting mutual cooperation in the fields of sustainable low-carbon transportation development; strategic policy formation; and fuel & emission reduction strategies through local policies on sustainable transportation planning and environmental protection.

## **Article I**

The purpose of this MOU is to foster research capabilities in the areas of public policy, and urban and regional transportation planning on the basis of a cooperative, mutually beneficial relationship between the Parties.

## **Article II**

The Parties shall mutually cooperate to perform the following activities and meet on a case-by-case basis to discuss additional details and terms if deemed necessary:

1. Co-hosting open forums and joint symposia, etc.
2. Exchanging information and periodical publications
3. Implementing joint research on transportation strategies for sustainable cities and regions

## **Article III**

Proposals for all cooperative activities may be initiated by either Party, but are subject to approval by both Parties. Activities including joint projects and conferences will be co-sponsored by both Parties as mutually agreed. The objective, content, form, duration, costs, and other terms and conditions of each cooperative activity shall be the subject of a separate agreement or instrument, as appropriate, to be negotiated by the Parties.

#### Article IV

Scientific information derived from cooperative activities may be made available through customary channels according to the normal procedures of each Party. For publication of results derived from joint research projects, either Party shall obtain prior consent from the other Party.

#### Article V

This MOU shall be effective from the date of signing and shall be valid for three years. It shall be automatically renewed on that date and will remain valid and effective unless either Party notifies in writing the other Party, at least six months before the renewal date, of its intention to terminate the agreement.

**IN WITNESS WHEREOF, the Parties have caused this agreement, signed by their authorized representatives, to be executed in duplicate copies in English/Chinese with each of the copies being equally authentic.**

**For JIANGSU TRANSPORTATION INSTITUTE (JSTI)**

**Dapeng Li, President of Transportation Planning Department, JSTI**

Sign : Lidapeng  
Date: 2014.6.23

**For SOUTHERN CALIFORNIA ASSOCIATION OF GOVERNMENTS (SCAG)**

**Carl Morehouse, President, SCAG**

Sign : Carl E. Morehouse  
Date: June 23, 2014



# 谅解备忘录

江苏省交通科学研究院股份有限公司(JSTI)  
美国南加利福尼亚州政府联合会(SCAG)

江苏省交通科学研究院股份有限公司与美国南加州政府联合会（以下简称双方）以本谅解备忘录形式达成协议，以增强双方合作互利为目标，在低碳可持续交通发展研究，交通战略政策企划，交通节能减排战略政策，可持续交通发展规划和环境保护等方面开展广泛合作。

## 条款一

本备忘录旨在公共政策研究、城市和区域交通规划研究领域建立双方之间的互利合作，增强各自以及协作研究的能力。

## 条款二

协议双方应本着互利合作的初衷开展下列活动/研究，将根据必要性针对具体案例进行会晤，并讨论协商额外的具体细节和条款：

1. 共同主持开放式论坛、联合研讨会等；
2. 开展信息和出版物的互换；
3. 针对可持续城市及区域交通战略开展合作研究；

## 条款三

以合作形式开展的任何活动可由任意一方提议，但是必须获得双方的同时认可。活动包括联合项目和会议将由双方共同承担。每项活动的目的、内容、形式、时间、花费，和其他条款/条件将由双方妥善协商决定，并以独立文件或协议形式记录。

条款四


来自合作活动的科学信息可以根据每一方的正常程序通过专用渠道分享。任何一方若欲对联合研究项目的成果进行公布或发表，须从另一方获得事先认可。

条款五


本备忘录应自签署之日起有效，有效期为三年。它将自动更新并保持有效，除非本协议任何一方提前六个月书面通知另一方以意图终止协议。

兹证明，双方签署本协议，由其授权代表签署，将在每一本具有同等效力的英文/中文副本执行。

江苏省交通科学研究院股份有限公司 (JSTI)

李大鹏，副总裁  
签名:   
日期: 2014.6.23

南加利福尼亚州政府联合会 (SCAG)

Carl Morehouse, 主席  
签名:   
日期: June 23 2014

**DATE:** August 7, 2014

**TO:** Executive Administrative Committee (EAC)  
Regional Council (RC)

**FROM:** Basil Panas, Chief Financial Officer, [panas@scag.ca.gov](mailto:panas@scag.ca.gov), 213-236-1955

**SUBJECT:** Federal Transit Administration (FTA) Section 5310 Administration Hybrid Partnership between MPOs and Caltrans

**EXECUTIVE DIRECTOR'S APPROVAL:**



**RECOMMENDED ACTION FOR EAC:**

Recommend that the Regional Council approve the Memorandum of Understanding (MOU) between Caltrans and SCAG, and authorize SCAG's Executive Director, or his designee, to execute the MOU and related agreements and documents to implement the FTA Section 5310 program.

**RECOMMENDED ACTION FOR RC:**

Approve the Memorandum of Understanding (MOU) between Caltrans and SCAG, and authorize SCAG's Executive Director or his designee to execute the MOU and related agreements and documents to implement the FTA Section 5310 program.

**EXECUTIVE SUMMARY:**

*In January 2014, staff presented information about the Designated Recipients for the FTA Section 5310, Enhanced Mobility of Seniors and Individuals with Disabilities grant program. Following the naming of Designated Recipients by the Governor on April 23, 2014, the State Department of Transportation (Caltrans) consulted with the California Metropolitan Planning Organizations (MPOs) in regards to a memorandum of understanding (MOU) that defines responsibilities of each agency involved.*

**STRATEGIC PLAN:**

This item supports SCAG's Strategic Plan Goal 3: Enhance the Agency's Long Term Financial Stability and Fiscal Management.

**BACKGROUND:**

Under the terms of Moving Ahead for Progress in the 21st Century (MAP-21), the recipient charged with administering the Section 5310 Program in urbanized areas over 200,000 in population must be officially designated after a process of consultation prior to grant award. SCAG engaged in extensive consultation with Caltrans and the County Transportation Commissions (CTC) in the region, and agreed that Caltrans would be named as the Designated Recipient for the large urbanized areas within the SCAG region, with the exception of urbanized areas within Los Angeles and Ventura counties. These Designated Recipients were approved by the Governor in the attached letter dated April 23, 2014 (Attachment 1).

During the consultation process, the stakeholders decided in the best interest of the region, to select the option known as the "Administrative Hybrid – Partnership" for the Counties of Orange, Riverside, and San Bernardino. Under this option, Caltrans is the Designated Recipient and is responsible for overall grant

program management, including procurement and entering agreements with subrecipients. In coordination with the CTCs, SCAG will be responsible for transmitting the locally selected Section 5310 program of projects to Caltrans, subject to Regional Council approval. In order to clearly define the responsibilities, Caltrans has requested that the attached MOU is executed between SCAG and Caltrans (Attachment 2).

**FISCAL IMPACT:**

SCAG is not receiving any FTA Section 5310 program funds. However, SCAG has programmed \$107,383 in Transportation Development Act funds for the Fiscal Year 2014-15 Overall Work Program to provide funding for the Section 5310 grant administration responsibilities.

**ATTACHMENTS:**

1. California Governor Designated Recipients Letter, Section 5310 Program (Large Urbanized Areas)
2. MOU No. M-003-15





OFFICE OF THE GOVERNOR

April 23, 2014

Mr. Leslie Rogers  
Regional Administrator, Region IX  
Federal Transit Administration  
201 Mission Street, Suite 1650  
San Francisco, CA 94105

Dear Mr. Rogers:

As Governor of the State of California, I hereby delegate authority of "Designated Recipient" for large urbanized areas of California for the Federal Transit Administration (FTA) Enhanced Mobility of Seniors and Individuals with Disabilities Section 5310 program as outlined in the attachment. Each designated recipient shall administer the program pursuant to the recently enacted federal transportation reauthorization—*Moving Ahead for Progress in the 21<sup>st</sup> Century* (MAP 21), interim MAP 21 guidance published in the Federal Register on October 16, 2012, and any subsequent program circulars or guidance published by the FTA.

Sincerely,

A handwritten signature in black ink, reading "Edmund G. Brown Jr.", with a large, stylized flourish extending from the end of the signature.

Edmund G. Brown Jr.

Enclosure: Large UZA – Designated Recipients list

c: The Honorable Brian P. Kelly, Secretary, California State Transportation Agency  
Mr. Malcolm Dougherty, Director, California Department of Transportation  
Mr. Ahron Hakimi, Executive Director, Kern Council of Governments  
Mr. Andrew T. Chesley, Executive Director, San Joaquin Council of Governments  
Mr. Carlos Yamzon, Executive, Director Stanislaus Council of Governments  
Mr. Gary Gallegos, Executive Director, San Diego Association of Governments  
Mr. Hasan Ikhata, Executive Director, Southern California Association of Governments  
Mr. Mike McKeever, Chief Executive Officer, Sacramento Area Council of Governments  
Mr. Steve Heminger, Executive Director, Metropolitan Transportation Commission  
Mr. Ted Smalley, Executive Director, Tulare County Association of Governments  
Mr. Tony Boren, Executive Director, Fresno Council of Governments

Large UZA  
Designated Recipients List

<b><u>Large UZA</u></b>	<b><u>Designated Recipient</u></b>
1) Antioch	Caltrans
2) Concord	Caltrans
3) San Francisco - Oakland	Caltrans
4) San Jose	Caltrans
5) Santa Rosa	Caltrans
6) Indio-Cathedral City County of Riverside	Caltrans
7) Lancaster-Palmdale County Los Angeles	Los Angeles County Metropolitan Transportation Authority (Metro)
8) Los Angeles-Long Beach-Anaheim County of Los Angeles County of Orange County of San Bernardino	Metro Caltrans Caltrans
9) Mission Viejo-Lake Forest-San Clemente County of Orange	Caltrans
10) Murrieta-Temecula-Menifee County of Riverside	Caltrans
11) Oxnard County of Ventura	Ventura County Transportation Commission (VCTC)
12) Thousand Oaks County of Ventura	Ventura County Transportation Commission (VCTC)
13) Riverside-San Bernardino County of Riverside County of San Bernardino	Caltrans Caltrans
14) Santa Clarita County of Los Angeles	Metro
15) Victorville-Hesperia County of San Bernardino	Caltrans
16) Bakersfield	Caltrans
17) Fresno	Fresno COG
18) Modesto	Caltrans
19) Sacramento	Caltrans
20) San Diego	San Diego Association of Governments
21) Stockton	Caltrans
21) Visalia	Caltrans

## **MEMORANDUM OF UNDERSTANDING BETWEEN THE CALIFORNIA DEPARTMENT OF TRANSPORTATION AND MPO/LARGE UZA**

*Administrative Hybrid – Partnership between [Southern California Association of Governments], a UZA/MPO, and the California Department of Transportation, Division of Rail and Mass Transportation, for the Federal Transit Administration Section 5310 Program Under Moving Ahead for Progress in the 21<sup>st</sup> Century.*

### **Overview**

The California Department of Transportation (Caltrans), Division of Rail and Mass Transportation (DRMT) has administered the Federal Transit Administration (FTA) Section 5310 grant program since the 1972 as the California Designated Recipient.

Moving Ahead for Progress in the 21<sup>st</sup> Century (MAP 21), the current federal transportation funding law, allows Metropolitan Planning Organizations (MPOs) or eligible Large Urbanized Area (UZA) agencies to assume all or some of the administrative responsibility traditionally bestowed designated recipients.

Seeking to minimize the effects that MAP 21 administrative transitions could have on the statewide program, a dialogue with Caltrans 5310 stakeholders took place in the summer of Fiscal Year 2013 to collaboratively determine the best way to honor eligible administrative activities that MAP 21 allows.

This Memorandum of Understanding (MOU) defines responsibilities for one of three options that were identified at the collaborative dialogue. The Option is called the “Administrative Hybrid – Partnership” between UZAs/MPOs and Caltrans. This Option retains Caltrans as the Designated Recipient. However, UZA/MPO project scoring, selection and programming decisions will be delegated to UZAs/ MPOs that chose this option. Caltrans DRMT will continue to support all other program requirements set by the FTA.

A Summary of Responsibilities follows:

### **Specific MPO Responsibilities:**

Establish administrative systems that deliver:

- An application –
  - Caltrans DRMT will provide the Caltrans template that MPOs /UZAs may use if they choose or
  - MPOs/UZAs develop their own project selection criteria that is consistent with their coordinated planning process ensuring that it is fair and equitable, and that all applicants certify and assure that they will comply with all federal-aid requirements, including Title VI, upon project award
- A local call for projects date of October 1, 2014
- Public hearings that are completed before April 1, 2015 (Public Transit Only)
- Project selection that is conducted in accordance with FTA Circular C9070.1G
- Certification that a minimum of 55% of funds shall be made available for Traditional 5310 Projects with priority given to nonprofits; a maximum of 45% of the funds may be allocated to Expanded 5310 projects
- Project selection that is consistent with the FTA Circular C9070.1G and the 5310 Ineligible Expenses Lists attached to this MOU as an Addendum
- Project Selection submitted to Caltrans on the Project Selection template provided by Caltrans
- MPO Certifications and Assurances (template provided by Caltrans) and Resolution to Adopt Project Selection are completed
- Certifications and Assurances and Resolutions submitted with Project Selection to Caltrans on or before February 1, 2015

**MEMORANDUM OF UNDERSTANDING BETWEEN THE CALIFORNIA DEPARTMENT OF  
TRANSPORTATION AND MPO/LARGE UZA**

In the event that before a project is funded, Caltrans finds the project ineligible and/or insufficient to carry out the intent of the program, Caltrans reserves the right, in consultation with the MPO to cancel the project.

**Specific DRMT Responsibilities:**

Continue administrative systems that ensure or deliver:

- UZA apportionments are maintained according to FTA notices and/or for apportionment splits determined locally
- UZA's/MPO's Program of Projects are submitted to the California Transportation Commission as information only
- Program of Projects and the Grant Application are submitted to FTA
- Successful Applicant and Procurement workshops
- Execute Standard Agreements with subrecipients
- Ensure subrecipient Certifications and Assurances have been signed and are on file
- Ensure Title VI Plans and DBE Implementation Agreements have been accepted are on file with Caltrans
- Finance management
- Procurement oversight
- Vehicle procurement and inspections
- Project monitoring for compliance with federal requirements and the Caltrans Division of Rail and Mass Transportation State Management Plan
- Asset management and property disposition
- FTA compliance audits
- Invoicing/Reimbursement payment

FTA Section 5310 grant funds will be available to the local agency grantees after DRMT receives final grant approval from FTA to release the funds.

The suspension or cancellation of this MOU must be negotiated between the involved parties, and memorialized in writing.

**Approval:**

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Mark Codey, Acting Chief  
Division of Mass Transportation

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Date

MPO: Southern California Association of Governments

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Hasan Ikhrata, Executive Director

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Date

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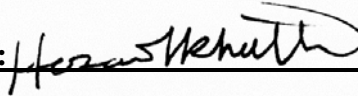
**DATE:** August 7, 2014

**TO:** Executive/Administration Committee (EAC)  
Regional Council (RC)

**FROM:** Hasan Ikhata, Executive Director, [ikhata@scag.ca.gov](mailto:ikhata@scag.ca.gov), 213-236-1944

**SUBJECT:** SCAG Sustainability Planning Grants Program – New Member Project Applications

**EXECUTIVE DIRECTOR'S APPROVAL:**



**RECOMMENDED ACTION:**

Approve staff recommendation.

**EXECUTIVE SUMMARY:**

*Consistent with previous staff reports to Regional Council regarding the City of Bell's Sustainability Planning Grant applications, and encouraging jurisdictions to become SCAG members, staff seeks approval from EAC/Regional Council to add project applications from two (2) new member cities, the City of Bell and the City of Fountain Valley, to the approved list of Sustainability Planning Grant projects.*

**STRATEGIC PLAN:**

This item supports SCAG's Strategic Plan Goal 1: Improve Regional Decision Making by Providing Leadership and Consensus Building on Key Plans and Policies; and Goal 4: Develop, Maintain and Promote the Utilization of State of the Art Models, Information Systems and Communication Technologies.

**BACKGROUND:**

On September 12, 2013, the Regional Council approved seventy-three (73) Sustainability Planning Grant projects and directed staff to proceed with funding projects with available funds for Phases I and Phase II projects (total of 44 projects). The remaining projects will be part of Phase III and will proceed as additional funds become available in FY 2014/2015.

The City of Bell submitted two project applications that were included in the list approved by the Regional Council pending SCAG membership. The City of Bell became a member of SCAG in November, 2013.

The City of Fountain Valley did not submit a project application because of their non-member status. The City of Fountain Valley joined as a member of SCAG in December 2013 and submitted a Sustainability Planning Grant application in June 2014. SCAG staff has reviewed the application and confirmed that it meets other Sustainability Planning Grants program project selection criteria and is eligible for funding.

SCAG staff recommends including two new projects, one each from Bell and Fountain Valley, with a maximum project value of \$200,000, in Phase III of the Sustainability Planning Grant projects.

**FISCAL IMPACT:**

Funding is included in SCAG's FY 2014-15 Overall Work Program (OWP) Budget. Staff's work budget for the current fiscal year are included in FY 2014-15 OWP 065.SCG02663.02.

**ATTACHMENT:**

None

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**DATE:** August 7, 2014

**TO:** Executive Administration Committee (EAC)  
Regional Council (RC)

**FROM:** Basil Panas, Chief Financial Officer, 213-236-1817, panas@scag.ca.gov

**SUBJECT:** Resolution No. 14-561-1 Regarding Acceptance of U.S. Department of Energy (DOE) Funds for the United Parcel Service (UPS) Los Angeles Metro Zero-Emission Delivery Vehicle Demonstration Project

**EXECUTIVE DIRECTOR'S APPROVAL:**



**RECOMMENDED ACTION FOR EAC:**

Recommend that the Regional Council approve Resolution No. 14-561-1 authorizing SCAG to accept, if awarded, DOE Zero Emission Cargo Transport (ZECT) Demonstration Grant Program funds to support the UPS Los Angeles (LA) Metro Zero-Emission Delivery Vehicle Demonstration Project.

**RECOMMENDED ACTION FOR RC:**

Approve Resolution No. 14-561-1 authorizing SCAG to accept, if awarded, DOE ZECT Demonstration Grant Program funds to support the UPS LA Metro Zero-Emission Delivery Vehicle Demonstration Project.

**EXECUTIVE SUMMARY:**

*In June 2014, on behalf of the Center for Transportation & the Environment (CTE) and UPS, SCAG applied to the Department of Energy (DOE) to establish the UPS LA Metro Zero Emission Delivery Vehicle Demonstration Project. If awarded, the primary objectives of the project are to 1) lower all-electric delivery vehicle production costs, 2) improve vehicle flexibility and lifecycle utility, and 3) demonstrate the effectiveness of all-electric delivery vehicles to perform at the same level of operation as similarly sized diesel delivery vehicles, while reducing vehicle emissions and petroleum consumption. To meet the stated objectives, UPS and CTE propose to convert thirty UPS delivery trucks to battery electric delivery trucks for demonstration and deployment in the Los Angeles South Coast Air Basin nonattainment area. Furthermore, SCAG will serve as a pass-thru and be responsible for administration of the grant.*

**STRATEGIC PLAN:**

This item supports SCAG's Strategic Plan, Goal 1 (Improve Regional Decision Making by Providing Leadership and Consensus Building on Key Plans and Policies), Objective c (Provide practical solutions for moving new ideas forward).

**BACKGROUND:**

On April 29, 2014, the U.S. DOE National Energy Technology Laboratory (NETL), on behalf of the Office of Energy Efficiency and Renewable Energy's (EERE) Office of Vehicle Technologies (OVT) Program issued the funding opportunity announcement for the ZECT Demonstration Grant Program. The focus of the program is to accelerate the introduction and penetration of ZECT technologies. ZECT technologies are those that meet the definition of electric transportation technologies (ETT) provided in Section 131(a)(3) of

# REPORT

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the Energy Independence and Security Act (EISA), P.L. 112-74 and that produce zero emissions from the transport vehicle (or other equipment) which propels cargo for all or large portions of their duty cycle.

A total of approximately \$10 million is available for eligible applicants that will operate in and/or govern one of the two 8-hour ozone nonattainment areas; Los Angeles South Coast Air Basin nonattainment area and/or Houston-Galveston-Brazoria nonattainment area. Eligibility for prime applicants is restricted to local governments, ports, metropolitan transportation authorities, air pollution control districts, or combinations of those governments, authorities, districts, and entities.

**FISCAL IMPACT:**

The combined grant program would authorize SCAG to receive \$2,417,501 in DOE funds that would be utilized for the UPS LA Metro Zero-Emission Delivery Vehicle Demonstration Project. Furthermore, SCAG will receive a total of \$155,237 for grant related administration purposes.

**ATTACHMENT:**

Resolution No. 14-561-1



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**RESOLUTION NO. 14-561-1**

**A RESOLUTION OF THE SOUTHERN CALIFORNIA  
ASSOCIATION OF GOVERNMENTS APPROVING THE ACCEPTANCE  
OF THE U.S. DEPARTMENT OF ENERGY FUNDS TO SUPPORT  
THE UNITED PARCEL SERVICE LOS ANGELES METRO  
ZERO-EMISSION DELIVERY VEHICLE DEMONSTRATION PROJECT**

**WHEREAS**, the Southern California Association of Governments (“SCAG”) is the designated Metropolitan Planning Organization (MPO), pursuant to 23 U.S.C. Section 134 et seq. and 49 U.S.C. Section 5303 et seq., serving the nation’s largest metropolitan planning area comprised of Los Angeles, Orange, San Bernardino, Riverside, Ventura and Imperial Counties;

**WHEREAS**, on behalf of the Center for Transportation & the Environment (CTE) and United Parcel Service (UPS), SCAG has applied for a potential award of \$2,417,501 in U.S. Department of Energy (DOE) funds (“Grant Funds”), to support the UPS Los Angeles (LA) Metro Zero-Emission Delivery Vehicle Demonstration Project;

**WHEREAS**, the primary goal of the UPS LA Metro Zero-Emission Delivery Vehicle Demonstration Project is to accelerate the introduction and penetration of Zero Emission Cargo Transport (ZECT) technologies that will operate in the Los Angeles South Coast Air Basin nonattainment; and

**WHEREAS**, the Grant Funds will be used for the UPS LA Metro Zero-Emission Delivery Vehicle Demonstration Project, which will involve converting thirty UPS delivery trucks to battery electric delivery trucks for demonstration and deployment in the Los Angeles South Coast Air Basin nonattainment area.

**NOW, THEREFORE, BE IT RESOLVED** by the Regional Council of the Southern California Association of Governments to authorize SCAG to accept and administer the Grant Funds to support the UPS LA Metro Zero-Emission Delivery Vehicle Demonstration Project.

**BE IT FURTHER RESOLVED** by the SCAG Regional Council as follows:

1. That the Regional Council hereby authorizes SCAG to accept the Grant Funds in the amount of \$2,417,501 from DOE to support the UPS LA Metro Zero-Emission Delivery Vehicle Demonstration Project.

2. That SCAG's Executive Director or his designee is hereby designated and authorized by the Regional Council to execute all necessary agreements and other documents on behalf of the Regional Council as they relate to supporting the UPS LA Metro Zero-Emission Delivery Vehicle Demonstration Project.

**PASSED, APPROVED AND ADOPTED** by the Regional Council of the Southern California Association of Governments at a regular meeting this 7th day of August, 2014.

---

Hon. Carl Morehouse  
President, SCAG  
Councilmember, San Buenaventura

Attested by:

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Hasan Ikhrata  
Executive Director

Approved as to Form:

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Joann Africa  
Chief Counsel

**DATE:** August 7, 2014

**TO:** Transportation Committee (TC)  
Executive Administration Committee (EAC)  
Regional Council (RC)

**FROM:** Basil Panas, Chief Financial Officer, 213-236-1817, panas@scag.ca.gov

**SUBJECT:** Resolution No. 14-561-2 Regarding Acceptance of Southern California Active Transportation Safety and Encouragement Campaign Funds

**EXECUTIVE DIRECTOR'S APPROVAL:** 

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**RECOMMENDED ACTION FOR TC:**

Receive and File

**RECOMMENDED ACTION FOR EAC:**

Recommend that the Regional Council approve Resolution No. 14-561-2 authorizing SCAG to accept, if awarded, the Department of California Transportation's (Caltrans) Active Transportation Program funds to support the Southern California Active Transportation Safety and Encouragement Campaign.

**RECOMMENDED ACTION FOR RC:**

Approve Resolution No. 14-561-2 authorizing SCAG to accept, if awarded, Caltrans' Active Transportation Program funds to support the Southern California Active Transportation Safety and Encouragement Campaign.

**EXECUTIVE SUMMARY:**

*On May 1, 2014, the General Assembly adopted a resolution in support of endorsing a regional effort to promote a pedestrian and bicycle safety initiative. To pursue this effort, SCAG has applied for a grant from Caltrans through the statewide 2014 Active Transportation Program (ATP) to initiate a Regional Active Transportation Safety and Encouragement Campaign. Funding awards will be approved by the California Transportation Commission (CTC) on August 20, 2014.*

**STRATEGIC PLAN:**

This item supports SCAG's Strategic Plan, Goal 1 (Improve Regional Decision Making by Providing Leadership and Consensus Building on Key Plans and Policies), Objective c (Provide practical solutions for moving new ideas forward).

**BACKGROUND:**

Data indicates that 36 pedestrians and bicyclists are killed or injured daily in the SCAG region. On May 1, 2014, the SCAG 2016 General Assembly passed a resolution, advanced by Hon. Michele Martinez representing the City of Santa Ana and Hon. Leslie Daigle representing the City of Newport Beach, to support a regional safety initiative aimed at improving roadway safety for bicyclists and pedestrians. This regional safety initiative would reduce the number of injuries and fatalities for people traveling by non-motorized means. As part of implementing the resolution, SCAG will support various partnership efforts, including an annual public education, awareness and behavior campaign.

# REPORT

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Upon adoption of the resolution, it was noted that funding for the proposed initiative was not budgeted and that SCAG would need to pursue additional revenues to support the proposed programs. In coordination with the six (6) county health departments, SCAG applied to the statewide 2014 ATP call for projects for \$2,333,700 in Caltrans grant funding to coordinate a Southern California Active Transportation Safety and Encouragement Campaign. A funding match was not provided or required. The project will implement a regional advertising campaign, community outreach/tactical urbanism events, and the development of active transportation trainings and toolkits designed for target audiences.

In order to receive the funds, the Caltrans Division of Local Assistance requires SCAG to submit a governing board resolution that clearly identifies the project and the official authorized to execute the agreement. The CTC will adopt funding awards for the statewide ATP on August 20, 2014. Approving the resolution in advance of the funding award will allow staff to proceed immediately with project implementation, should the SCAG proposal be funded. Upon receipt of the funds, staff will coordinate implementation of the program with the six county public health departments, the county transportation commissions, local agencies, and stakeholders.

## **FISCAL IMPACT:**

The combined grant program will authorize SCAG to receive \$2,333,700 in Caltrans funds that will be utilized for the Southern California Active Transportation Safety and Encouragement Campaign.

## **ATTACHMENT:**

Resolution No. 14-561-2



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Alan Wapner, San Bernardino  
Associated Governments

**RESOLUTION NO. 14-561-2**

**A RESOLUTION OF THE SOUTHERN CALIFORNIA  
ASSOCIATION OF GOVERNMENTS APPROVING THE ACCEPTANCE  
OF ACTIVE TRANSPORTATION PROGRAM FUNDS TO SUPPORT  
THE SOUTHERN CALIFORNIA ACTIVE TRANSPORTATION SAFETY  
& ENCOURAGEMENT CAMPAIGN**

**WHEREAS**, the Southern California Association of Governments (“SCAG”) is the designated Metropolitan Planning Organization (MPO), pursuant to 23 U.S.C. Section 134 et seq. and 49 U.S.C. Section 5303 et seq., serving the nation’s largest metropolitan planning area comprised of Los Angeles, Orange, San Bernardino, Riverside, Ventura and Imperial Counties;

**WHEREAS**, SCAG has applied for an award of \$2,333,700 in California Department of Transportation’s (Caltrans) Active Transportation Program Funds (“Grant Funds”), to support the Southern California Active Transportation & Safety Encourage Campaign;

**WHEREAS**, the primary goal of the Active Transportation Program is to increase the proportion of trips accomplished by biking and walking, increase the safety and mobility of non-motorized users, advance the active transportation efforts of regional agencies to achieve greenhouse gas reduction goals as established pursuant to Senate Bill 375 (Chapter 728, Statutes of 2008) and Senate Bill 391 (Chapter 585, Statutes of 2009), enhance public health, including reduction of childhood obesity through the use of programs including, but not limited to, projects eligible for Safe Routes to School Program funding, ensure that disadvantaged communities fully share in the benefits of the program, and provide a broad spectrum of projects to benefit many types of active transportation users; and

**WHEREAS**, the Grant Funds will be used for the Southern California Active Transportation & Safety Encourage Campaign, which will involve consulting services to develop: an Advertising Campaign with memorable encouragement and safety messages, a Community Outreach/Tactical Urbanism Campaign attracting people to open street events and other temporary urban interventions, and the development of Active Transportation Trainings and Training Toolkits for target audiences.

**NOW, THEREFORE, BE IT RESOLVED** by the Regional Council of the Southern California Association of Governments to authorize SCAG to accept and administer the Grant Funds to support the Southern California Active Transportation & Safety Encourage Campaign.

**BE IT FURTHER RESOLVED** by the SCAG Regional Council as follows:

1. That the Regional Council hereby authorizes SCAG to accept the Grant Funds in the amount of \$2,333,700 from Caltrans to support the Southern California Active Transportation & Safety Encourage Campaign.
2. That SCAG's Executive Director or his designee is hereby designated and authorized by the Regional Council to execute all necessary agreements and other documents on behalf of the Regional Council as they relate to the Grant Funds.

**PASSED, APPROVED AND ADOPTED** by the Regional Council of the Southern California Association of Governments at a regular meeting this 7th day of August, 2014.

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Carl Morehouse  
President, SCAG  
Councilmember, San Buenaventura

Attested by:

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Hasan Ikhata  
Executive Director

Approved as to Form:

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Joann Africa  
Chief Counsel



**DATE:** August 7, 2014

**TO:** Transportation Committee (TC)  
Executive/Administration Committee (EAC)  
Regional Council (RC)

**FROM:** Basil Panas, Chief Financial Officer, (213) 236-1817, [panas@scag.ca.gov](mailto:panas@scag.ca.gov)

**SUBJECT:** Resolution No. 14-561-3 for Federal Highway Administration (FHWA) Funds for the Use of the Infrastructure Voluntary Evaluation Sustainability Tool (INVEST) to Assist in the Development of the 2016 Regional Transportation Plan/Sustainable Communities Strategy (RTP/SCS)

**EXECUTIVE DIRECTOR'S APPROVAL:** 

**RECOMMENDED ACTION FOR TC:**

Receive and File.

**RECOMMENDED ACTION FOR EAC:**

Recommend that the Regional Council approve Resolution No. 14-561-3, authorizing SCAG to accept FHWA funds to support use of the Infrastructure Voluntary Evaluation Sustainability Tool (INVEST) to assist in the development of the 2016 RTP/SCS.

**RECOMMENDED ACTION FOR RC:**

Approve Resolution No. 14-561-3, authorizing SCAG to accept FHWA funds to support use of the Infrastructure Voluntary Evaluation Sustainability Tool (INVEST) to assist in the development of the 2016 RTP/SCS.

**EXECUTIVE SUMMARY:**

*On April 4, 2012, SCAG's Regional Council unanimously adopted the 2012–2035 RTP/SCS, the most sustainable long-range transportation plan ever developed in the region. In March 2014, SCAG applied for and was awarded a \$40,000 grant, which requires \$40,000 local match, to deploy INVEST, a new tool developed by the FHWA, to assist in the development of a 2016 RTP/SCS with the goal of being even more sustainable than the last. If accepted, these FHWA funds would be utilized to deploy INVEST in order to establish a baseline of where the SCAG region currently stands in the implementation of sustainability in the regional system planning process and identify possible areas of improvement for the 2016 RTP/SCS from a sustainability perspective. Through this partnership with FHWA, SCAG will improve its RTP/SCS over the previous cycle while simultaneously allowing FHWA to gauge the effectiveness of INVEST in improving the implementation of sustainability in the regional system planning process throughout the nation.*

**STRATEGIC PLAN:**

This item supports SCAG's Strategic Plan Goal #1: Improve Regional Decision making by Providing Leadership and consensus Building on Key Plans and Policies. Objective A: Create and facilitate a collaborative and cooperative environment to produce forward thinking regional plans.

**BACKGROUND:**

On April 4, 2012, SCAG's Regional Council unanimously adopted the 2012–2035 RTP/SCS, the region's first long-range transportation plan developed under California Senate Bill (SB) 375. The development of SCAG's 2012–2035 RTP/SCS saw an unprecedented outreach effort both in the quantity of workshops and meetings held and in the breadth of stakeholders with which SCAG consulted. Advocates from a broad spectrum of industries and interest areas actively participated in developing the 2012–2035 RTP/SCS as the most sustainable long-range transportation plan ever developed in the region. As SCAG looks ahead to the development of the 2016 RTP/SCS, it will be critical for SCAG's major policy decisions to be backed by solid technical analysis that continues to focus on sustainability and allows SCAG to find ways to improve the RTP/SCS in the face of dwindling resources.

To this end, FHWA has developed INVEST, a sustainability self-assessment tool designed to assist transportation agencies in improving investment decisions while considering limited resources by addressing the sustainability triple bottom line—enhancing economic, social, and environmental outcomes. In March 2014, SCAG applied for and was awarded a \$40,000 grant which requires \$40,000 local match to deploy INVEST to assist in the development of a 2016 RTP/SCS intended to be even more sustainable than the last.

If accepted, the FHWA and local match funds would be utilized to deploy INVEST in order to establish a baseline of where the SCAG region currently stands in the implementation of sustainability in the regional system planning process, assess the results of the baseline and identify possible areas of improvement for the 2016 RTP/SCS, and utilize this analysis to develop recommendations to be considered in the development of the 2016 RTP/SCS. Through this partnership with FHWA, SCAG intends to improve its RTP/SCS over the previous cycle while simultaneously allowing FHWA to gauge the effectiveness of INVEST in improving the implementation of sustainability in the regional system planning process throughout the nation.

**FISCAL IMPACT:**

The combined grant program will authorize SCAG to receive \$40,000 in FHWA Funds to support the use of INVEST to inform the 2016 Regional RTP/SCS. Furthermore, a required local match of \$40,000 would be provided in Transportation Development Act funds for the project.

**ATTACHMENT:**

Resolution No. 14-561-3



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**RESOLUTION NO. 14-561-3**

**A RESOLUTION OF THE SOUTHERN CALIFORNIA  
ASSOCIATION OF GOVERNMENTS APPROVING THE ACCEPTANCE  
OF FEDERAL HIGHWAY ADMINISTRATION FUNDS  
TO SUPPORT THE USE OF THE INFRASTRUCTURE VOLUNTARY  
EVALUATION SUSTAINABILITY TOOL TO INFORM  
THE 2016 REGIONAL TRANSPORTATION PLAN/SUSTAINABLE  
COMMUNITIES STRATEGY**

**WHEREAS**, the Southern California Association of Governments (“SCAG”) is the designated Metropolitan Planning Organization (MPO), pursuant to 23 U.S.C. Section 134 et seq. and 49 U.S.C. Section 5303 et seq., serving the nation’s largest metropolitan planning area comprised of Imperial, Los Angeles, Orange, Riverside, San Bernardino, and Ventura Counties;

**WHEREAS**, SCAG has received an award of \$40,000 in Federal Highway Administration (FHWA) funds (“FHWA Funds”), which requires \$40,000 local match, to use the Infrastructure Voluntary Evaluation Sustainability Tool (INVEST) to inform the 2016 Regional Transportation Plan/Sustainable Communities Strategy (RTP/SCS);

**WHEREAS**, on April 4, 2012, SCAG’s Regional Council unanimously adopted the 2012–2035 RTP/SCS, the region’s first long-range transportation plan developed under California Senate Bill (SB) 375, with a greater emphasis on sustainability than any past RTP;

**WHEREAS**, as SCAG begins to develop the 2016 RTP/SCS, major policy decisions will need to be backed by solid technical analysis that focuses on sustainability and allows SCAG to find ways to improve its RTP/SCS in the face of dwindling resources;

**WHEREAS**, FHWA has developed INVEST, a sustainability self-assessment tool designed to assist transportation agencies in improving investment decisions while considering limited resources by addressing the sustainability triple bottom line—enhancing economic, social, and environmental outcomes;

**WHEREAS**, the FHWA and local match funds will be used to allow SCAG to utilize INVEST to 1) assess the extent to which SCAG currently implements sustainability in the regional system planning process, 2) identify possible areas of improvement for the 2016 RTP/SCS, and 3) develop recommendations to be considered in the development of the 2016 RTP/SCS; and

**WHEREAS**, in order to receive the FHWA Funds, the State of California Department of Transportation (Caltrans) must be the direct recipient of the funds and SCAG will be reimbursed as the sub-recipient.

**NOW, THEREFORE, BE IT RESOLVED** by the Regional Council of Southern California Association of Governments to authorize SCAG to accept and administer the FHWA Funds to support the use of INVEST to inform the 2016 RTP/SCS.

**BE IT FURTHER RESOLVED** by the SCAG Regional Council as follows:

1. That the Regional Council hereby authorizes SCAG to accept the FHWA Funds in the amount of \$40,000 from Caltrans and to provide \$40,000 in required local match to support the use of INVEST to inform the 2016 RTP/SCS.
2. That SCAG's Executive Director or his designee is hereby designated and authorized by the Regional Council to execute all necessary agreements and other documents on behalf of SCAG as they relate to supporting the use of INVEST to inform the 2016 RTP/SCS.

**PASSED, APPROVED AND ADOPTED** by the Regional Council of the Southern California Association of Governments at a regular meeting this 7th day of August, 2014.

---

Hon. Carl Morehouse  
President, SCAG  
Councilmember, San Buenaventura

Attested By:

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Hasan Ikhrata  
Executive Director

Approved as to Form:

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Joann Africa

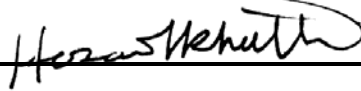
**DATE:** August 7, 2014

**TO:** Environment and Energy Committee (EEC)  
Executive/Administration Committee (EAC)  
Regional Council (RC)

**FROM:** Basil Panas, Chief Financial Officer, (213) 236-1817, [panas@scag.ca.gov](mailto:panas@scag.ca.gov)

**SUBJECT:** Resolution No. 14-561-4 Regarding Acceptance of Federal Highway Administration (FHWA) Implementation Assistance Program Funds

**EXECUTIVE DIRECTOR'S APPROVAL:**



**RECOMMENDED ACTION FOR EEC:**

Receive and File.

**RECOMMENDED ACTION FOR EAC:**

Recommend that the Regional Council approve Resolution No. 14-561-4, authorizing SCAG to accept, if awarded, FHWA Implementation Assistance Program funds.

**RECOMMENDED ACTION FOR RC:**

Approve Resolution No. 14-561-4, authorizing SCAG to accept, if awarded, FHWA Implementation Assistance Program funds.

**EXECUTIVE SUMMARY:**

*On June 5, 2014, FHWA announced the Implementation Assistance Program as part of the second Strategic Highway Research Program (SHRP2). The SHRP2 Implementation Assistance Program is to provide support to organizations for the deployment of SHRP2 research products on transportation analysis methods and tool development. On June 27, 2014, staff submitted the application before the deadline for a SHRP2 C10 project - Partnership to Develop an Integrated, Advanced Travel Demand Model and a Fine-Grained, Time-Sensitive Network. The purpose of the C10 implementation is to demonstrate the integration of activity-based demand models with a dynamic supply model. The funding is up to \$700,000, with a period of performance of 24 months. The grant will be used for the Regional Transportation Model Development and Maintenance Program to enhance SCAG's travel demand forecast model.*

**STRATEGIC PLAN:**

This item supports SCAG's Strategic Plan Goal #4: Develop, Maintain and Promote the Utilization of State of the Art Models, Information Systems and Communication Technologies. Objective A: Develop and maintain planning models that support regional planning.

**BACKGROUND:**

SCAG has applied for FHWA funds in the amount up to \$700,000 to support deployment of the FHWA Implementation Assistance Program in the second Strategic Highway Research Program (SHRP2). The SHRP2 Implementation Assistance Program provides support to transportation organizations for the deployment of SHRP2 research products on transportation analysis methods and tool development. Staff submitted the application for a SHRP2 C10 project - Partnership to Develop an Integrated, Advanced Travel Demand Model and a Fine-Grained, Time-Sensitive Network. The deadline for application submittal was June 27, 2014.

The integrated dynamic travel model from the C10 project links individual travel behavior choices with congested network conditions to better reflect real-world dynamics in the model. Planners can then more directly test the effects of various alternatives on traffic congestion. The immediate benefit of this model enhancement is that it helps address the essential question of how travel behavior responds to network conditions and how network conditions are impacted by changes in travel behavior. The dynamic travel model better analyzes transportation alternatives and provides more precision to support transportation planning decisions.

The development of an integrated dynamic travel model was suggested by FHWA during the certification review. If the Grant is awarded, SCAG will use the funds to support the deployment of the Regional Transportation Model Development and Maintenance Program. The primary goal of the program is to enhance regional models by incorporating state of the art model components, including an integrated dynamic transportation model for SCAG.

**FISCAL IMPACT:**

The action will authorize SCAG to receive up to \$700,000 in FHWA Implementation Program Assistance funds that will be utilized for the Regional Transportation Model Development and Maintenance Program. No direct match will be provided; however, SCAG anticipates a commitment of more than \$500,000 in terms of staff resources and local funds over the next two (2) years for development and maintaining planning models that support regional planning.

**ATTACHMENT:**

Resolution No. 14-561-4



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Associated Governments

**RESOLUTION NO. 14-561-4**

**A RESOLUTION OF THE SOUTHERN CALIFORNIA  
ASSOCIATION OF GOVERNMENTS APPROVING THE ACCEPTANCE  
OF FEDERAL HIGHWAY ADMINISTRATION (FHWA)  
IMPLEMENTATION ASSISTANCE PROGRAM GRANT FUNDS TO  
SUPPORT THE REGIONAL TRANSPORTATION MODEL  
DEVELOPMENT AND MAINTENANCE PROGRAM**

**WHEREAS**, the Southern California Association of Governments (“SCAG”) is the designated Metropolitan Planning Organization (MPO), pursuant to 23 U.S.C. Section 134 et seq. and 49 U.S.C. Section 5303 et seq., serving the nation’s largest metropolitan planning area comprised of Los Angeles, Orange, San Bernardino, Riverside, Ventura and Imperial Counties;

**WHEREAS**, the primary goal of the FHWA Implementation Assistance Program is to support transportation organizations for the deployment of the second Strategic Highway Research Program (SHRP2) research products on transportation analysis methods and tool development;

**WHEREAS**, SCAG has applied for an award up to \$700,000 in FHWA, SHRP2 Implementation Assistance Program Funds (“Grant Funds”), to support the Regional Transportation Model Development Program; and

**WHEREAS**, if awarded, the Grant Funds will be used for the Regional Transportation Model Development and Maintenance Program, which will involve consulting services to enhance and implement an integrated activity-based demand models with a dynamic supply model for SCAG.

**NOW, THEREFORE, BE IT RESOLVED** by the Regional Council of the Southern California Association of Governments to authorize SCAG to apply for the Grant Funds to support the Regional Transportation Model Development and Maintenance Program.

**BE IT FURTHER RESOLVED** by the SCAG Regional Council as follows:

1. That the Regional Council hereby authorizes SCAG to accept Grant Funds in the amount up to \$700,000 from FHWA to support the Regional Transportation Model Development and Maintenance Program.

2. That SCAG's Executive Director or his designee is hereby designated and authorized by the Regional Council to execute all necessary agreements and other documents on behalf of the Regional Council as they relate to the Grant Funds.

**PASSED, APPROVED AND ADOPTED** by the Regional Council of the Southern California Association of Governments at a regular meeting this 7th day of August, 2014.

---

Hon. Carl Morehouse  
President, SCAG  
Councilmember, City of San Buenaventura

Attested by:

---

Hasan Ikhata  
Executive Director

Approved as to Form:

---

Joann Africa  
Chief Counsel



SOUTHERN CALIFORNIA ASSOCIATION OF GOVERNMENTS  
EXECUTIVE/ADMINISTRATION COMMITTEE (EAC)  
JUNE 5, 2014

**MINUTES**

**THE FOLLOWING MINUTES ARE A SUMMARY OF ACTIONS TAKEN BY THE EXECUTIVE/ADMINISTRATION COMMITTEE. A RECORDING OF THE ACTUAL MEETING IS AVAILABLE FOR LISTENING IN SCAG'S OFFICE.**

The Executive/Administration Committee (EAC) held its June 5, 2014 meeting at the SCAG Offices, in Los Angeles, California. The meeting was called to order by Hon. Carl Morehouse, Chair, San Buenaventura, District 47, at 9:05 a.m. There was a quorum.

**Members Present**

Hon. Carl Morehouse, President	District 47
Hon. Cheryl Viegas-Walker, El Centro, 1 <sup>st</sup> Vice-President	District 1
Hon. Michele Martinez, Santa Ana, 2 <sup>nd</sup> Vice-President	District 16
Hon. Greg Pettis, Cathedral City, Imm. Past President	District 2
Hon. Lisa Bartlett, Dana Point	TCA
Hon. Glen Becerra, Simi Valley,	District 46
Hon. Margaret Finlay, Duarte	District 35
Mr. Randall Lewis, Ex-Officio	Lewis Group of Companies
Hon. Andrew Masiel, Sr.	Pechanga Band of Luiseno Indians
Hon. Larry McCallon, Highland	District 7
Hon. Barbara Messina, Alhambra	District 34
Hon. Carmen Ramirez, Oxnard	District 45
Hon. Deborah Robertson, Rialto	District 8
Hon. Julio Rodriguez, Perris	District 69
Hon. Alan Wapner, Ontario	SANBAG

**Members Not Present**

Hon. Bill Jahn, Big Bear Lake	District 11
Hon. Tom LaBonge, Los Angeles	District 51
Hon. Pam O'Connor, Santa Monica	District 41

## **CALL TO ORDER & PLEDGE OF ALLEGIANCE**

Hon. Carl Morehouse, Chair, called the meeting to order at 9:05 a.m. Hon. Larry McCallon led the Pledge of Allegiance.

## **PUBLIC COMMENT PERIOD**

There were no public comments.

## **REVIEW AND PRIORITIZE AGENDA ITEMS**

There were no reprioritizations of the agenda.

## **EXECUTIVE DIRECTOR'S REPORT**

Hasan Ikhata, Executive Director, provided an update of the new Electronic Voting system which received positive feedback at the April EAC meeting. Therefore, SCAG will move forward in procuring the system. He noted that the EAC and Regional Council (RC) will begin using the new electronic voting devices for all votings today.

Joe Silvey, General Counsel, provided a brief overview of the electronic voting system and procedures for recording accurate votes.

Mr. Ikhata announced that on June 3, 2014, the California Strategic Growth Council (SGC) awarded SCAG approximately \$1 million dollars—the highest share of nearly \$16 million awarded. The award is intended to support the Sustainable Communities Planning Grant. Mr. Ikhata acknowledged Huasha Liu, Director, Land Use and Environmental Planning, Ping Chang, Program Manager and SCAG staff for their dedication and efforts. In addition, he noted that SCAG was recommended for six (6) grants from the California Department of Transportation (Caltrans) totaling \$1,112,388. The grants will support a wide-range of transportation planning activities for the counties and cities within the SCAG region.

## **PRESIDENT'S REPORT**

Hon. Carl Morehouse, Chair, welcomed Hon. Carmen Ramirez, Hon. Barbara Messina, Hon. Deborah Robertson and Hon. Julio Rodriguez, as the newest members of the EAC.

Hon. Morehouse announced that the upcoming EAC Retreat will take place in Ventura, CA on July 10-11, 2014. He noted that the retreat will kick-off the year with discussions regarding SCAG's priorities for 2014-15, including topics on the RTP/SCS, Health Programs, Aviation Task Force activities and SCAG's upcoming 50<sup>th</sup> anniversary General Assembly. He asked that any additional agenda topics be sent to Hasan Ikhata and travel related preferences be communicated to staff.

## **ACTION/DISCUSSION ITEMS**

### **1. SCAG-Metro First Mile/Last Mile Strategic Plan**

Hasan Ikhata, Executive Director, requested EAC to adopt and support the SCAG-Metro First Mile/Last Mile Strategic Plan (Plan) and supported continued collaboration with the Los Angeles County Metropolitan Authority (Metro) and other local agencies on activities to implement the Plan.

Huasha Liu, Director, Land Use and Environmental Planning, responded to questions and comments expressed by the members, including grant funding status for the six (6) pilot projects.

The complete First Last Mile Strategic Plan and Planning Guidelines report was included in the agenda packet.

A MOTION was made (Viegas-Walker) and SECONDED (Finlay) to adopt the SCAG-Metro First Mile/Last Mile Strategic Plan. The motion was passed by the following vote:

AYES: Morehouse, Pettis, Bartlett, Becerra, Finlay, Martinez,  
McCallon, Masiel, Ramirez, Rodriguez, Viegas-Walker and Wapner  
NOES: None  
ABSTAIN: None

2. AB 2707 (Chau) Triple Bike Racks

Darin Chidsey, Director, Strategy, Policy & Public Affairs provided a brief report and requested EAC to “Support” the recommendation authorizing triple bike racks on municipal buses.

There were no additional discussions or comments made on this item.

A MOTION was made (Viegas-Walker) and SECONDED (Finlay) to support staff’s recommendation of “Support” as recommended by the Legislative/Communications and Membership Committee. The motion was passed by the following vote:

AYES: Morehouse, Pettis, Bartlett, Becerra, Finlay, Martinez,  
McCallon, Masiel, Ramirez, Rodriguez, Viegas-Walker and Wapner  
NOES: None  
ABSTAIN: None

3. SB 1228 (Hueso) – Trade Corridors Improvement Fund

Darin Chidsey, Director, Strategy, Policy & Public Affairs provided a brief report and requested EAC to “Support-in-Concept with Requested Amendments” the bill that would continue the Trade Corridors Improvement Fund.

There were no additional discussions or comments made on this item.

A MOTION was made (Viegas-Walker) and SECONDED (Finlay) to Support staff’s recommendation of “Support-in-Concept with Requested Amendments” as recommended by the Legislative/Communications and Membership Committee. The motion was passed by the following vote:

AYES: Morehouse, Pettis, Bartlett, Becerra, Finlay, Martinez, Messina,  
McCallon, Masiel, Ramirez, Rodriguez, Viegas-Walker and Wapner  
NOES: None  
ABSTAIN: None

For the record, Hon. Messina quickly notified the Board Officers that she had mistakenly pressed the “Abstain” voting button. Hon. Messina’s vote was then corrected to reflect a vote of “For” and it was acknowledged by the Board.

4. SB 1418 (DeSaulnier) – Vehicle Weight Fees: Transportation Bond Debt Service

Darin Chidsey, Director, Strategy, Policy & Public Affairs provided a brief report and requested EAC to “Support If Amended” SB 1418 (De Saulnier), an urgency measure that would repeal statutory provisions that transfer vehicle weight fees from the State Highway Account to the Transportation Debt Service Fund.

There were no additional discussions or comments made on this item.

A MOTION was made (Finlay) and SECONDED (Viegas-Walker) to support staff’s recommendation of “Support if Amended” as recommended by the Legislative/Communications and Membership Committee.

The motion was passed by the following vote:

AYES:	Morehouse, Pettis, Bartlett, Becerra, Finlay, Martinez, Messina, McCallon, Masiel, Ramirez, Rodriguez, Viegas-Walker and Wapner
NOES:	None
ABSTAIN:	None

5. SCAG Sponsorship

The Legislative/Communications & Membership Committee (LCMC) recommended approval of up to \$5,000 in sponsorships for the Los Angeles County Economic Development Corporation 9<sup>th</sup> Annual International Trade Outlook.

Staff responded to questions and comments expressed by the members, including timing and coordination concerns with this sponsorship and with Mobility 21.

A MOTION was made (Martinez) and SECONDED (Viegas-Walker) to support staff’s recommendation to Approve The Los Angeles County Economic Development Corporation – 9<sup>th</sup> Annual Internal Trade Outlook on Thursday, June 5, 2014.

AYES:	Morehouse, Pettis, Bartlett, Becerra, Finlay, Martinez, Messina, McCallon, Masiel, Ramirez, Rodriguez, Viegas-Walker and Wapner
NOES:	None
ABSTAIN:	None

**CONSENT CALENDAR**

**Approval Items**

6. Minutes of the April 3, 2014 Meeting

Item #6 was pulled for discussion. Joe Silvey, General Counsel, requested that the following statement be made part of the official voting record for Item #9 of the April 3, 2014 Minutes:

Joe Silvey, General Counsel requested that the second sentence in Item #9 which stated “It was noted that Hon. Keith Millhouse and Hon. Alan Wapner are Metrolink Board Officers, and due to a conflict of interest, would be abstaining from the vote for Item No. 9.” be removed and replaced in the minutes of today’s meeting, the following official explanation:

“Prior to this item being considered at the last meeting, Hon. Keith Millhouse noted that he spoken with General Counsel about a concern that he might have a conflict because he was a Metrolink Board Officer. He subsequently abstained from the vote for Item No. 9.”

A MOTION was made (Pettis) and SECONDED (Becerra) to approve the Consent Calendar, April 3, 2014 Meeting Minutes as Amended. The motion was passed by the following vote:

AYES: Morehouse, Pettis, Bartlett, Becerra, Finlay, Martinez, Messina,  
McCallon, Masiel, Ramirez, Rodriguez, Viegas-Walker and Wapner  
NOES: None  
ABSTAIN: Ramirez

7. Contracts \$200,000 or Greater: Contract No. 14-001-B04A, Western Riverside Council of Governments (WRCOG) Climate Action Plan
8. Legal Services Contract
9. SCAG Participation at the American Public Transportation Association (APTA) 2014 Rail Conference
10. SCAG Participation at the Internal Planning and Policy Conference in Beijing, China hosted by the Institute of Policy and Management, Chinese Academy of Sciences
11. SCAG Participation at Sustainability and Smart Growth Conference hosted by the Hebei Province, China

A MOTION was made (Pettis) and SECONDED (Becerra) to approve the Consent Calendar, Approval Items 7-11. The motion was passed by the following vote:

AYES: Morehouse, Pettis, Bartlett, Becerra, Finlay, Martinez, Messina,  
McCallon, Masiel, Ramirez, Rodriguez, Viegas-Walker and Wapner  
NOES: None  
ABSTAIN: None

### **Receive & File**

12. Contracts \$200,000 or Greater: Contract No. 14-017-C1, Riverside Reconnects, Streetcar Transit Corridor Feasibility Study

13. Contracts \$200,000 or Greater: Contract No. 14-014-C1, Goods Movement Border Crossing Study

14. Purchase Orders \$5,000 but less than \$200,000; Contracts \$25,000 but less than \$200,000; and Amendments \$5,000 but less than \$75,000

15. June 2014 State and Federal Legislative Update

A MOTION was made (Martinez) and SECONDED (Finlay) to approve the Consent Calendar, Receive & File Items 12-15. The motion was passed by the following vote:

AYES: Morehouse, Pettis, Bartlett, Becerra, Finlay, Martinez, Messina,  
McCallon, Masiel, Ramirez, Rodriguez, Viegas-Walker and Wapner  
NOES: None  
ABSTAIN: None

### **CFO MONTHLY FINANCIAL REPORT**

Basil Panas, CFO, provided highlights of the CFO Monthly Financial Report.

### **FUTURE AGENDA ITEM**

There were no future agenda items requested.

### **ANNOUNCEMENTS**

Hon. Cheryl Viegas-Walker acknowledged Rich Macias and SCAG staff on their leadership and expertise in assisting Imperial County relating to an aviation matter with the Department of Transportation.

### **ADJOURNMENT**

*The meeting adjourned at 10:00 a.m. The next regular meeting of the Executive/Administration Committee will be held on Thursday, August 7, 2014 at the SCAG Los Angeles office.*

Approved by:



Lillian Harris-Neal, CMC  
Clerk of the Board

# EXECUTIVE/ADMINISTRATION COMMITTEE ATTENDANCE REPORT

Member (including Ex-Officio) LastName, FirstName	Representing	X = County Represented											
		IC	LA	OC	RC	SB	VC	JAN	FEB	MAR	APR	MAY	JUNE
Morehouse, Carl, 1st VP	San Buenaventura						X	X	X	X	X		x
Walker-Viegas, Cheryl, 2nd VP	El Centro	X						X	X	X	X		X
Martinez, Michele	Santa Ana			X				X	X	X	X		X
Pettis, Gregory, Chair	Cathedral City				X			X	X	X	X		X
Bartlett, Lisa	Dana Point			X				X	X		X		X
Becerra, Glen, Imm. Past President	Simi Valley						X	X	X	X	X		X
Finlay, Margaret	Duarte		X						X	X	X		X
Jahn, Bill	Big Bear Lake					X		X	X	X			
LaBonge, Tom	Los Angeles		X								X		
Lewis, Randall, Ex Officio	Lewis Op. Corp.							X	X	X	X		X
Masiel, Andrew, Sr.	Pechanga Band of Luiseno Indians				X			X		X			X
McCallon, Larry	Highland					X			X	X	X		X
Messina, Barbara	Los Angeles		X					X	X	X	X		X
O'Connor, Pam	Santa Monica		X					X		X			
Ramirez, Carmen	Ventura						X	X	X	X			X
Robertson, Deborah	San Bernardino County					X			X	X	X		X
Rodriguez, Julio	Riverside				X								X
Wapner, Alan	Ontario					X		X	X	X	X		X
	<b>Totals</b>	<b>1</b>	<b>4</b>	<b>2</b>	<b>3</b>	<b>4</b>	<b>3</b>						

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SOUTHERN CALIFORNIA ASSOCIATION OF GOVERNMENTS  
EXECUTIVE/ADMINISTRATION COMMITTEE (EAC)  
JULY 11, 2014

**SPECIAL MEETING MINUTES**

**THE FOLLOWING MINUTES ARE A SUMMARY OF ACTIONS TAKEN BY THE EXECUTIVE/ADMINISTRATION COMMITTEE.**

The Executive/Administration Committee (EAC) held a Special Meeting on July 11, 2014 at the Crowne Plaza Ventura Beach Hotel, Bay View Ballroom, 450 E. Harbor Boulevard, Ventura, CA 93001. There was a quorum.

**Members Present**

Hon. Carl Morehouse, San Buenaventura, President	District 47
Hon. Cheryl Viegas-Walker, El Centro, 1 <sup>st</sup> Vice-President	District 1
Hon. Michele Martinez, Santa Ana, 2 <sup>nd</sup> Vice-President	District 16
Hon. Greg Pettis, Cathedral City, Imm. Past President	District 2
Hon. Lisa Bartlett, Dana Point	TCA
Hon. Margaret Finlay, Duarte	District 35
Mr. Randall Lewis, Ex-Officio	Lewis Group of Companies
Hon. Carmen Ramirez, Oxnard	District 45
Hon. Deborah Robertson, Rialto	District 8
Hon. Julio Rodriguez, Perris	District 69
Hon. Alan Wapner, Ontario	SANBAG
Hon. Bill Jahn, Big Bear Lake	District 11
Hon. Tom LaBonge, Los Angeles	District 51
Hon. Pam O'Connor, Santa Monica	District 41

**Members Not Present**

Hon. Larry McCallon, Highland	District 7
Hon. Barbara Messina, Alhambra	District 34
Hon. Glen Becerra, Simi Valley	District 46
Hon. Andrew Masiel, Sr.	Pechanga Band of Luiseño Indians

**CALL TO ORDER & PLEDGE OF ALLEGIANCE**

Hon. Carl Morehouse, Chair, called the meeting to order at 8:30 a.m.

**PUBLIC COMMENT PERIOD**

There were no public comments.

**INTRODUCTIONS AND PRESIDENT'S WELCOME/COMMENTS**

## **DISCUSSION OF 2014-15 PLANNED ACTIVITIES**

Led by Chair Morehouse, the Executive/Administration Committee (EAC) discussed the following topics:

- Update of SCAG Regional Council Policy Manual
- Revise SCAG Scholarship Award Program
- Healthy Communities Program
- SCAG FY 2014-15 Budget
- Update on development of the 2016 Regional Transportation Plan/Sustainable Communities Strategy (RTP/SCS); including emerging technologies; system preservation; funding strategy; Goods Movement; First/Last Mile connectivity; and aviation
- SCAG's 50<sup>th</sup> Anniversary –2015 Regional Conference and General Assembly
- SCAG/SCLC Poverty Summit, August 20, 2014 – Education's role; health issues; performance measures; and expand stakeholder interest (labor, educators, service providers)

There was no action was taken. Guidance was given to staff regarding such topics.

## **ADJOURNMENT**

*The meeting adjourned at 10:45 a.m. The next regular meeting of the Executive/Administration Committee will be held on Thursday, August 7, 2014 at the SCAG Los Angeles office.*



Lillian Harris-Neal, Clerk of the Board

**DATE:** August 7, 2014

**TO:** Executive/Administration Committee (EAC)  
Regional Council (RC)

**FROM:** Basil Panas, Chief Financial Officer, (213) 236-1817, panas@scag.ca.gov

**SUBJECT:** Contracts \$200,000 or Greater: Contract No. 14-019-C1, Public Private Partnership (PPP) Finance and Delivery Strategies Screening for the East West Freight Corridor

**EXECUTIVE DIRECTOR'S APPROVAL:** 

**RECOMMENDED ACTION:**

Approve Contract No. 14-019-C1 with Ernst & Young Infrastructure Advisors, LLC (EYIA), in an amount not-to-exceed \$349,776, to provide financial feasibility analysis to determine the viability of conducting further planning analysis to support the East West Freight Corridor concept.

**EXECUTIVE SUMMARY:**

*The consultant shall provide early project development financial screening support for the East West Freight Corridor concept, evaluating the potential for alternative delivery options that would leverage private sector financing and optimize the use of limited public funding resources.*

**STRATEGIC PLAN:**

This item supports SCAG's Strategic Plan Goal 1: Improve Regional Decision Making by Providing Leadership and Consensus Building on Key Plans and Policies; Objective c: Provide Practical Solutions for Moving New Ideas Forward.

**BACKGROUND:**

**Staff recommends executing the following contract \$200,000 or greater:**

<u>Consultant/Contract #</u>	<u>Contract Purpose</u>	<u>Contract Amount</u>
Ernst & Young Infrastructure Advisors, LLC (14-024-C1)	The consultant shall provide early project development financial screening support for the East West Freight Corridor concept, evaluating the potential for alternative delivery options that would leverage private sector financing and optimize the use of limited public funding resources,	\$349,776

**FISCAL IMPACT:**

Funding of \$50,000 is available in the FY 2013-14 budget, Funding of \$200,000 is available in the FY 2014-15 budget, and the remaining \$99,776 is expected to be available in the FY 2015-16 budget, subject to budget availability.

**ATTACHMENT:**

Consultant Contract No. 14-019-C1

## **CONSULTANT CONTRACTS 14-019-C1**

**Recommended  
Consultant:**

Ernst & Young Infrastructure Advisors, LLC (EYIA)

**Background &  
Scope of Work:**

SCAG's 2012-2035 Regional Transportation Plan/Sustainable Communities Strategy (RTP/SCS) envisions a regional clean freight corridor system that extends from the San Pedro Bay Ports up the I-710 to Downtown Los Angeles, along an East-West Freight Corridor connecting to I-15 in San Bernardino County, and along I-15 up to I-10. Such a system would address growing truck congestion along these major corridors and serve key goods movement industries.

Building on the work conducted through SCAG's Comprehensive Regional Goods Movement Plan and Implementation Strategy, the scope of services for this project focuses on financial feasibility analysis to determine the viability of conducting further planning analysis to support the East West Freight Corridor concept.

The Consultant shall provide early project development financial screening support for the East West Freight Corridor concept, evaluating the potential for alternative delivery options that would leverage private sector financing and optimize the use of limited public funding resources.

**Project's Benefits  
& Key Deliverables:**

The project's benefits and key deliverables include, but are not limited to:

- Finance and delivery framework;
- Documentation of initial sketch-level financial feasibility analysis and findings;
- Analysis and documentation of project segment prioritization assessment; and
- Expanded financial analysis and documentation.

**Strategic Plan:**

This item supports SCAG's Strategic Plan Goal 1: Improve Regional Decision Making by Providing Leadership and Consensus Building on Key Plans and Policies; Objective c: Provide Practical Solutions for Moving New Ideas Forward.

**Contract Amount:**

<b>Total not-to-exceed</b>	<b>\$349,776</b>
Ernst & Young Infrastructure Advisors, LLC (prime consultant)	\$300,008
Cambridge Systematics, Inc. (subconsultant)	\$24,952
Sperry Capital	\$24,816

**Contract Period:**

Notice-to-Proceed through December 31, 2015

**Project Number:**

130-SCG00162.10	\$100,000
130-SCG00162.13	\$249,776

Funding of \$50,000 is available in the FY 2013-14 budget, Funding of \$200,000 is available in the FY 2014-15 budget, and the remaining \$99,776 is expected to be available in the FY 2015-16 budget, subject to budget availability.

Funding sources: Consolidated Planning Grant – FTA and TDA

**Request-for-Proposal (RFP):** SCAG staff notified 1,120 firms of the release of RFP 14-019-C1 via Solicitation Management System. A total of 70 firms downloaded the RFP. SCAG received the following four (4) proposals in response to the solicitation:

<b>Ernst &amp; Young Infrastructure Advisors, LLC (2 subconsultants)</b>	<b>\$349,776</b>
--	------------------

Project Finance Advisory LTD (1 subconsultant)	\$181,710
HDR   InfraConsult (5 subconsultants)	\$249,981
Public Finance Management, Inc.	\$335,083

**Selection Process:** The Proposal Review Committee (PRC) evaluated each proposal in accordance with the criteria set forth in the RFP, and conducted the selection process in a manner consistent with all applicable federal and state contracting regulations. After evaluating the proposals, the PRC interviewed all four (4) offerors.

The PRC consisted of the following individuals:

Shahrzad Amiri, Deputy Executive Officer, San Gabriel Valley Area Team, Metro  
Annie Nam, Manager, Transportation Finance, Goods Movement, and Aviation, SCAG  
Rajeev Seetharam, Senior Regional Planner, SCAG  
Steve Smith, Director of Planning, SANBAG  
David Sosa, Chief, Office of Regional Planning and Public Transportation, Caltrans

**Basis for Selection:** The PRC recommends EYIA for contract award because the Consultant:

- Demonstrated the best composition of study team members with specialized expertise in project financing nationally and direct experience with both debt and equity financing for large freight related initiatives. Demonstrated strong technical approach and understanding of the project objectives, highlighting the importance of appropriately sizing, phasing, and iteratively assessing freight corridor concepts as well as key policies; and
- Demonstrated an insightful perspective on federal funding and financing opportunities with key study team representation with direct federal experience and hands-on knowledge of federal financial instruments.

Although the other firms proposed lower prices, they did not demonstrate the breadth of financial expertise and insightful approaches to further developing the freight corridor concept. In particular, the selected Consultant demonstrated a stronger depth of understanding and experience with equity financing strategies than the other firms. Some of the other firms focused more on the broader marketing efforts related to P3 (Public Private Partnership) initiatives and the PRC believed that more rigorous iterations of travel demand modeling and financial analysis were warranted, particularly assessing different levels of debt and equity financing as well as potential new funding options—to further refine the freight corridor concept scope. As such, the PRC determined that the selected Consultant provided the best overall value to SCAG in providing this kind of comprehensive and in-depth assessment of financial viability.

**Conflict Of Interest Form - Attachment  
For August 7, 2014 Regional Council Approval**

**Item No. 9**

Approve Contract No. 14-019-C1, in an amount not to exceed \$349,776, to provide project development financial screening support for the East West Freight Corridor concept, evaluating the potential for alternative delivery options that would leverage private sector financing and optimize the use of limited public funding resources.

The consultant teams for the contract include:

Contract 14-019-C1:

Ernst & Young Infrastructure Advisors, LLC

Sub: Sperry Capital, Inc.

Sub: Cambridge Systematics, Inc.

# SCAG CONFLICT OF INTEREST FORM

RFP No. 14-019

## SECTION I: INSTRUCTIONS

All persons or firms seeking contracts must complete and submit a SCAG Conflict of Interest Form along with the proposal. This requirement also applies to any proposed subconsultant(s). Failure to comply with this requirement may cause your proposal to be declared non-responsive.

In order to answer the questions contained in this form, please review SCAG's Conflict of Interest Policy, the list of SCAG employees, and the list of SCAG's Regional Council members. All three documents can be viewed online at [www.scag.ca.gov](http://www.scag.ca.gov). The SCAG Conflict of Interest Policy is located under "Doing Business with SCAG," whereas the SCAG staff and Regional Council members lists can be found under "About SCAG."

Any questions regarding the information required to be disclosed in this form should be directed to Justine Block, SCAG Deputy Legal Counsel.

**Name of Firm:** Ernst & Young Infrastructure Advisors, LLC \*

**Name of Preparer:** Mike Parker

**Project Title:** Finance and Delivery Strategies Screening for the East West Freight Corridor

**RFP Number:** 14-019 **Date Submitted:** March 7, 2014

## SECTION II: QUESTIONS

1. During the last twelve (12) months, has your firm provided a source of income to employees of SCAG or members of the SCAG Regional Council, or have any employees or Regional Council members held any investment (including real property) in your firm?

☐ YES ☒ NO

If "yes," please list the names of those SCAG employees and/or SCAG Regional Council members and the nature of the financial interest:

Name	Nature of Financial Interest
<hr/>	<hr/>
<hr/>	<hr/>
<hr/>	<hr/>
<hr/>	<hr/>

\* Ernst & Young Infrastructure Advisors, LLC (EYIA) and key personnel listed in the proposal certify to the conditions listed in the SCAG Conflict of Interest Form.

2. Have you or any members of your firm been an employee of SCAG or served as a member of the SCAG Regional Council within the last twelve (12) months?

☐ YES ☒ NO

If “yes,” please list name, position, and dates of service:

Name	Position	Dates of Service
_____	_____	_____
_____	_____	_____
_____	_____	_____
_____	_____	_____

3. Are you or any managers, partners, or officers of your firm related by blood or marriage/domestic partnership to an employee of SCAG or member of the SCAG Regional Council that is considering your proposal?

☐ YES ☒ NO

If “yes,” please list name and the nature of the relationship:

Name	Relationship
_____	_____
_____	_____
_____	_____
_____	_____

4. Does an employee of SCAG or a member of the SCAG Regional Council hold a position at your firm as a director, officer, partner, trustee, employee, or any position of management?

☐ YES ☒ NO

If “yes,” please list name and the nature of the relationship:

Name	Relationship
_____	_____
_____	_____
_____	_____
_____	_____



5. Have you or any managers, partners, or officers of your firm ever given (directly or indirectly), or offered to give on behalf of another or through another person, campaign contributions or gifts to any current employee of SCAG or member of the SCAG Regional Council (including contributions to a political committee created by or on behalf of a member/candidate)?

☐ YES ☒ NO

If "yes," please list name, date gift or contribution was given/offered, and dollar value:

Name	Date	Dollar Value
_____	_____	_____
_____	_____	_____
_____	_____	_____
_____	_____	_____

### SECTION III: VALIDATION STATEMENT

This Validation Statement must be completed and signed by at least one General Partner, Owner, Principal, or Officer authorized to legally commit the proposer.

#### DECLARATION

**I, (printed full name)** Michael Parker, **hereby declare that I am the (position or title)** Senior Managing Director **of (firm name)** Ernst & Young Infrastructure Advisors **and that I am duly authorized to execute this Validation Statement on behalf of this entity. I hereby state that this SCAG Conflict of Interest Form dated 5 March 2014 **is correct and current as submitted. I acknowledge that any false, deceptive, or fraudulent statements on this Validation Statement will result in rejection of my contract proposal.****



\_\_\_\_\_  
Signature of Person Certifying for Proposer  
(original signature required)

03/05/2014

\_\_\_\_\_  
Date

#### NOTICE

A material false statement, omission, or fraudulent inducement made in connection with this SCAG Conflict of Interest Form is sufficient cause for rejection of the contract proposal or revocation of a prior contract award.

# SCAG CONFLICT OF INTEREST FORM

RFP No. 14-019

## SECTION I: INSTRUCTIONS

All persons or firms seeking contracts must complete and submit a SCAG Conflict of Interest Form along with the proposal. This requirement also applies to any proposed subconsultant(s). Failure to comply with this requirement may cause your proposal to be declared non-responsive.

In order to answer the questions contained in this form, please review SCAG's Conflict of Interest Policy, the list of SCAG employees, and the list of SCAG's Regional Council members. All three documents can be viewed online at [www.scag.ca.gov](http://www.scag.ca.gov). The SCAG Conflict of Interest Policy is located under "Doing Business with SCAG," whereas the SCAG staff and Regional Council members lists can be found under "About SCAG."

Any questions regarding the information required to be disclosed in this form should be directed to Justine Block, SCAG Deputy Legal Counsel.

**Name of Firm:** Sperry Capital Inc.  
**Name of Preparer:** Bryant Jenkins  
**Project Title:** Public-Private Partnership - Finance and Delivery Strategies Screening for East West Freight Corridor  
**RFP Number:** 14-019 **Date Submitted:** February 26, 2014

## SECTION II: QUESTIONS

1. During the last twelve (12) months, has your firm provided a source of income to employees of SCAG or members of the SCAG Regional Council, or have any employees or Regional Council members held any investment (including real property) in your firm?

☐ YES ☒ NO

If "yes," please list the names of those SCAG employees and/or SCAG Regional Council members and the nature of the financial interest:

Name	Nature of Financial Interest
<hr/>	<hr/>
<hr/>	<hr/>
<hr/>	<hr/>
<hr/>	<hr/>

2. Have you or any members of your firm been an employee of SCAG or served as a member of the SCAG Regional Council within the last twelve (12) months?

☐ YES ☒ NO

If “yes,” please list name, position, and dates of service:

Name	Position	Dates of Service
_____	_____	_____
_____	_____	_____
_____	_____	_____
_____	_____	_____

3. Are you or any managers, partners, or officers of your firm related by blood or marriage/domestic partnership to an employee of SCAG or member of the SCAG Regional Council that is considering your proposal?

☐ YES ☒ NO

If “yes,” please list name and the nature of the relationship:

Name	Relationship
_____	_____
_____	_____
_____	_____
_____	_____

4. Does an employee of SCAG or a member of the SCAG Regional Council hold a position at your firm as a director, officer, partner, trustee, employee, or any position of management?

☐ YES ☒ NO

If “yes,” please list name and the nature of the relationship:

Name	Relationship
_____	_____
_____	_____
_____	_____
_____	_____

5. Have you or any managers, partners, or officers of your firm ever given (directly or indirectly), or offered to give on behalf of another or through another person, campaign contributions or gifts to any current employee of SCAG or member of the SCAG Regional Council (including contributions to a political committee created by or on behalf of a member/candidate)?

☐ YES ☒ NO

If "yes," please list name, date gift or contribution was given/offered, and dollar value:

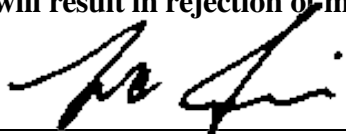
Name	Date	Dollar Value
_____	_____	_____
_____	_____	_____
_____	_____	_____
_____	_____	_____

### SECTION III: VALIDATION STATEMENT

This Validation Statement must be completed and signed by at least one General Partner, Owner, Principal, or Officer authorized to legally commit the proposer.

#### DECLARATION

I, (printed full name) Terrence Bryant Jenkins, hereby declare that I am the (position or title) Principal of (firm name) Sperry Capital Inc., and that I am duly authorized to execute this Validation Statement on behalf of this entity. I hereby state that this SCAG Conflict of Interest Form dated February 26, 2014 is correct and current as submitted. I acknowledge that any false, deceptive, or fraudulent statements on this Validation Statement will result in rejection of my contract proposal.



\_\_\_\_\_  
Signature of Person Certifying for Proposer  
(original signature required)

February 26, 2014

\_\_\_\_\_  
Date

#### NOTICE

A material false statement, omission, or fraudulent inducement made in connection with this SCAG Conflict of Interest Form is sufficient cause for rejection of the contract proposal or revocation of a prior

# SCAG CONFLICT OF INTEREST FORM

RFP No. 14-019

## SECTION I: INSTRUCTIONS

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Any questions regarding the information required to be disclosed in this form should be directed to Justine Block, SCAG Deputy Legal Counsel.

**Name of Firm:** Cambridge Systematics, Inc.

**Name of Preparer:** John E. Evans

**Project Title:** Public Private Partnership – Finance and Delivery Strategies Screening for the East West Freight Corridor

**RFP Number:** No. 14-019 **Date Submitted:** March 7, 2014

## SECTION II: QUESTIONS

1. During the last twelve (12) months, has your firm provided a source of income to employees of SCAG or members of the SCAG Regional Council, or have any employees or Regional Council members held any investment (including real property) in your firm?

☐ YES ☒ NO

If "yes," please list the names of those SCAG employees and/or SCAG Regional Council members and the nature of the financial interest:

Name	Nature of Financial Interest
<hr/>	<hr/>
<hr/>	<hr/>
<hr/>	<hr/>
<hr/>	<hr/>

2. Have you or any members of your firm been an employee of SCAG or served as a member of the SCAG Regional Council within the last twelve (12) months?

☐ YES ☒ NO

If "yes," please list name, position, and dates of service:

Name	Position	Dates of Service
_____	_____	_____
_____	_____	_____
_____	_____	_____
_____	_____	_____

3. Are you or any managers, partners, or officers of your firm related by blood or marriage/domestic partnership to an employee of SCAG or member of the SCAG Regional Council that is considering your proposal?

☐ YES ☒ NO

If "yes," please list name and the nature of the relationship:

Name	Relationship
_____	_____
_____	_____
_____	_____
_____	_____

4. Does an employee of SCAG or a member of the SCAG Regional Council hold a position at your firm as a director, officer, partner, trustee, employee, or any position of management?

☐ YES ☒ NO

If "yes," please list name and the nature of the relationship:

Name	Relationship
_____	_____
_____	_____
_____	_____
_____	_____

5. Have you or any managers, partners, or officers of your firm ever given (directly or indirectly), or offered to give on behalf of another or through another person, campaign contributions or gifts to any current employee of SCAG or member of the SCAG Regional Council (including contributions to a political committee created by or on behalf of a member/candidate)?

☒ YES ☐ NO

If "yes," please list name, date gift or contribution was given/offered, and dollar value:

Name	Date	Dollar Value
James Hahn	6/6/2001	\$250.00
Antonio Villaraigosa	6/6/2001	\$250.00

### SECTION III: VALIDATION STATEMENT

This Validation Statement must be completed and signed by at least one General Partner, Owner, Principal, or Officer authorized to legally commit the proposer.

#### DECLARATION

I, (printed full name) John E. Evans, hereby declare that I am the (position or title) Principal of (firm name) Cambridge Systematics, Inc., and that I am duly authorized to execute this Validation Statement on behalf of this entity. I hereby state that this SCAG Conflict of Interest Form dated February 27, 2014 is correct and current as submitted. I acknowledge that any false, deceptive, or fraudulent statements on this Validation Statement will result in rejection of my contract proposal.

 February 27, 2014  
Signature of Person Certifying for Proposer Date  
(original signature required)

#### NOTICE

A material false statement, omission, or fraudulent inducement made in connection with this SCAG Conflict of Interest Form is sufficient cause for rejection of the contract proposal or revocation of a prior contract award.



# SCAG CONFLICT OF INTEREST FORM

RFP No. 14-019

## SECTION I: INSTRUCTIONS

All persons or firms seeking contracts must complete and submit a SCAG Conflict of Interest Form along with the proposal. This requirement also applies to any proposed subconsultant(s). Failure to comply with this requirement may cause your proposal to be declared non-responsive.

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Any questions regarding the information required to be disclosed in this form should be directed to Justine Block, SCAG Deputy Legal Counsel.

Name of Firm: IBI Group

Name of Preparer: Lorraine Pasqualini

Project Title: Public Private Partnership - Finance and Delivery Strategies Screening for the East West Freight Corridor

RFP Number: 14-019 Date Submitted: 2/27/14

## SECTION II: QUESTIONS

1. During the last twelve (12) months, has your firm provided a source of income to employees of SCAG or members of the SCAG Regional Council, or have any employees or Regional Council members held any investment (including real property) in your firm?

☐ YES ☒ NO

If "yes," please list the names of those SCAG employees and/or SCAG Regional Council members and the nature of the financial interest:

Name	Nature of Financial Interest
<hr/>	<hr/>
<hr/>	<hr/>
<hr/>	<hr/>
<hr/>	<hr/>



2. Have you or any members of your firm been an employee of SCAG or served as a member of the SCAG Regional Council within the last twelve (12) months?

☐ YES      X NO

If "yes," please list name, position, and dates of service:

Name	Position	Dates of Service
_____	_____	_____
_____	_____	_____
_____	_____	_____

3. Are you or any managers, partners, or officers of your firm related by blood or marriage/domestic partnership to an employee of SCAG or member of the SCAG Regional Council that is considering your proposal?

☐ YES      X NO

If "yes," please list name and the nature of the relationship:

Name	Relationship
_____	_____
_____	_____
_____	_____
_____	_____

4. Does an employee of SCAG or a member of the SCAG Regional Council hold a position at your firm as a director, officer, partner, trustee, employee, or any position of management?

☐ YES      X NO

If "yes," please list name and the nature of the relationship:

Name	Relationship
_____	_____
_____	_____
_____	_____
_____	_____

5. Have you or any managers, partners, or officers of your firm ever given (directly or indirectly), or offered to give on behalf of another or through another person, campaign contributions or gifts to any current employee of SCAG or member of the SCAG Regional Council (including contributions to a political committee created by or on behalf of a member/candidate)?

☐ YES      ☒ NO

If "yes," please list name, date gift or contribution was given/offered, and dollar value:

Name	Date	Dollar Value
_____	_____	_____
_____	_____	_____
_____	_____	_____
_____	_____	_____

### SECTION III: VALIDATION STATEMENT

This Validation Statement must be completed and signed by at least one General Partner, Owner, Principal, or Officer authorized to legally commit the proposer.

#### DECLARATION

I, (printed full name) David Chow, PE, AICP, hereby declare that I am the (position or title) Regional Director, USA West of (firm name) IBI Group, and that I am duly authorized to execute this Validation Statement on behalf of this entity. I hereby state that this SCAG Conflict of Interest Form dated 2/27/14 is correct and current as submitted. I acknowledge that any false, deceptive, or fraudulent statements on this Validation Statement will result in rejection of my contract proposal.



Signature of Person Certifying for Proposer  
(original signature required)

2/27/14

Date

#### NOTICE

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**DATE:** August 7, 2014

**TO:** Executive/Administration Committee (EAC)  
Regional Council (RC)

**FROM:** Basil Panas, Chief Financial Officer, (213) 236-1817, panas@scag.ca.gov

**SUBJECT:** Contracts \$200,000 or Greater: Contract No. 14-024-C1, Interstate 405 (I-405) Corridor Master Plan

**EXECUTIVE DIRECTOR'S APPROVAL:**



**RECOMMENDED ACTION:**

Approve Contract No. 14-024-C1 with System Metrics Group, in an amount not-to-exceed \$435,525, to provide professional services to develop a long-range I-405 Corridor Master Plan.

**EXECUTIVE SUMMARY:**

*The I-405 Corridor Master Plan is intended to serve as a blueprint to provide recommended guidance on integrating transportation improvements and strategies along the corridor to meet county and regional needs.*

**STRATEGIC PLAN:**

This item supports SCAG's Strategic Plan Goal 1: Improve Regional Decision Making by Providing Leadership and Consensus Building on Key Plans and Policies; Objective c: Provide Practical Solutions for Moving New Ideas Forward.

**BACKGROUND:**

**Staff recommends executing the following contract \$200,000 or greater:**

<b><u>Consultant/Contract #</u></b>	<b><u>Contract Purpose</u></b>	<b><u>Contract Amount</u></b>
System Metrics Group, Inc. (14-019-C1)	The consultant shall provide recommended guidance on integrating transportation improvements and strategies along the corridor to meet county and regional needs.	\$435,525

**FISCAL IMPACT:**

Funding of \$100,000 is available in the FY 2014-15 budget, and funding of \$335,525 is expected to be available in the FY 2015-16 budget, subject to budget availability.

**ATTACHMENT:**

Consultant Contract No. 14-024-C1

## CONSULTANT CONTRACTS 14-024-C1

<b>Recommended Consultant:</b>	System Metrics Group	
<b>Background &amp; Scope of Work:</b>	<p>SCAG, in coordination with the Los Angeles County Metropolitan Transportation Authority (Metro), the Orange County Transportation Authority (OCTA), and the California Department of Transportation (Caltrans) Districts 7 and 12, are working together to develop a long-range I-405 Corridor Master Plan, spanning approximately 73 miles from I-5 in Los Angeles County to I-5 in Orange County.</p> <p>Several studies (completed or in progress) address parts of the I-405 Corridor. The purpose of this project is to integrate these efforts, identify and resolve applicable deficiencies and policy issues needed for corridor-wide integration, and develop a Master Plan for the I-405 Corridor incorporating funding and phasing recommendations. This Master Plan is intended to serve as a blueprint to provide recommended guidance on integrating transportation improvements and strategies along the corridor to meet county and regional needs.</p> <p>The Consultant shall provide professional services to develop a long-range I-405 Corridor Master Plan.</p>	
<b>Project's Benefits &amp; Key Deliverables:</b>	<p>The project's benefits and key deliverables include, but are not limited to:</p> <ul style="list-style-type: none"><li>• Development and evaluation of scenarios and cost estimates for phasing and policies, resulting in (a) preferred scenario(s), and (b) a phasing plan;</li><li>• Comprehensive strategy that documents key decision and concurrence points amongst Project Advisory Team (PAT) agencies;</li><li>• Quarterly PAT meetings, including agendas and presentation materials</li><li>• Stakeholder coordination materials, including summaries of meetings and workshops;</li><li>• Draft and Final Corridor Planned Projects Summary Report, Corridor Definition Memorandum, and Detailed Baseline Future Conditions Report; and</li><li>• Draft and Final I-405 Corridor Master Plan.</li></ul>	
<b>Strategic Plan:</b>	<p>This item supports SCAG's Strategic Plan Goal 1: Improve Regional Decision Making by Providing Leadership and Consensus Building on Key Plans and Policies; Objective c: Provide Practical Solutions for Moving New Ideas Forward.</p>	
<b>Contract Amount:</b>	<b>Total not-to-exceed</b>	<b>\$435,525</b>
	System Metrics Group (prime consultant)	\$265,405
	AECOM Technical Services, Inc. (subconsultant)	\$150,049
	RS&H California, Inc.	\$20,071
<b>Contract Period:</b>	Notice-to-Proceed through June 30, 2016	
<b>Project Number:</b>	015.SCG00159.01     \$435,525	
	<p>Funding of \$100,000 is available in the FY 2014-15 budget, and the remaining \$335,525 is expected to be available in the FY 2015-16 budget, subject to budget availability.</p> <p>Funding sources: Consolidated Planning Grant – FTA and TDA</p>	

**Request-for-Proposal (RFP):** SCAG staff notified 1,103 firms of the release of RFP 14-024-C1 via SCAG's Solicitation Management system. A total of 104 firms downloaded the RFP. SCAG received the following two (2) proposals in response to the solicitation:

**System Metrics Group (2 subconsultants)** **\$435,525**

Parsons Brinckerhoff (4 subconsultants) \$392,433

**Selection Process:** The Proposal Review Committee (PRC) evaluated each proposal in accordance with the criteria set forth in the RFP, and conducted the selection process in a manner consistent with all applicable federal and state contracting regulations. After evaluating the proposals, the PRC interviewed both offerors.

The PRC consisted of the following individuals:

Naresh Amatya, Manager of Transportation Planning, SCAG  
Annie Nam, Manager of Transportation Finance & Goods Movement, SCAG  
Fulgene Asuncion, Transportation Planning Manager, Metro  
Greg Nord, Transportation Analyst, OCTA  
Marco Ruano, Chief, Office of Traffic Engineering, Caltrans District 7

**Basis for Selection:** The PRC recommends System Metrics Group (SMG) for contract award because the Consultant:

- Provided the most holistic, operational approach to assessing the I-405 corridor, with extensive experience in leading broader studies on traffic operations;
- Demonstrated the most comprehensive knowledge of current conditions in proposal and oral presentation, including illustration of demand profiles for different segments of the I-405 corridor; and
- Demonstrated the most extensive knowledge of corridor and traffic operations and proposed a pragmatic approach to integrating various study efforts into a single master plan with emphasis on phasing/funding of proposed projects instead of focusing on modal themes as proposed by the lowest cost proposer, thereby providing the best overall value to SCAG.

**Conflict Of Interest Form - Attachment  
For August 7, 2014 Regional Council Approval**

**Item No. 10**

Approve Contract No. 14-024-C1, in an amount not to exceed \$435,525, to provide professional services for the I-405 Corridor Master Plan.

The consultant teams for the contract include:

System Metrics Group, Inc. Sub: AECOM Technical Services, Inc. Sub: RS&H California, Inc.
---

# SCAG CONFLICT OF INTEREST FORM

RFP No. 14-024

## SECTION I: INSTRUCTIONS

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Any questions regarding the information required to be disclosed in this form should be directed to Justine Block, SCAG Deputy Legal Counsel.

Name \_\_\_\_\_ of \_\_\_\_\_ Firm: \_\_\_\_\_

System Metrics Group, Inc. Name \_\_\_\_\_ of \_\_\_\_\_

Preparer: \_\_\_\_\_ William S. McCullough III \_\_\_\_\_

Project Title: Interstate 405 (I-405) Corridor Master Plan \_\_\_\_\_

\_\_\_\_\_ RFP Number: 14-024

\_\_\_\_\_ Date Submitted: May 11, 2014 \_\_\_\_\_

## SECTION II: QUESTIONS

1. During the last twelve (12) months, has your firm provided a source of income to employees of SCAG or members of the SCAG Regional Council, or have any employees or Regional Council members held any investment (including real property) in your firm?

☐ YES ☒ NO

If "yes," please list the names of those SCAG employees and/or SCAG Regional Council members and the nature of the financial interest:

Name	Nature of Financial Interest
_____	_____
_____	_____
_____	_____
_____	_____

2. Have you or any members of your firm been an employee of SCAG or served as a member of the SCAG Regional Council within the last twelve (12) months?

☐ YES ☒ NO

If "yes," please list name, position, and dates of service:

Name	Position	Dates of Service
_____	_____	_____
_____	_____	_____
_____	_____	_____
_____	_____	_____

3. Are you or any managers, partners, or officers of your firm related by blood or marriage/domestic partnership to an employee of SCAG or member of the SCAG Regional Council that is considering your proposal?

☐ YES ☒ NO

If "yes," please list name and the nature of the relationship:

Name	Relationship
_____	_____
_____	_____
_____	_____
_____	_____

4. Does an employee of SCAG or a member of the SCAG Regional Council hold a position at your firm as a director, officer, partner, trustee, employee, or any position of management?

☐ YES ☒ NO

If "yes," please list name and the nature of the relationship:

Name	Relationship
_____	_____
_____	_____
_____	_____
_____	_____



5. Have you or any managers, partners, or officers of your firm ever given (directly or indirectly), or offered to give on behalf of another or through another person, campaign contributions or gifts to any current employee of SCAG or member of the SCAG Regional Council (including contributions to a political committee created by or on behalf of a member/candidate)?

☐ YES ☒ NO

If "yes," please list name, date gift or contribution was given/offered, and dollar value:

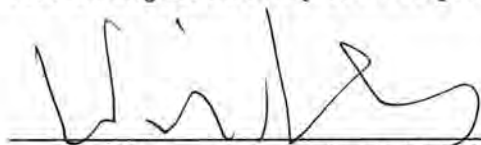
Name	Date	Dollar Value
_____	_____	_____
_____	_____	_____
_____	_____	_____
_____	_____	_____

### SECTION III: VALIDATION STATEMENT

This Validation Statement must be completed and signed by at least one General Partner, Owner, Principal, or Officer authorized to legally commit the proposer.

#### DECLARATION

I, (printed full name) William McCullough, hereby declare that I am the (position or title) Vice President of (firm name) System Metrics Group, Inc., and that I am duly authorized to execute this Validation Statement on behalf of this entity. I hereby state that this SCAG Conflict of Interest Form dated May 11, 2014 is correct and current as submitted. I acknowledge that any false, deceptive, or fraudulent statements on this Validation Statement will result in rejection of my contract proposal.



Signature of Person Certifying for Proposer  
(original signature required)

May 11, 2014

Date

### **NOTICE**

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# SCAG CONFLICT OF INTEREST FORM

RFP No. 14-024

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Any questions regarding the information required to be disclosed in this form should be directed to Justine Block, SCAG Deputy Legal Counsel.

**Name of Firm:** AECOM Technical Services, Inc.

**Name of Preparer:** James de la Loza

**Project Title:** Interstate 405 Corridor Master Plan

**RFP Number:** 14-024 **Date Submitted:** May 14, 2014

## SECTION II: QUESTIONS

1. During the last twelve (12) months, has your firm provided a source of income to employees of SCAG or members of the SCAG Regional Council, or have any employees or Regional Council members held any investment (including real property) in your firm?

☐ YES ☒ NO

If "yes," please list the names of those SCAG employees and/or SCAG Regional Council members and the nature of the financial interest:

Name	Nature of Financial Interest
<hr/>	<hr/>
<hr/>	<hr/>
<hr/>	<hr/>
<hr/>	<hr/>

2. Have you or any members of your firm been an employee of SCAG or served as a member of the SCAG Regional Council within the last twelve (12) months?

☐ YES ☒ NO

If "yes," please list name, position, and dates of service:

Name	Position	Dates of Service

3. Are you or any managers, partners, or officers of your firm related by blood or marriage/domestic partnership to an employee of SCAG or member of the SCAG Regional Council that is considering your proposal?

☐ YES ☐ NO AECOM is a large multinational corporation with over 45,000 employees worldwide. As such, there is no way for us to know whether any of AECOM's managers, partners, or officers are related by blood or marriage/domestic partnership to an employee of SCAG or member of the SCAG Regional Council.

If "yes," please list name and the nature of the relationship:

Name	Relationship

4. Does an employee of SCAG or a member of the SCAG Regional Council hold a position at your firm as a director, officer, partner, trustee, employee, or any position of management?

☐ YES ☒ NO

If "yes," please list name and the nature of the relationship:

Name	Relationship

5. Have you or any managers, partners, or officers of your firm ever given (directly or indirectly), or offered to give on behalf of another or through another person, campaign contributions or gifts to any current employee of SCAG or member of the SCAG Regional Council (including contributions to a political committee created by or on behalf of a member/candidate)?

☒ YES ☐ NO

If "yes," please list name, date gift or contribution was given/offered, and dollar value:


Name	Date	Dollar Value
Please see following page		

### SECTION III: VALIDATION STATEMENT

This Validation Statement must be completed and signed by at least one General Partner, Owner, Principal, or Officer authorized to legally commit the proposer.

#### DECLARATION

I, (printed full name) James de la Loza, hereby declare that I am the (position or title) Senior Vice President of (firm name) AECOM Technical Services, Inc., and that I am duly authorized to execute this Validation Statement on behalf of this entity. I hereby state that this SCAG Conflict of Interest Form dated May 2, 2014 is correct and current as submitted. I acknowledge that any false, deceptive, or fraudulent statements on this Validation Statement will result in rejection of my contract proposal.

  
\_\_\_\_\_  
Signature of Person Certifying for Proposer (original signature required)      May 2, 2014      Date

#### NOTICE

A material false statement, omission, or fraudulent inducement made in connection with this SCAG Conflict of Interest Form is sufficient cause for rejection of the contract proposal or revocation of a prior contract award.

**SCAG Conflict of Interest Form**  
**RFP No. 14-024 Interstate 405 (I-405)**  
**Response to Section II, Question 5**

Have you or any managers, partners, or officers of your firm ever given (directly or indirectly), or offered to give on behalf of another or through another person, campaign contributions or gifts to any current employee of SCAG or member of the SCAG Regional Council (including contributions to a political committee created by or behalf of a member/candidate)? YES

The following is a list of campaign contributions made over the past ten (10) years by AECOM, or its subsidiary company, to a current member of the SCAG Regional Council.

<u>Name</u>	<u>Date</u>	<u>Dollar Value</u>	<u>Operating Entity</u>
Garcetti, Eric	3/27/2013	\$ 25,000	AECOM
Buscaino, Joel	3/22/2012	\$ 500	AECOM
Martinez, Michelle	12/21/2011	\$ 2,500	AECOM
Englander, Mitch	10/5/2010	\$ 500	AECOM
Murray, Kris	2/16/2010	\$ 1,700	AECOM
Garcetti, Eric	10/5/2009	\$ 500	AECOM
Garcetti, Eric	6/1/2009	\$ 500	AECOM
Martinez, Nury	12/17/2008	\$ 1,000	AECOM
Fuentes, Felip	5/12/2008	\$ 1,000	AECOM
Blumenfield, Bob	4/15/2008	\$ 1,000	AECOM
Cedillo, Gilbert	2/26/2008	\$ 1,000	DMJM+Harris
Huizar, Jose	3/6/2007	\$ 500	DMJM+Harris
Huizar, Jose	7/31/2006	\$ 500	DMJM+Harris
Ridley-Thomas, Mark	7/31/2006	\$ 1,500	DMJM+Harris
Huizar, Jose	6/30/2005	\$ 500	DMJM+Harris
Brown, Art C.	7/9/2004	\$ 250	DMJM+Harris

# SCAG CONFLICT OF INTEREST FORM

RFP No. 14-024

## SECTION I: INSTRUCTIONS

All persons or firms seeking contracts must complete and submit a SCAG Conflict of Interest Form along with the proposal. This requirement also applies to any proposed subconsultant(s). Failure to comply with this requirement may cause your proposal to be declared non-responsive.

In order to answer the questions contained in this form, please review SCAG's Conflict of Interest Policy, the list of SCAG employees, and the list of SCAG's Regional Council members. All three documents can be viewed online at [www.scag.ca.gov](http://www.scag.ca.gov). The SCAG Conflict of Interest Policy is located under "Doing Business with SCAG," whereas the SCAG staff and Regional Council members lists can be found under "About SCAG."

Any questions regarding the information required to be disclosed in this form should be directed to Justine Block, SCAG Deputy Legal Counsel.

Name \_\_\_\_\_ of \_\_\_\_\_ Firm: \_\_\_\_\_  
RS&H California, Inc. \_\_\_\_\_ Name \_\_\_\_\_ of \_\_\_\_\_  
Preparer: \_\_\_\_\_ James Avitabile \_\_\_\_\_  
Project Title: \_\_\_\_\_ Interstate 405 (I-405) Corridor Master Plan \_\_\_\_\_  
RFP Number: \_\_\_\_\_ 14-024 \_\_\_\_\_ Date Submitted: \_\_\_\_\_ May 14, 2014 \_\_\_\_\_

## SECTION II: QUESTIONS

1. During the last twelve (12) months, has your firm provided a source of income to employees of SCAG or members of the SCAG Regional Council, or have any employees or Regional Council members held any investment (including real property) in your firm?

☐ YES ☒ NO

If "yes," please list the names of those SCAG employees and/or SCAG Regional Council members and the nature of the financial interest:

Name	Nature of Financial Interest
_____	_____
_____	_____
_____	_____
_____	_____

2. Have you or any members of your firm been an employee of SCAG or served as a member of the SCAG Regional Council within the last twelve (12) months?

☐ YES ☒ NO

If "yes," please list name, position, and dates of service:

Name	Position	Dates of Service
_____	_____	_____
_____	_____	_____
_____	_____	_____
_____	_____	_____

3. Are you or any managers, partners, or officers of your firm related by blood or marriage/domestic partnership to an employee of SCAG or member of the SCAG Regional Council that is considering your proposal?

☐ YES ☒ NO

If "yes," please list name and the nature of the relationship:

Name	Relationship
_____	_____
_____	_____
_____	_____
_____	_____

4. Does an employee of SCAG or a member of the SCAG Regional Council hold a position at your firm as a director, officer, partner, trustee, employee, or any position of management?

☐ YES ☒ NO

If "yes," please list name and the nature of the relationship:

Name	Relationship
_____	_____
_____	_____
_____	_____
_____	_____



5. Have you or any managers, partners, or officers of your firm ever given (directly or indirectly), or offered to give on behalf of another or through another person, campaign contributions or gifts to any current employee of SCAG or member of the SCAG Regional Council (including contributions to a political committee created by or on behalf of a member/candidate)?

☐ YES ☒ NO

If "yes," please list name, date gift or contribution was given/offered, and dollar value:

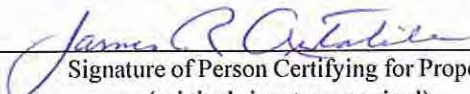
Name	Date	Dollar Value
_____	_____	_____
_____	_____	_____
_____	_____	_____
_____	_____	_____

### SECTION III: VALIDATION STATEMENT

This Validation Statement must be completed and signed by at least one General Partner, Owner, Principal, or Officer authorized to legally commit the proposer.

#### DECLARATION

I, (printed full name) James Avitabile, hereby declare that I am the (position or title) Senior Vice President of (firm name) RS&H California, Inc. and that I am duly authorized to execute this Validation Statement on behalf of this entity. I hereby state that this SCAG Conflict of Interest Form dated May 7, 2014 is correct and current as submitted. I acknowledge that any false, deceptive, or fraudulent statements on this Validation Statement will result in rejection of my contract proposal.

  
\_\_\_\_\_  
Signature of Person Certifying for Proposer  
(original signature required)

May 7, 2014  
\_\_\_\_\_  
Date

### **NOTICE**

A material false statement, omission, or fraudulent inducement made in connection with this SCAG Conflict of Interest Form is sufficient cause for rejection of the contract proposal or revocation of a prior contract award.

**DATE:** August 7, 2014

**TO:** Executive/Administration Committee (EAC)  
Regional Council (RC)

**FROM:** Basil Panas, Chief Financial Officer, (213)-236-1817, panas.scag.ca.gov

**SUBJECT:** Contracts \$200,000 or Greater: Contract No. 15-002-G1, State Governmental Affairs Consulting and Lobbying Services

**EXECUTIVE DIRECTOR'S APPROVAL:** 

**RECOMMENDED ACTION:**

Approve Consultant Contract No. 15-002-G1, to Capitol Representation Group for state governmental affairs and lobbying services, in an amount not to exceed \$468,000, for the period October 1, 2014, through September 30, 2017 with a two-year option.

**EXECUTIVE SUMMARY:**

*The purpose of the procurement is to retain state advisory support service that will assist SCAG with implementing the Regional Council-approved state legislative priorities.*

**STRATEGIC PLAN:**

This item supports SCAG's Strategic Plan Goal 2: Obtain Regional Transportation Infrastructure Funding and Promote Legislative Solutions for Regional Planning Priorities; Objective 1: Identify new infrastructure funding opportunities with state, federal and private partners; Objective 2: Identify and Support Legislative Initiatives.

**BACKGROUND:**

**Staff recommends executing the following contract \$200,000 or greater**

<b><u>Consultant/Contract No.</u></b>	<b><u>Contract Purpose</u></b>	<b><u>Contract Amount</u></b>
Capitol Representation Group (15-002-G1)	The Consultant shall provide governmental affairs and support services in Sacramento, California.	\$468,000

**FISCAL IMPACT:**

Funding of \$87,750 is available in the FY 2014-15 budget, \$90,750 is expected to be available in the 2015-16 budget, \$93,750 in the FY 2016-17 budget, \$96,750 in the in 2017-18 budget and the remaining \$99,000 is expected to be available in FY the 2018-19 budget, subject to budget availability.

**ATTACHMENT:**

Consultant Contract No. 15-002-G1

## CONSULTANT CONTRACT 15-002-G1

### **Recommended Consultant:**

Capital Representation Group

### **Background & Scope of Work:**

SCAG has an urgent and compelling need to obtain legislative advocacy services in Sacramento, California beginning with the next state legislative session in 2015. SCAG is officially designated by various federal and state laws as a Council of Governments (COG), a Multi-County Designated Transportation Planning Agency, and the Metropolitan Planning Organization (MPO) for the southern California region. As such, SCAG has a number of formal authorities and responsibilities, including but not limited to:

- Conducting a comprehensive transportation planning process that results in a Regional Transportation Plan and Sustainable Communities' Strategy (RTP/SCS) (or the "Plan") and Federal Transportation Improvement Program (FTIP). Together these plans serve as the legal basis for transportation decision-making in the region. SCAG is required by law to develop and adopt a revised RTP/SCS(or "Update") at least once every four years, and major transportation projects receiving either state or state funding must be consistent with the provisions of the plan.
- Developing demographic projections and the integrated land use, housing, employment, and transportation programs, measures, and strategies portions of the South Coast Air Quality Management Plan.
- Determining, pursuant to the Clean Air Act, the conformity of RTP and FTIP to air quality requirements.
- Reviewing and assessing Environmental Impact Reports (EIRs) for projects of regional significance.
- Conducting inter-governmental review of programs proposed for State assistance.
- Preparing Regional Housing Needs Assessment allocations for cities and counties, and
- Preparing regional economic analysis across business sectors with public and private sector partners to expedite regional economic recovery and growth.

The Consultant shall primarily assist SCAG elected leadership, executive management and legislative affairs staff in developing and executing state priorities approved by the Regional Council. The Consultant shall also identify strategies and timelines for seeking funding through the California State Legislative budget and appropriations process.

### **Project's Benefits & Key Deliverables:**

Among other things, the Consultant shall actively monitor Sacramento legislation of interest to SCAG including committee hearings, serve as SCAG's representative at Transportation, Appropriations, Budget Committees or any other legislative committees and, actively advocate SCAG's positions as directed. Consultant shall also monitor and report on legislation of interest to SCAG as well as monitor the project/programming/allocation discussions and actions of state government, specifically the California Transportation Commission, Caltrans, Air Resources Board, and the Strategic Growth Council. The Consultant shall advocate, monitor and report on legislation of interest to SCAG as directed. Key deliverables shall include but are not limited to:

- Develop strategies for successfully attaining SCAG's adopted state legislative priorities, in support of SCAG sponsored legislation and for SCAG's funding objectives (if appropriate) to be included in the annual appropriation and budget measures affecting SCAG and the SCAG Region.
- The state government affairs consultant/lobbyist shall arrange briefings and schedule visits, ads directed, for Regional Council Members, SCAG executive management, government affairs and other SCAG staff with appropriate members of California State Legislature, legislative staff, and key Governor's Administration officials and staff.
- The consultant shall coordinate opportunities for SCAG representatives to provide testimony at relevant legislative committee hearings and shall coordinate opportunities for SCAG representatives to provide testimony and input at relevant state administrative hearings and meetings.
- The Consultant shall monitor and, personally attend upon request of SCAG, relevant legislative and regulatory hearings including those of the state legislature as well as other administrative bodies with jurisdiction over issues addressed within SCAG's adopted legislative program. Such administrative entities may include the Air Resources Board, California Transportation Commission, State Water Resources Control Board, Strategic Growth Council, and other bodies as identified by SCAG.
- The Consultant shall provide support as part of its annual legislative activities, a visit to the California State Legislature during the late winter/early spring of each year for its elected leadership and staff. The purpose of the visit is to deliver a unified message about the critical challenges facing the transportation, goods movement, land use, housing, environmental, job creation, water, air quality and other issues facing Southern California.

<b>Strategic Plan</b>	This item supports SCAG's Strategic Plan Goal 2: Obtain Regional Transportation Infrastructure Funding and Promote Legislative Solutions for Regional Planning Priorities; Objective 1: Identify new infrastructure funding opportunities with state, federal and private partners; Objective 2: Identify and Support Legislative Initiatives.	
<b>Contract Amount:</b>	<b>Total not-to-exceed</b> Capital Representation Group (prime consultant)	<b>\$468,000</b>
<b>Contract Period:</b>	October 1, 2014 through September 30, 2019	
<b>Project Number:</b>	800.SCGC0160.02 <b>\$468,000</b> Funding of \$84,750 is available in the FY 2014-15 budget, \$87,750 is expected to be available in the 2015-16 budget, \$90,750 in the FY 2016-17 budget, \$93,750 in the in 2017-18 budget and the remaining \$96,000 is expected to be available in FY the 2018-19 budget, subject to budget availability. Funding sources: General Fund	

**Request-for-Proposal (RFP):** SCAG staff notified 1,708 firms of the release of RFP 15-002-G1 via SCAG's Solicitation Management System. A total of 28 firms downloaded the RFP. SCAG received the following two (2) proposals in response to the solicitation:

**Capital Representation Group (no sub consultants) \$468,000**

Townsend Public Affairs (no sub consultant) \$450,000

**Selection Process:** The Proposal Review Committee (PRC) evaluated each proposal in accordance with the criteria set forth in the RFP and conducted the selection process in a manner consistent with all applicable federal and state contracting regulations. After evaluating the proposals, the PRC interviewed both firms.

The PRC consisted of the following individuals:

Darin Chidsey, Director, SCAG  
Jeff Dunn, Legislative Analyst, SCAG  
Tricia Almiron, Legislative Analyst, SANBAG

**Basis for Selection:** The PRC recommends the Capitol Representation Group for the contract award for the following reasons:

- The Consultant demonstrated more experience and familiarity with the policy areas and issues that comprise SCAG's adopted state legislative priorities as well as a broader understanding of the SCAG's institutional development, and the statutory underpinnings of its authority, mandate, and jurisdiction; and
- The Consultant's proposal also contained more specific legislative examples demonstrating success in core areas of responsibility including transportation funding, freight/goods movement, cap-and-trade, economic development, and local government finance.

Although the Capitol Representation Group's price was slightly higher than the other firm, the PRC determined that Capitol Representation Group provided a better value for the reasons previously mentioned.

# SCAG CONFLICT OF INTEREST FORM

RFP No. 15-002

## SECTION I: INSTRUCTIONS

All persons or firms seeking contracts must complete and submit a SCAG Conflict of Interest Form along with the proposal. This requirement also applies to any proposed subconsultant(s). Failure to comply with this requirement may cause your proposal to be declared non-responsive.

In order to answer the questions contained in this form, please review SCAG's Conflict of Interest Policy, the list of SCAG employees, and the list of SCAG's Regional Council members. All three documents can be viewed online at [www.scag.ca.gov](http://www.scag.ca.gov). The SCAG Conflict of Interest Policy is located under "Doing Business with SCAG," whereas the SCAG staff and Regional Council members lists can be found under "About SCAG."

Any questions regarding the information required to be disclosed in this form should be directed to Justine Block, SCAG Deputy Legal Counsel especially if you answer yes to any question in this form, as doing so MAY also disqualify your firm from submitting an offer on this proposal

Name of Firm: Capital Representation Group, Inc.

Name of Preparer: Tim Egan

Project Title: State Governmental Affairs Consulting & Lobbying Services

RFP Number: 15-002 Date Submitted: June 27, 2014

## SECTION II: QUESTIONS

1. During the last twelve (12) months, has your firm provided a source of income to employees of SCAG or members of the SCAG Regional Council, or have any employees or Regional Council members held any investment (including real property) in your firm?

☐ YES ☒ NO

If "yes," please list the names of those SCAG employees and/or SCAG Regional Council members and the nature of the financial interest:

Name	Nature of Financial Interest
_____	_____
_____	_____
_____	_____
_____	_____



2. Have you or any members of your firm been an employee of SCAG or served as a member of the SCAG Regional Council within the last twelve (12) months?

☐ YES ☒ NO

If "yes," please list name, position, and dates of service:

Name	Position	Dates of Service
_____	_____	_____
_____	_____	_____
_____	_____	_____
_____	_____	_____

3. Are you or any managers, partners, or officers of your firm related by blood or marriage/domestic partnership to an employee of SCAG or member of the SCAG Regional Council that is considering your proposal?

☐ YES ☒ NO

If "yes," please list name and the nature of the relationship:

Name	Relationship
_____	_____
_____	_____
_____	_____
_____	_____

4. Does an employee of SCAG or a member of the SCAG Regional Council hold a position at your firm as a director, officer, partner, trustee, employee, or any position of management?

☐ YES ☒ NO

If "yes," please list name and the nature of the relationship:

Name	Relationship
_____	_____
_____	_____
_____	_____
_____	_____



5. Have you or any managers, partners, or officers of your firm ever given (directly or indirectly), or offered to give on behalf of another or through another person, campaign contributions or gifts to any current employee of SCAG or member of the SCAG Regional Council (including contributions to a political committee created by or on behalf of a member/candidate)?

☐ YES ☒ NO

If "yes," please list name, date gift or contribution was given/offered, and dollar value:

Name	Date	Dollar Value
_____	_____	_____
_____	_____	_____
_____	_____	_____
_____	_____	_____

### SECTION III: VALIDATION STATEMENT

This Validation Statement must be completed and signed by at least one General Partner, Owner, Principal, or Officer authorized to legally commit the proposer.

#### DECLARATION

I, (printed full name) Timothy P. Egan, hereby declare that I am the (position or title) Managing Partner of (firm name) Capital Representation Group, Inc., and that I am duly authorized to execute this Validation Statement on behalf of this entity. I hereby state that this SCAG Conflict of Interest Form dated June 27, 2014 is correct and current as submitted. I acknowledge that any false, deceptive, or fraudulent statements on this Validation Statement will result in rejection of my contract proposal.



\_\_\_\_\_  
Signature of Person Certifying for Proposer  
(original signature required)

June 27, 2014

\_\_\_\_\_  
Date

#### NOTICE

A material false statement, omission, or fraudulent inducement made in connection with this SCAG Conflict of Interest Form is sufficient cause for rejection of the contract proposal or revocation of a prior contract award.

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**DATE:** August 7, 2014

**TO:** Executive/Administration Committee (EAC)  
Regional Council (RC)

**FROM:** Basil Panas, Chief Financial Officer, (213) 236-1817, panas@scag.ca.gov

**SUBJECT:** Amendment(s) Greater than 30% of the Contract's Original Value: Contract No. 13-018, Organizational Development Services

**EXECUTIVE DIRECTOR'S APPROVAL:**



**RECOMMENDED ACTION:**

Approve Amendment 4 to Contract No. 13-018 to reallocate the \$141,555 of funds from two of three firms on this \$225,000 on-call bench contract for organizational development services, to the Cultiver Group increasing their initial contract award from \$75,000 to \$216,555.

**EXECUTIVE SUMMARY:**

*The purpose of this Amendment is to reallocate \$141,555 of funds on this \$225,000 on-call bench contract for organizational development services. Staff evenly allocated the original \$225,000 contract award between three (3) firms, \$75,000 each. Staff now desires to reallocate \$141,555 of unspent funds from two firms to the Cultiver Group, bringing their total to \$216,555. This reallocation would effectively amend the Cultiver Group's contract in excess of 30% of its initial value. Therefore, in accordance with the SCAG Procurement Manual (dated 02/14/13) Section 8.3, this amendment requires the Regional Council's approval. The contract's \$225,000 aggregate value remains unchanged.*

**STRATEGIC PLAN:**

This item supports SCAG's Strategic Plan Goal 5: Optimize Organizational Efficiency and Cultivate an Engaged Workforce which includes investing in the employee development process by providing tools, resources, and support for employees.

**BACKGROUND:**

**Staff recommends amending the following contract \$200,000 or greater:**

<u>Consultant/Contract #</u>	<u>Contract Purpose</u>		<u>Contract Amount</u>
Cultiver Group, Inc. (13-018)	The Consultant shall provide management coaching services on an as needed basis.	Cultiver Group	\$216,555
		Public Sector Excellence	\$8,545
		Boldwork	\$0
		Total:	\$225,000

**FISCAL IMPACT:**

Funding of \$55,000 is available in the FY 2014-15 budget, and the remaining \$86,555 is expected to be available in the FY 2015-16 budget, subject to budget availability.

**ATTACHMENT:**

Consultant Contract No. 13-018

## SCAG CONFLICT OF INTEREST FORM

RFIQ No. 13-018

### SECTION I: INSTRUCTIONS

All persons or firms seeking contracts must complete and submit a SCAG Conflict of Interest Form along with the proposal. This requirement also applies to any proposed subconsultant(s). Failure to comply with this requirement may cause your proposal to be declared non-responsive.

In order to answer the questions contained in this form, please review SCAG's Conflict of Interest Policy, the list of SCAG employees, and the list of SCAG's Regional Council members. All three documents can be viewed online at [www.scag.ca.gov](http://www.scag.ca.gov). The SCAG Conflict of Interest Policy is located under "Doing Business with SCAG," whereas the SCAG staff and Regional Council members lists can be found under "About SCAG."

Any questions regarding the information required to be disclosed in this form should be directed to Justine Block, SCAG Deputy Legal Counsel.

Name of Firm: CULTIVER GROUP INC.

Name of Preparer: MICHAEL J. COFFEY

Project Title: Organizational Development Services

RFIQ Number: 13-018 Date Submitted: NOVEMBER 27, 2012

### SECTION II: QUESTIONS

1. During the last twelve (12) months, has your firm provided a source of income to employees of SCAG or members of the SCAG Regional Council, or have any employees or Regional Council members held any investment (including real property) in your firm?

☐ YES ☒ NO

If "yes," please list the names of those SCAG employees and/or SCAG Regional Council members and the nature of the financial interest:

Name	Nature of Financial Interest
_____	_____
_____	_____
_____	_____
_____	_____

2. Have you or any members of your firm been an employee of SCAG or served as a member of the SCAG Regional Council within the last twelve (12) months?

☐ YES ☒ NO

If "yes," please list name, position, and dates of service:

Name	Position	Dates of Service
_____	_____	_____
_____	_____	_____
_____	_____	_____

3. Are you or any managers, partners, or officers of your firm related by blood or marriage/domestic partnership to an employee of SCAG or member of the SCAG Regional Council that is considering your proposal?

☐ YES ☒ NO

If "yes," please list name and the nature of the relationship:

Name	Relationship
_____	_____
_____	_____
_____	_____

4. Does an employee of SCAG or a member of the SCAG Regional Council hold a position at your firm as a director, officer, partner, trustee, employee, or any position of management?

☐ YES ☒ NO

If "yes," please list name and the nature of the relationship:

Name	Relationship
_____	_____
_____	_____
_____	_____

5. Have you or any managers, partners, or officers of your firm ever given (directly or indirectly), or offered to give on behalf of another or through another person, campaign contributions or gifts to any current employee of SCAG or member of the SCAG Regional Council (including contributions to a political committee created by or on behalf of a member/candidate)?

☐ YES ☒ NO

If "yes," please list name, date gift or contribution was given/offered, and dollar value:

Name	Date	Dollar Value
_____	_____	_____
_____	_____	_____
_____	_____	_____

### SECTION III: VALIDATION STATEMENT

This Validation Statement must be completed and signed by at least one General Partner, Owner, Principal, or Officer authorized to legally commit the proposer.

#### DECLARATION

I, (printed full name) MICHAEL J. COFFEY, hereby declare that I am the (position or title) CEO of (firm name) CULTIVER GROUP INC., and that I am duly authorized to execute this Validation Statement on behalf of this entity. I hereby state that this SCAG Conflict of Interest Form dated November 27, 2012 is correct and current as submitted. I acknowledge that any false, deceptive, or fraudulent statements on this Validation Statement will result in rejection of my contract proposal.

  
Signature of Person Certifying for Proposer  
(original signature required)

November 27, 2012  
Date

#### NOTICE

A material false statement, omission, or fraudulent inducement made in connection with this SCAG Conflict of Interest Form is sufficient cause for rejection of the contract proposal or revocation of a prior contract award.

**DATE:** August 7, 2014

**TO:** Executive/Administration Committee (EAC)  
Regional Council (RC)

**FROM:** Darin Chidsey; Director, Strategy, Policy & Public Affairs; (213) 236-1836;  
[chidsey@scag.ca.gov](mailto:chidsey@scag.ca.gov)

**SUBJECT:** SCAG Memberships and Sponsorship

**EXECUTIVE DIRECTOR'S APPROVAL:**



**RECOMMENDED ACTION:**

Approve.

**EXECUTIVE SUMMARY:**

*The Legislative/Communications & Membership Committee (LCMC) met on July 15, 2014 and recommended approval of up to \$76,402 in annual memberships and a sponsorship for: 1) American Public Transportation Association (\$5,602); 2) California Association of Councils of Government (\$30,800); 3) National Association of Regional Councils (\$30,000); and 4) UCLA Lewis Center and Institute of Transportation Studies Transportation Land-Use Environment Connection Symposium (\$10,000).*

**STRATEGIC PLAN:**

This item supports SCAG's Strategic Plan, Goal 2: Obtain Regional Transportation infrastructure Funding and Promote Legislative Solutions for Regional Planning Priorities; Objective b) Identify and support legislative initiatives.

**BACKGROUND:**

SCAG's participation and leadership in several organizations are central to the success of SCAG's core responsibilities and funds have been included in the approved FY 2014/15 budget. They are consistent with SCAG work priorities for FY 2014-2015 as approved by the Regional Council.

**Memberships**

**1. American Public Transportation Association (APTA) \$5,602**

The American Public Transportation Association (APTA) is a leading force in advancing public transportation. APTA members include transit systems, government agencies, manufacturers, suppliers, consulting firms, contractors, and other business partners. To strengthen and improve public transportation, APTA serves and leads its diverse membership through advocacy, innovation, and information sharing. An annual membership, with dues for FY 2013-2014 in the amount of \$5,602, provides SCAG with access to the highest-quality tools, resources, and programs, including advocacy efforts, networking and partnership opportunities, the latest industry research and data, and professional development. These benefits are valuable in light of new requirements under the recently enacted federal transportation reauthorization bill, Moving Ahead for Progress in the 21st Century (MAP-21), and will continue to be beneficial as Congress continues to work on the next federal transportation reauthorization bill.

## **2. California Association of Councils of Government (CALCOG) \$30,800**

Established in 1977, the California Association of Council of Governments (CALCOG) is a statewide association representing 36 regional planning agencies working to assist each member in developing capacity to serve its members' needs for regional coordination and policy development. CALCOG's members serve as a forum for local governments to prepare regional plans, set regional transportation policy, strengthen the effectiveness of local government, and develop and maintain regional databases.

CALCOG works with and through its members to:

- Review plans, and policies on subjects agreed upon by members;
- Coordinate policy development as appropriate to the League of California Cities, the California State Association of Counties, the National Association of Regional Councils, and the Association of Metropolitan Planning Organizations;
- Promote more effective planning at the regional level;
- Conduct statewide workshops and conferences which provide members with an ideal opportunity to discuss key issues and learn from recognized experts in various fields; and
- Provide an informational clearinghouse on issues of concern to the regions and state.

The FY 2014-2015 annual dues are \$30,800. Former SCAG President Pam O'Connor serves on CALCOG's Executive Committee and Hasan Ikhrata serves on the Executive Director Committee.

In the post SB 375 era, and the vast quantity of legislation and policies related to regional issues and sustainable communities, CALCOG membership has become increasingly more valuable to SCAG and its members. CALCOG provides a strong voice for regional organizations in Sacramento with the legislature and the administration. However, there is a cost associated with this greater effort and it is reflected in a dues increase for 2014-2015. In FY 2013-2014, SCAG paid \$26,647 in CALCOG dues and a \$4,000 one-time voluntary supplemental payment to support CALCOG's cap-and-trade work. Dues have increased for FY 2014-2015, but taking into consideration the dues paid in FY 2013-2014 and the voluntary supplemental payment, the FY 2014-2015 dues have only increased by \$103. As background, under previous CALCOG management, SCAG's dues in FY 09-10 were \$44,607.

## **3. National Association of Regional Councils (NARC) \$30,000**

NARC is the leading advocate for Metropolitan Planning Organizations (MPO) based out of Washington, DC. NARC serves as the national voice for regionalism by advocating for regional cooperation as the most effective way to address a variety of community planning and development opportunities and issues. NARC's member organizations are composed of multiple local governments that work together to serve American communities – large and small, urban and rural. They regularly provide solutions that positively impact American communities through effective inter-jurisdictional cooperation. SCAG has been an active member of NARC throughout the years and recommends continuing to do so as this organization is consistent with SCAG core responsibilities and adopted Mission.

The FY 2014-2015 dues are \$30,000. As a national public interest organization, NARC works with and through its members to:

- Shape federal policy that recognizes the increased value of local intergovernmental cooperation;



- Advocate effectively for the role of regional councils in the coordination, planning, and delivery of current and future federal programs;
- Provide research and analysis of key national issues and developments that impact our members; and
- Offer high quality learning and networking opportunities for regional organization through events, training, and technical assistance.

Former SCAG President Pam O'Connor is the District XIV Representative on the NARC Board of Directors. SCAG Executive Director, Hasan Ikhrata is one of the District XIV Representatives on the Executive Directors Council. SCAG Chief Deputy Executive Director Sharon Neely serves as the Chair of the Goods Movement Subcommittee.

## **Sponsorship**

### **4. UCLA Lewis Center and Institute of Transportation Studies Transportation Land-Use Environment Connection Symposium (October 19-21,2014) \$10,000**

Each year, the UCLA Lewis Center and Institute of Transportation Studies Program holds a symposium dealing with regional and public policy issues. This year's symposium--Resilient Cities & Regions--will take place on October 19-21, 2014 at the UCLA Lake Arrowhead Conference Center and will include panels and other forums for dialogue among public officials, private industry leaders, and audience members to explore the implications of recent and foreseeable future technological innovations for transportation, land use, and environmental policy and planning. SCAG has been a sustaining co-sponsor of this program, which enables SCAG to maintain membership on the 2014-2015 Arrowhead Steering Committee and directly help plan and evaluate the event, including suggesting topics and speakers and nominating experts to attend the symposium consistent with SCAG goals. The sponsorship also provides the following:

- One (1) complimentary registration;
- Three (3) registrations at 50% off;
- 12 nominations for conference attendance;
- Exclusive display of promotional materials during afternoon reception or dinner;
- Highlighted recognition on main conference website with logo on landing page;
- Highlighted recognition as Platinum sponsor from the podium as meal or reception sponsor;
- Shared display of promotional materials at the registration table;
- Shared recognition in conference materials;
- Opportunity to network with speakers, faculty, and prominent public officials; and
- Recognition as sponsor of (choose one):
  - Sunday Afternoon Reception, Sunday Dinner, Monday Afternoon Reception, or Monday Dinner

SCAG staff is recommending again a Platinum Level sponsorship in the amount of \$10,000. SCAG Board members and Executive staff will attend the meeting.

## **FISCAL IMPACT:**

\$76,402 for memberships and sponsorship are included in the approved FY 2014-2015 General Fund budget.

## **ATTACHMENT:**

None

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**DATE:** August 7, 2014

**TO:** Regional Council (RC)  
Executive/Administration Committee (EAC)  
Community, Economic, and Human Development (CEHD) Committee  
Energy and Environment Committee (EEC)  
Transportation Committee (TC)

**FROM:** Hasan Ikhata, Executive Director, [ikhata@scag.ca.gov](mailto:ikhata@scag.ca.gov), 213-236-1944

**SUBJECT:** SCAG Sustainability Planning Grants Program – Monthly Update

**EXECUTIVE DIRECTOR'S APPROVAL:** 

**RECOMMENDED ACTION:**

Receive and File.

**EXECUTIVE SUMMARY:**

*SCAG is providing a monthly update (attached) regarding successful implementation of the 73 Sustainability Grants to member agencies. Forty-four (44) of the seventy-three (73) approved SCAG Sustainability Planning Grants were funded in the fall of 2013. An additional fifteen (15) projects were funded in the summer of 2014. Six of these projects will be funded by an award to SCAG from the California Strategic Growth Council. At the time this report was distributed, forty-five (45) grant projects have had Scopes of Work developed and finalized, forty-three (43) grant projects have had Request for Proposals (RFPs) released, forty-two (42) grant projects have selected consultants, and thirty-three (33) grant projects have had contracts executed.*

**STRATEGIC PLAN:**

This item supports SCAG's Strategic Plan Goal 1: Improve Regional Decision Making by Providing Leadership and Consensus Building on Key Plans and Policies; and Goal 4: Develop, Maintain and Promote the Utilization of State of the Art Models, Information Systems and Communication Technologies.

**BACKGROUND:**

On September 12, 2013, the Regional Council approved seventy-three (73) Sustainability Planning Grant projects and directed staff to proceed with funding projects with available funds for Phases I and Phase II projects (total of 44 projects). The remaining projects will be part of Phase III and will proceed as additional funds become available in FY 2014/2015.

SCAG staff is providing monthly updates to the Board regarding implementation of the seventy-three (73) grants. At the time this report was distributed, **forty-five (45)** grant projects have had scopes of work developed in partnership with the cities, **forty-three (43)** grant projects have had RFPs released, **forty-two (42)** grant projects have consultants selected and **thirty-three (33)** grant projects have completed negotiations and have contracts executed.

**FISCAL IMPACT:**

Funding is included in SCAG's FY 2014-15 Overall Work Program (OWP) Budget. Staff's work budget for the current fiscal year are included in FY 2014-15 OWP 065.SCG02663.02.

**ATTACHMENT:**

Summary Progress Chart

# SCAG Sustainability Planning Grants

July 29, 2014

Regional Council Progress Update

Working / Last							
Rank	Applicant	Project	Contact	Scope	RFP	Selection	Contract
<b>Phase 1 (Available funds FY 13-14)</b>							
1	San Bernardino County	Bloomington Area Valley Blvd. Specific Plan Health and Wellness Element - <b>Public health; Active transportation; Livability; Open space</b>	x	x	x	x	x
2	Los Angeles - Department of City Planning	Van Nuys & Boyle Heights Modified Parking Requirements - <b>Economic development; TOD; Livability</b>	x	x	x	x	x
3	Los Angeles - Department of City Planning	Bicycle Plan Performance Evaluation - <b>Active transportation; performance measures</b>	x	x	x	x	x
4	Western Riverside Council of Governments	Public Health: Implementing the Sustainability Framework - <b>Public health; Multi-jurisdiction coordination; Sustainability</b>	x	x	x	x	x
5	Santa Ana	Complete Streets Plan - <b>Complete streets; Active transportation; Livability</b>	x	x	x	x	x
6	San Bernardino Associated Governments	Climate Action Plan Implementation Tools - <b>GHG reduction; Multi-jurisdiction coordination; Implementation</b>	x	x	x	x	x
7	Riverside	Restorative Growthprint Riverside - <b>GHG reduction; Infrastructure investment; Economic development</b>	x	x	x	x	x
8	Orange County Parks	Orange County Bicycle Loop - <b>Active transportation; Multi-jurisdictional; Public health</b>	x	x	x	x	x
9	Ventura County	Connecting Newbury Park - Multi-Use Pathway Plan - <b>Active transportation; Public health; Adaptive re-use</b>	x	x	x	x	x
10	Imperial County Transportation Commission	Safe Routes to School Plan - <b>Multi-modal; Active transportation</b>	x	x			

Working / Last								
Rank	Applicant	Project	Contact	Scope	RFP	Selection	Contract	
11	Yucaipa	College Village/Greater Dunlap Neighborhood Sustainable Community - <b>Complete Streets; TOD</b>	x	x	x	x	x	
12	Las Virgenes-Malibu Council of Governments	Multi-Jurisdictional Regional Bicycle Master Plan - <b>Active transportation; Public health; Adaptive re-use</b>	x	x	x	x	x	
13	Eastvale	Bicycle & Pedestrian Master Plan - <b>Active Transportation</b>	x	x	x	x	x	
14	West Covina	Downtown Central Business District - <b>Multi-modal; Active transportation</b>	x	x	x			
15	Placentia	General Plan/Sustainability Element & Development Code Assistance - <b>General Plan Update; Sustainability Plan</b>	x	x	x	x	x	
16	Paramount/Bellflower	Regional Bicycle Connectivity - West Santa Ana Branch Corridor - <b>Active transportation; multi-jurisdiction</b>	x	x	x	x	x	
17	Costa Mesa	Implementation Plan for Multi-Purpose Trails - <b>Active Transportation</b>	x	x	x	x	x	
<b>Phase 2 (Available funds)</b>								
18	Fullerton	East Wilshire Avenue Bicycle Boulevard - <b>Active transportation; Livability; Demonstration project</b>	x	x	x	x	x	
19	Beaumont	Climate Action Plan - <b>GHG reduction</b>	x	x	x	x	x	
20	Palm Springs	Sustainability Master Plan Update - <b>Leverages larger effort; commitment to implement</b>	x					
21	Big Bear Lake	Rathbun Corridor Sustainability Plan - <b>Multi-modal; Economic development; Open space</b>	x	x	x	x	x	
22	Western Riverside Council of Governments	Land Use, Transportation, and Water Quality Planning Framework - <b>Integrated planning, Sustainability</b>	x	x	x	x	x	
23	Anaheim	Bicycle Master Plan Update - <b>Active transportation</b>	x	x	x	x	x	

Working / Last							
Rank	Applicant	Project	Contact	Scope	RFP	Selection	Contract
24	Ontario	Ontario Airport Metro Center - <b>Multi-modal; Visualization; Integrated planning</b>	x				
25	Coachella Valley Association of Governments	CV Link Health Impact Assessment - <b>Active transportation; Public health; Multi-jurisdiction</b>	x	x	x	x	x
26	San Bernardino Associated Governments	San Bernardino Countywide Complete Streets Strategy - <b>Multi-modal; Livability; Multi-jurisdiction</b>	x	x	x	x	
27	Chino Hills	Climate Action Plan and Implementation Strategy - <b>GHG reduction; Implementation; Sustainability</b>	x	x	x	x	x
28	Coachella	La Plaza East Urban Development Plan - <b>Mixed-use, TOD, Infill</b>	x	x	x	x	x
29	South Bay Bicycle Coalition/Hermosa, Manhattan, Redondo	Bicycle Mini-Corral Plan - <b>Active transportation; implementable; good value</b>	x	x	x	x	x
30	Hawthorne	Crenshaw Station Area Active Transportation Plan and Overlay Zone - <b>Multi-modal; Active transportation; GHG reduction</b>	x	x	x	x	x
31	Chino	Bicycle & Pedestrian Master Plan - <b>Multi-modal; Active transportation</b>	x	x	x	x	x
32	Stanton	Green Planning Academy - <b>Innovative; Sustainability; Education &amp; outreach</b>	x	x	x	x	
33	Hermosa Beach	Carbon Neutral Plan - <b>GHG reduction; Sustainability</b>	x	x	x	x	x
34	Palm Springs	Urban Forestry Initiative - <b>Sustainability; Unique; Resource protection</b>	x	x	x	x	
35	Orange County	"From Orange to Green" - County of Orange Zoning Code Update - <b>Sustainability; implementation</b>	x	x	x	x	x
36	Calimesa	Wildwood and Calimesa Creek Trail Master Plan Study - <b>Active transportation; Resource protection</b>	x	x	x	x	

Working / Last								
Rank	Applicant	Project	Contact	Scope	RFP	Selection	Contract	
37	Western Riverside Council of Governments	Climate Action Plan Implementation - <b>GHG Reduction; Multi-jurisdiction; implementation</b>	x	x	x	x	x	
38	Lynwood	Safe and Healthy Community Element - <b>Public health &amp; safety, General Plan update</b>	x	x	x	x	x	
39	Palmdale	Avenue Q Feasibility Study - <b>Mixed-use; Integrated planning</b>	x	x	x	x	x	
40	Long Beach	Willow Springs Wetland Habitat Creation Plan - <b>Open Space; Resource protection</b>	x	x	x	x		
41	Indio	General Plan Sustainability and Mobility Elements - <b>Sustainability; Multi-modal, General Plan update</b>	x	x	x	x		
42	Glendale	Space 134 - <b>Open space/Freeway cap; Multi-modal</b>	x	x	x	x		
43	Rancho Palos Verdes/City of Los Angeles	Western Avenue Corridor Design Implementation Guidelines - <b>Urban Infill; Mixed-use; Multi-modal</b>	x	x	x	x	x	
44	Moreno Valley	Nason Street Corridor Plan - <b>Multi-modal; Economic development</b>	x	x	x	x	x	
<b>Phase 3 (Pending additional funds)</b>								
45	Park 101/City of Los Angeles	Park 101 District - <b>Open space/Freeway cap; Multi-modal</b>	x					
46	Los Angeles/San Fernando	Northeast San Fernando Valley Sustainability & Prosperity Strategy - <b>Multi-jurisdiction; Economic development; Sustainability</b>	x					
47	San Dimas	Downtown Specific Plan - <b>Mixed use; Infill</b>	x					
48	Los Angeles - Department of City Planning	CEQA Streamlining: Implementing the SCS Through New Incentives - <b>CEQA streamlining</b>	Oct-13					
49	Pico Rivera	Kruse Road Open Space Study - <b>Open space; Active transportation</b>	x					

Working / Last								
Rank	Applicant	Project	Contact	Scope	RFP	Selection	Contract	
50	South Bay Cities Council of Governments	Neighborhood-Oriented Development Graphics - public outreach	x					
51	San Bernardino Associated Governments	Safe Routes to School Inventory - <b>Active transportation; Public health</b>	x					
52	Burbank	Mixed-Use Development Standards - <b>Mixed use; Urban infill</b>	x					
53	San Bernardino Associated Governments	Countywide Habitat Preservation/Conservation Framework - <b>Open Space; Active Transportation</b>	x					
54	Rancho Cucamonga	Healthy RC Sustainability Action Plan - <b>Public health; implementation</b>	Oct-13					
55	Pasadena	Form-Based Street Design Guidelines - <b>Complete Streets; Multi-modal; Livability</b>	Oct-13					
56	South Gate	Gateway District/Eco Rapid Transit Station Specific Plan - <b>Land Use Design; Mixed Use; Active Transportation</b>	x					
57	Lancaster	Complete Streets Master Plan - <b>Complete Streets Plan</b>	Oct-13					
58	Rancho Cucamonga	Feasibility Study for Relocation of Metrolink Station - <b>Transit Access</b>	Oct-13					
59	Santa Clarita	Soledad Canyon Road Corridor Plan - <b>Land Use Design; Mixed Use Plan</b>	Oct-13					
60	Seal Beach	Climate Action Plan - <b>Climate Action Plan</b>	Oct-13					
61	La Mirada	Industrial Area Specific Plan - <b>Land Use Design</b>	Oct-13					
62	Hemet	Downtown Hemet Specific Plan - <b>Land Use Design; Mixed Use Plan</b>	Oct-13					
63	Hollywood Central Park/City of Los Angeles	Hollywood Central Park EIR - <b>Open Space/Freeway Cap; Multi-modal</b>	x					
64	Desert Hot Springs	Bicycle/Pedestrian Beltway Planning Project - <b>Active Transportation</b>	Oct-13					



Working / Last								
Rank	Applicant	Project	Contact	Scope	RFP	Selection	Contract	
65	Cathedral City	General Plan Update - Sustainability - <b>General Plan Update; Sustainability Plan</b>	Oct-13					
66	Westminster	General Plan Update - Circulation Element - <b>General Plan Update; Complete Streets</b>	x					
67	La Canada Flintridge	Climate Action Plan - <b>Climate Action Plan</b>	Oct-13					
68	Huntington Beach	Neighborhood Electric Vehicle Plan - <b>Electric Vehicle</b>	Oct-13					
69	Pasadena	Green House Gas (GHG) Emission Reduction Evaluation Protocol - <b>Climate Action Plan</b>	Oct-13					
70	San Bernardino Associated Governments	Countywide Bicycle Route Mobile Application - <b>Active Transportation</b>	Oct-13					
71	Dana Point	General Plan Update - <b>General Plan Update</b>	Oct-13					
72	Garden Grove	RE:IMAGINE Downtown - Pedals & Feet - <b>Active Transportation; Infill</b>	Oct-13					
73	Barstow	Housing Element and Specific Plan Update - <b>Housing; Land Use Design</b>	Oct-13					

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**DATE:** August 7, 2014

**TO:** Executive/Administration Committee (EAC)  
Regional Council (RC)

**FROM:** Basil Panas, Chief Financial Officer, (213) 236-1817, panas@scag.ca.gov

**SUBJECT:** Purchase Orders \$5,000 but less than \$200,000; Contracts \$25,000 but less than \$200,000;  
and Amendments \$5,000 but less than \$75,000

**EXECUTIVE DIRECTOR'S APPROVAL:** 

**RECOMMENDED ACTION:**

For Information Only - No Action Required.

**STRATEGIC PLAN:**

This item supports SCAG'S Strategic Plan Goal 3: Enhance the Agency's Long Term Financial Stability and Fiscal Management.

**BACKGROUND:**

**SCAG executed the following Purchase Orders (PO's) between \$5,000 and \$200,000**

<b><u>Vendor</u></b>	<b><u>PO Purpose</u></b>	<b><u>PO Amount</u></b>
Qwest Communications Corp. dba Century Link	Internet Service	\$130,000
Microsoft Corporation	Surface Pro Computers	\$119,390
SAS Institute, Inc.	SAS Software License & Support	\$113,700
ServerSupply.com, Inc.	HP Drives For Co-Location	\$35,500
ESRI	ARCGIS Software Support	\$31,687
CDW Government, Inc.	Polycom IP Phones	\$30,234
Daily Journal Corporation	Publication Of Public Notices	\$21,589
Digital Map Products, LLC	Data Purchase	\$18,000
Southern Calif. Leadership Network	Annual Membership	\$18,000
DLT Solutions	Street Centerline Data	\$17,611
CompuCom Systems, Inc.	Vmware And Vsphere Support	\$16,229
Granicus, Inc.	Webstream Software License Renewal	\$14,973
CDW Government, Inc.	Adobe Connect Meeting Hosting	\$12,000
InterVISTASConsulting LLC	Consulting Service	\$11,750
Dell Marketing	Touch Screen Monitors	\$9,398
Ventura Hospitality Partners, LLC dba Crown Plaza	EAC Retreat	\$7,000
Exe. Office Concepts, Inc. dba EOC	Conference Room Furniture	\$6,009
LAEDC	Trade Outlook Conference	\$5,000

# REPORT

## SCAG executed the following Contracts between \$25,000 and \$200,000

<b>Consultant/Contract #</b>	<b>Contract's Purpose</b>	<b>Contract Amount</b>
1. KTU&A (14-001-B31)	The Consultant shall provide consultant services for an approved Sustainability Planning Grant Program for the City of Chino. Specifically, the Consultant shall prepare a Bicycle and Pedestrian Master Plan consistent with the California Street and Highways Code Section 891.2.	\$199,512
2. Dyett & Bhatia (14-001-B35)	The Consultant shall provide services for a Sustainability Planning Grant for the County of Orange. Specifically, the Consultant shall provide professional services to review the County of Orange's existing Zoning Code to identify barriers to new proven and/or innovative practices and make recommendations that will facilitate healthier communities, flexibility in site design regulations, and cost-effectiveness.	\$198,956
3. Moore Iacofano Goltsman, Inc. (14-001-B21)	The Consultant shall provide services for a Sustainability Planning Grant for the City of Big Bear Lake. Specifically, the Consultant shall provide assistance to the City to adopt the Rathbun Corridor Sustainability Plan which will conceptualize a pedestrian, bicycle, and equestrian trail to stimulate active-transportation-oriented development aligned with SCAG's 2012-2035 Regional Transportation Plan/Sustainable Communities Strategy (2012 RTP/SCS) goals and objectives to stimulate regional active-transportation oriented development.	\$195,103
4. Atkins North America, Inc. (14-001-B19)	The Consultant shall provide consultant services for a Sustainability Project for the City of Beaumont. Specifically, the City wishes to develop a Climate Action Plan (CAP) in order to develop cost-effective greenhouse gas emission (GHG) reduction strategies for the City. A major part of this project includes the development of a greenhouse gas inventory and a set of best practices that Beaumont can implement, as well as share with other jurisdictions in the SCAG region.	\$174,653
5. AECOM Technical Services, Inc. (14-001-B43)	The Consultant shall provide services for a Sustainability Planning Grant for the Cities of	\$162,446

# REPORT

## SCAG executed the following Contracts between \$25,000 and \$200,000

<b>Consultant/Contract #</b>	<b>Contract's Purpose</b>	<b>Contract Amount</b>
	Rancho Palos Verdes (RPV) and Los Angeles (LA). Specifically, the Consultant shall develop Design Implementation Guidelines (Guidelines) for the Western Avenue Corridor Vision Plan. The Guidelines will provide the Cities of RPV and LA with a modern and sustainable set of plans to transform this aging concrete metropolis corridor into a vibrant streetscape with a coordinated model for bicycle, pedestrian, and transit activity.	
6. Raimi Associates, Inc. (14-001-B44)	The Consultant shall provide consultant services for a Sustainability Project for the City of Moreno Valley. Specifically, the Consultant shall prepare the Nason Street Corridor Plan with a goal of developing this major arterial into a vibrant and safe transit corridor.	\$149,621
7. Alta Planning + Design (14-001-B16)	The Consultant shall provide services for a Sustainability Planning Grant Program for the Cities of Bellflower and Paramount. Specifically, the Consultant shall develop complementary bicycle master plans that focus on the Pacific Electric Right-Of-Way (PEROW) for future adoption. Bellflower's emphasis is on increasing connectivity between the Bike Trail and a limited number of target destinations, including other bike trails, parks, high schools, and downtown Bellflower. Paramount hopes to continue the bike path, providing connectivity to the residents of Bellflower, Paramount and other regional bicyclists passing through to the Los Angeles River Bike Path.	\$139,957
8. IML US Inc. (14-012-C1)	The Consultant shall provide electronic voting (e-voting) equipment and services. SCAG utilizes the equipment for voting at the Regional Council (RC) and policy committees.	\$134,194
9. Human Impact Partners (14-001-B25)	The Consultant shall provide consultant services for a Sustainability Project for the City of the Coachella Valley (CV). Specifically, the Consultant shall assess the City's CV Link. The 52-mile CV Link will assist the SCAG region in implementing its Sustainable Communities	\$107,940

# REPORT

## SCAG executed the following Contracts between \$25,000 and \$200,000

<b>Consultant/Contract #</b>	<b>Contract's Purpose</b>	<b>Contract Amount</b>
	Strategy to reduce greenhouse gas emissions from passenger vehicles by introducing a "green" alternative to driving. The trail will enable users to walk, jog, bike, or drive their Neighborhood Electric Vehicles (NEVs) to different points throughout the nine cities in the Coachella Valley.	
10. Atkins North America, Inc. (14-001-B27)	The Consultant shall provide services for a Sustainability Planning Grant Program for the City of Chino Hills. The City of Chino Hills intends to develop and adopt a Climate Action Plan and Implementation Strategy (CAPIS) that will facilitate the reduction of greenhouse gas (GHG) emissions throughout the City, including municipal operations. Once implemented, the CAPIS will contribute to the regional goals and policies of SCAG's 2012-2035 Regional Transportation Plan/Sustainable Communities Strategy (2012 RTP/SCS) including "placemaking," increased travel choices, improvements in public health, and improved access and mobility.	\$102,252
11. Raimi + Associates (14-001-B38)	The Consultant shall provide consultant services for a Sustainability Project for the City of Lynwood. Specifically, the Consultant shall update the City's Safe and Healthy Community Element that will address the major intersections of public health and planning, including transportation and active living, access to nutritious food, access to health care, mental health, social capital, and clean air. These topics support SCAG's regional transportation and land use policies for improved community livability, active transportation and sustainability development.	\$99,954
12. Dyett & Bhatia (14-001-B39)	The Consultant shall provide services for a Sustainability Planning Grant for the City of Palmdale. Specifically, the Consultant shall provide professional services to develop the Avenue Q Corridor as a Transit Oriented Development (TOD) mixed use development corridor, designed to maximize mobility and	\$97,606

# REPORT

## SCAG executed the following Contracts between \$25,000 and \$200,000

<b>Consultant/Contract #</b>	<b>Contract's Purpose</b>	<b>Contract Amount</b>
	accessibility, ensure travel safety and reliability, and encourage land use growth patterns that facilitate transit oriented and non-motorized transportation consistent with the Sustainable Communities Strategy (SCS) outlined in SCAG's 2012-2035 Regional Transportation Plan/Sustainability Communities Strategies (2012 RTP/SCS).	
13. KTU&A (14-001-B13)	The Consultant shall provide services for a Sustainability Planning Grant Program for the City of Eastvale. Specifically, the Consultant shall develop a comprehensive citywide Bicycle Master Plan for the City of Eastvale that shall guide the planning, development, and management of existing and future bicycle facilities within the City as well as integrate these facilities with other active transportation projects and strategies benefitting the SCAG region.	\$79,922
14. URS Corp. (14-001-B30)	The Consultant shall provide services for a Sustainability Planning Grant Program for the City of Hawthorne. Specifically, the Consultant shall develop an Active Transportation Plan (ATP) and an implementation strategy to connect the Crenshaw Station safely and conveniently to the manufacturing, commercial and residential developments, as well as the existing bicycle paths within walking and biking distance. Developing the ATP as well as implementation strategy is a planning effort designed to align the plan investments and policies with improving regional economic development and competitiveness, consistent with SCAG's 2012-2035 Regional Transportation Plan/Sustainability Communities Strategy (2012 RTP/SCS).	\$67,586
15. Fehr and Peers (14-001-B28)	The Consultant shall provide services for a Sustainability Planning Grant for the City of Coachella. Specifically, the Consultant, shall provide assistance to create an urban development plan (La Plaza East Urban Development Plan) that identifies land-use and transportation planning best practices and outcomes, which are strategically aligned with SCAG's 2012 – 2035	\$59,840

# REPORT

## SCAG executed the following Contracts between \$25,000 and \$200,000

<u>Consultant/Contract #</u>	<u>Contract's Purpose</u>	<u>Contract Amount</u>
	Regional Transportation Plan/Sustainable Communities Strategy (2012 RTP/SCS) goals and objectives that encourages development of a distressed commercial property that is well-positioned for future passenger rail and new SunLine bus routes and facilities.	
16. Alta Planning + Design (14-001-B29)	The Consultant shall provide consultant services for a Sustainability Project for the Cities of Manhattan Beach, Redondo Beach, and Hermosa Beach in partnership with the South Bay Bicycle Coalition. Specifically, the Consultant shall develop a Bicycle Mini-Corral Plan for the Cities of Hermosa Beach, Manhattan Beach, and Redondo Beach.	\$39,994
17. Juan Matute (dba Kaizenergy) (14-001-B33)	The Consultant shall provide consultant services for a Sustainability Planning Grant Program for the City of Hermosa Beach. Specifically, the purpose of this project is to facilitate non-automobile modes of travel in the South Bay subregion, as well as reducing air pollution from vehicles.	\$28,191

## SCAG executed the Amendment between \$5,000 and \$74,999

<u>Consultant/Contract #</u>	<u>Amendment's Purpose</u>	<u>Amendment Amount</u>
N/A	N/A	N/A

**ATTACHMENT:**  
Contract Summaries



## **CONSULTANT CONTRACT 14-001-B31**

<b>Recommended Consultant:</b>	KTU&A		
<b>Background &amp; Scope of Work:</b>	<p>The Consultant shall provide consultant services for an approved Sustainability Planning Grant Program for the City of Chino. Specifically, the Consultant shall prepare a Bicycle and Pedestrian Master Plan consistent with the California Street and Highways Code Section 891.2. While the focus of the Bicycle Master Plan is specific to the City of Chino, the Bicycle and Pedestrian Master Plan shall incorporate any and all applicable active transportation goals, objectives and policies in SCAG's 2012-2035 Regional Transportation Plan/Sustainable Communities Strategy (2012 RTP/SCS), to ensure integrated regional transportation planning. In addition, the Bicycle and Pedestrian Master Plan shall incorporate other applicable plans such as the 2011 Non-Motorized Transportation Plan by the San Bernardino Associated Governments (SANBAG) and adjacent cities' bicycle master plans.</p>		
<b>Project's Benefits &amp; Key Deliverables:</b>	<p>The project's benefits and key deliverables include, but are not limited to:</p> <ul style="list-style-type: none"><li>• A California Street and Highways Code Section 891.2 compliant-plan for bicycle and pedestrian improvements that promotes a safe and healthy alternative to vehicular traffic; and</li><li>• Development of a Bicycle and Pedestrian Master Plan consistent with SCAG's 2012 RTP/SCS that will help promote active transportation locally within the City and the SCAG region.</li></ul>		
<b>Strategic Plan:</b>	<p>This item supports SCAG's Strategic Plan Goal 1: Improve Regional Decision Making by Providing Leadership and Consensus Building on Key Plans and Policies; Objective a: Create and Facilitate a Collaborative and Cooperative Environment to Produce Forward Thinking Regional Plans.</p>		
<b>Contract Amount:</b>	<b>Total not-to-exceed</b>		<b>\$199,512</b>
	KTU&A (prime consultant)		\$163,280
	Linscott Law & Greenspan (subconsultant)		\$36,232
<b>Contract Period:</b>	May 5, 2014 through June 30, 2015		
<b>Project Number:</b>	065-0137.01	\$199,512	
	Funding sources: Consolidated Planning Grant – FTA & TDA		
<b>Request-for-Proposal (RFP):</b>	<p>SCAG staff notified 1,598 firms of the release of RFP 14-001-B31 via SCAGS Solicitation Management System. A total of 70 firms downloaded the RFP. SCAG received the following five (5) proposals in response to the solicitation:</p>		
	<b>KTU&amp;A (1 subconsultant)</b>		<b>\$199,512</b>
	Alta Planning (2 subconsultants)		\$160,141
	KOA (1 subconsultant)		\$184,753
	IBI (1 subconsultant)		\$194,760
	Langan Engineering & Environmental Services, Inc. (1 subconsultant)		\$199,015

**Selection Process:** The Proposal Review Committee (PRC) evaluated each proposal in accordance with the criteria set forth in the RFP, and conducted the selection process in a manner consistent with all applicable federal and state contracting regulations. After evaluating the proposals, the PRC interviewed the three (3) highest ranked offerors.

The PRC consisted of the following individuals:

Mike Kellison, Senior Planner, City of Chino

Claudia Benitez, Community Services Supervisor, City of Chino

Mike Kolling, Capital Projects Manager, City of Chino

Milele Robertson, Associate Transportation Planner, Caltrans District 8

Warren Whiteaker, Program Manager, SCAG

**Basis for Selection:** The PRC recommended KTU&A for the contract award because the Consultant:

- Demonstrated the most comprehensive technical approach that will address all tasks and deliverables described in the Scope of Work;
- Detailed specific actions it would take to complete the deliverables specified in the scope of work, which was lacking in the other proposers; and
- Demonstrated the best past successful experience working in similar contexts on similar issues, in neighboring jurisdictions and throughout California.

Although KTU&A did not propose the lowest price, its proposal contained the greatest number of hours and lowest rate per hour (while remaining below the project budget), which provided the best overall value.

## **CONSULTANT CONTRACT 14-001-B35**

<b>Recommended Consultant:</b>	Dyett & Bhatia		
<b>Background &amp; Scope of Work:</b>	<p>The Consultant shall provide services for a Sustainability Planning Grant for the County of Orange. Specifically, the Consultant shall provide professional services to review the County of Orange's existing Zoning Code to identify barriers to new proven and/or innovative practices and make recommendations that will facilitate healthier communities, flexibility in site design regulations, and cost-effectiveness. The recommendations shall focus on aspects of the built environment that interface with the transportation system, and shall address practices that result in greenhouse gas reductions, to further the goals and policies of SCAG's 2012-2035 Regional Transportation Plan/Sustainable Communities Strategy (2012 RTP/SCS).</p>		
<b>Project's Benefits &amp; Key Deliverables:</b>	<p>The project's benefits and key deliverables include, but are not limited to:</p> <ul style="list-style-type: none"><li>• Research and data collection;</li><li>• Zoning code analysis and report;</li><li>• Analysis of sustainable best practices and report of suitable practices;</li><li>• Community workshops and public hearings;</li><li>• Meeting materials, staff reports, notices, and presentations; and</li><li>• Draft and final report.</li></ul>		
<b>Strategic Plan:</b>	<p>This item supports SCAG's Strategic Plan Goal 1: Improve Regional Decision Making by Providing Leadership and Consensus Building on Key Plans and Policies; Objective a: Create and Facilitate a Collaborative and Cooperative Environment to Produce Forward Thinking Regional Plans.</p>		
<b>Contract Amount:</b>	<b>Total not-to-exceed</b>		<b>\$198,956</b>
	Dyett & Bhatia (prime consultant)		\$141,054
	MLA Green, Inc. dba Mia Lehrer + Associates (subconsultant)		\$27,964
	Nelson/Nygaard Consulting Associates, Inc. (subconsultant)		\$29,938
<b>Contract Period:</b>	July 1, 2014 through June 30, 2015		
<b>Project Number:</b>	065.SCG137.01	\$198,956	
	Funding sources: Consolidated Planning Grant – FTA and TDA		
<b>Request-for-Proposal (RFP):</b>	<p>SCAG staff notified 1,601 firms of the release of RFP No. 14-001-B35 via SCAG's Solicitation Management System. A total of 69 firms downloaded the RFP. SCAG received the following three (3) proposals in response to the solicitation:</p>		
	<b>Dyett &amp; Bhatia (2 subconsultants)</b>		<b>\$198,956</b>
	JB Consulting (no subconsultants)		\$94,865
	PlaceWorks (1 subconsultant)		\$200,000
<b>Selection Process:</b>	<p>The Proposal Review Committee (PRC) evaluated each proposal in accordance with the criteria set forth in the RFP, and conducted the selection process in a manner consistent with all applicable federal and state contracting regulations. After evaluating the proposals, the PRC interviewed both offerors.</p>		

The PRC consisted of the following individuals:

Marco Anderson, Senior Regional Planner, SCAG

Rose Fistrovic, Manager, Planned Communities, County of Orange

Ruby Maldonado, Manager, Advanced Planning and Sustainability Development, County of Orange

Hadi Tabatabaee, County Building Official, County of Orange

**Basis for Selection:** The PRC selected Dyett & Bhatia for the contract award because the Consultant:

- Demonstrated the best understanding of state of the art practices in zoning code specifications to achieve sustainable land use and transportation integration;
- Demonstrated the best balance between technical skills and policy analysis;
- Provided the best overall value for the level of effort proposed;
- Provided the clearest examples of visual products from previous work in their proposal and interview presentation;
- Proposed the most qualified team in terms of breadth of experience, previous experience with projects of similar scope and size, and inclusion of the most qualified specialists; and
- Identified the best selection of similar projects throughout the state from which to draw best practices, and applicable lessons learned.

Although, one firm proposed a lower price; the PRC did not recommend this firm for contract award because this firm:

- Did not clearly demonstrate an understanding of the level of effort required for the project Scope of Work;
- Did not provide enough resources in terms of either proposed staff members or team hours
- Did not demonstrate experience with similar sized public agencies, and lacked experience with projects that included holistic zoning code analysis and recommendations.

## **CONSULTANT CONTRACT 14-001-B21**

<b>Recommended Consultant:</b>	Moore Iacofano Goltsman (MIG), Inc.		
<b>Background &amp; Scope of Work:</b>	The Consultant shall provide services for a Sustainability Planning Grant for the City of Big Bear Lake. Specifically, the Consultant shall provide assistance to the City to adopt the Rathbun Corridor Sustainability Plan which will conceptualize a pedestrian, bicycle, and equestrian trail to stimulate active-transportation-oriented development aligned with SCAG's 2012-2035 Regional Transportation Plan/Sustainable Communities Strategy (2012 RTP/SCS) goals and objectives to stimulate regional active-transportation oriented development.		
<b>Project's Benefits &amp; Key Deliverables:</b>	The project's benefits and key deliverables include, but are not limited to: <ul style="list-style-type: none"><li>• Current Conditions Mapping;</li><li>• Stakeholder meetings, Visioning Workshop, and Project Website;</li><li>• Rathbun Corridor Analysis;</li><li>• Feasibility Analysis; and</li><li>• Draft and Final Plan.</li></ul>		
<b>Strategic Plan:</b>	This item supports SCAG's Strategic Plan Goal 1: Improve Regional Decision Making by Providing Leadership and Consensus Building on Key Plans and Policies; Objective a: Create and Facilitate a Collaborative and Cooperative Environment to Produce Forward Thinking Regional Plans.		
<b>Contract Amount:</b>	<b>Total not-to-exceed</b>		<b>\$195,103</b>
	MIG, Inc. (prime consultant)		\$109,058
	KOA Corporation (subconsultant)		\$38,555
	Hancock Resources LLC (subconsultant)		\$7,508
	Kosmont & Associates, Inc. (dba Kosmont Companies) (subconsultant)		\$33,828
	Three Strands Planning and Development (subconsultant)		\$6,154
	Note: MIG originally proposed \$199,953, but staff negotiated the price down to \$195,103 without reducing the scope of work.		
<b>Contract Period:</b>	July 1, 2014 through June 30, 2015		
<b>Project Number:</b>	065.SCG137.01	\$195,103	
	Funding sources: Consolidated Planning Grant – FTA and TDA		
<b>Request-for-Proposal (RFP):</b>	SCAG staff notified 1,573 firms of the release of RFP No. 14-001-B21 via SCAG's Solicitation Management System. A total of 104 firms downloaded the RFP. SCAG received the following two (2) proposals in response to the solicitation:		
	<b>MIG, Inc. (4 subconsultants)</b>		<b>\$199,953</b>
	URS Corporation (dba URS Corporation Americas (2 subconsultants)		\$99,610
<b>Selection Process:</b>	The Proposal Review Committee (PRC) evaluated each proposal in accordance with the criteria set forth in the RFP, and conducted the selection process in a manner consistent with all applicable federal and state contracting regulations.		

After evaluating the proposals, the PRC interviewed both offerors.

The PRC consisted of the following individuals:

Peter Brandenburg, Program Manager II, SCAG

Rebecca Forbes, Transportation Planner, Caltrans District 8

Jim Miller, Community Development Director, City of Big Bear Lake

Joseph G. Pacelli, Jr., Planning Commissioner, City of Big Bear Lake

**Basis for Selection:** The PRC selected MIG for the contract award because the Consultant:

- Demonstrated the best familiarity with the City and the planning issues faced by Big Bear Lake and similar communities;
- Described a technical approach, and level of work effort, best matched to the project and the Scope of Work described in the RFP, including sufficient staff hours to complete the desired tasks;
- Recognized the importance of potential new pathways as active transportation corridors, not just as recreational amenities;
- Demonstrated creativity and innovation, such as potential for aerial gondola between downtown area and nearby resorts; and
- Assembled a highly-qualified team.

Although the other firm proposed a lower price, the PRC did not recommend this firm for contract award because this firm:

- Did not clearly demonstrate a sufficient level of effort, primarily in the form of staff hours, to satisfactorily complete the tasks in the Scope of Work.
- Did not demonstrate the same level of creativity and innovation within their proposed technical approach. Proposed approach, particularly on economics task, focused mainly on retail opportunities and did not represent a good value.

## **CONSULTANT CONTRACT 14-001-B19**

<b>Recommended Consultant:</b>	Atkins North America, Inc. (Atkins)		
<b>Background &amp; Scope of Work:</b>	The Consultant shall provide consultant services for a Sustainability Project for the City of Beaumont. Specifically, the City wishes to develop a Climate Action Plan (CAP) in order to develop cost-effective greenhouse gas emission (GHG) reduction strategies for the City. The CAP is expected to be completed in 2015, and will identify regionally appropriate GHG emissions reduction strategies, and will provide the foundation for GHG reduction policies. The CAP will address transportation strategies that will reduce greenhouse gas emissions. The CAP development process is intended to address the needs and concerns of the City, as well as additional stakeholders, to achieve a consensus on a meaningful GHG reduction effort. A major part of this project includes the development of a greenhouse gas inventory and a set of best practices that Beaumont can implement, as well as share with other jurisdictions in the SCAG region.		
<b>Project’s Benefits &amp; Key Deliverables:</b>	The project’s benefits and key deliverables include, but are not limited to: <ul style="list-style-type: none"><li>• CAP, including GHG inventory, reduction strategies, monitoring tools, and development review guidelines;</li><li>• CAP implementation workshop sessions;</li><li>• Best practices report; and</li><li>• CAP promotional and educational materials.</li></ul>		
<b>Strategic Plan:</b>	This item supports SCAG’s Strategic Plan Goal 1: Improve Regional Decision Making by Providing Leadership and Consensus Building on Key Plans and Policies; Objective a: Create and Facilitate a Collaborative and Cooperative Environment to Produce Forward Thinking Regional Plans.		
<b>Contract Amount:</b>	<b>Total not-to-exceed</b>	<b>\$174,653</b>	
	Atkins North America, Inc.		
<b>Contract Period:</b>	June 10, 2014 through April 30, 2015		
<b>Project Number:</b>	220-2666T4.01	\$174,653	
	Funding source: Strategic Growth Council		
<b>Request-for-Proposal (RFP)</b>	SCAG staff notified 1,635 firms of the release of RFP 14-001-B19 via SCAG’s Solicitation Management System. A total of 68 firms downloaded the RFP. SCAG received the following four (4) proposals in response to the solicitation:		
	<b>Atkins (no subconsultants)</b>	<b>\$174,653</b>	
	Pacific Municipal Consultants (1 subconsultant)	\$137,459	
	Terra Nova (1 subconsultant)	\$197,602	
	Rincon Consultants (3 subconsultants)	\$198,154	
<b>Selection Process:</b>	The Proposal Review Committee (PRC) evaluated each proposal in accordance with the criteria set forth in the RFP, and conducted the selection process in a manner consistent with all applicable federal and state contracting regulations.		

After evaluating the proposals, the PRC interviewed the two (2) highest ranked offerors.

The PRC consisted of the following individuals:

Grieg Asher, Program Manager, SCAG

Kelsey Gormley, Management Analyst, City of Beaumont

Ashley Starr, Assistant to City Manager/Communications, City of Beaumont

Rebecca Deming, Director of Planning, City of Beaumont

Dan Kopulsky, Chief Community and Regional Planning, District 8

**Basis for Selection:** The PRC recommended Atkins for the contract award because the Consultant:

- Most clearly demonstrated experience in local CAP's in California;
- Exceeded all the requirements described in the RFP scope of work; and
- Demonstrated the best understanding of the products needed for the development of the CAP implementation tools - provided specific examples of emission reduction strategies such as web-based surveys, stakeholder workshops, and creation of a screening tool.

Although, one other firm proposed a lower price, the PRC awarded the contract to Atkins because Atkin's proposed price was in the range of what the PRC determined it would take to meet the required scope of work, and they proposed the highest number of hours than any of the other firms and therefore provided the best overall value for the project.



## **CONSULTANT CONTRACT 14-001-B43**

**Recommended  
Consultant:**

AECOM Technical Services, Inc

**Background &  
Scope of Work:**

The Consultant shall provide services for a Sustainability Planning Grant for the Cities of Rancho Palos Verdes (RPV) and Los Angeles (LA). Specifically, the Consultant shall develop Design Implementation Guidelines (Guidelines) for the Western Avenue Corridor Vision Plan.

The Guidelines are needed to bring the Vision Plan closer to implementation by providing strategies that can influence build-out capacity, circulation element and streetscape designs of both, private development and public rights-of-ways in each of these Cities. The Guidelines will provide the Cities of RPV and LA with a modern and sustainable set of plans to transform this aging concrete metropolis corridor into a vibrant streetscape with a coordinated model for bicycle, pedestrian, and transit activity. The Guidelines shall incorporate any and all applicable land use/ transportation goals, objectives and policies in SCAG's 2012-2035 Regional Transportation Plan/Sustainable Communities Strategy (2012 RTP/SCS) to ensure integrated regional transportation planning, and maximizing mobility and accessibility to ensure travel safety and reliability for residents and visitors of both RPV and LA.

**Project's Benefits  
& Key Deliverables:**

The project's benefits and key deliverables include, but are not limited to:

- Improving topographical constraints and over-parcelization that create a challenge to link adjacent residential to the commercial corridor; and
- Developing Design Implementation Guidelines will help provide Western Avenue with an updated, sustainable identity and sense of place, including enhanced streetscaping, directional signage for pedestrians, bicycle lanes, improved bus stops, public transit options and more.

**Strategic Plan:**

This item supports SCAG's Strategic Plan Goal 1: Improve Regional Decision Making by Providing Leadership and Consensus Building on Key Plans and Policies; Objective a: Create and Facilitate a Collaborative and Cooperative Environment to Produce Forward Thinking Regional Plans.

**Contract Amount:**

**Total not-to-exceed**

**\$162,446**

AECOM Technical Service

Note: AECOM originally proposed \$188,781, but staff negotiated the price down to \$162,446 without reducing the scope of work.

**Contract Period:**

June 3, 2014 through June 30, 2015

**Project Number:**

065.SCG00137.01     \$162,446

Funding sources: Consolidated Planning Grant – FTA and TDA

**Request-for-Proposal (RFP):** SCAG staff notified 1,711 firms of the release of RFP 14-001-B43 via SCAG's Solicitation Management System. A total of 98 firms downloaded the RFP. SCAG received the following four (4) proposals in response to the solicitation:

<b>AECOM Technical Services (no subconsultants)</b>	<b>\$188,781</b>
Community Design Architects (3 subconsultants)	\$194,311
Melendrez (2 subconsultants)	\$194,986
BNIM Architects (1 subconsultant)	\$213,256

**Selection Process:** The Proposal Review Committee (PRC) evaluated each proposal in accordance with the criteria set forth in the RFP and conducted the selection process in a manner consistent with all applicable federal and state contracting regulations. After evaluating the proposals, the PRC interview the three (3) highest ranked offerors.

The PRC consisted of the following individuals:

Alison Becker, Econ & Planning Director, City of Los Angeles  
Eduardo Schonborn, Sr. Planner, City of Ranch Palos Verdes  
Linda Taira, Sr. Transportation Planner, Caltrans District 7  
Rajeev Seetharam, Sr. Regional Planner, SCAG

**Basis for Selection:** The PRC recommended AECOM Technical Services, Inc for the contract award because the Consultant:

- Proposed the lowest price;
- Demonstrated the most comprehensive technical approach and broadest range of services and solutions; and
- Demonstrated the best approach in engaging the stakeholders by creating a brand identity for the project.

## **CONSULTANT CONTRACT 14-001-B44**

**Recommended  
Consultant:**

Raimi Associates, Inc.

**Background &  
Scope of Work:**

The Consultant shall provide consultant services for a Sustainability Project for the City of Moreno Valley. Specifically, the Consultant shall prepare the Nason Street Corridor Plan with a goal of developing this major arterial into a vibrant and safe transit corridor. The Plan will aid the City in its efforts to enhance mobility and quality of life in the City by expanding potential lifestyle, employment, shopping and entertainment opportunities, improving coordination of transportation services, expanding modal choices and creating a safe environment for pedestrians and cyclists along Nason Street. The Nason Street Corridor Plan will create a transportation corridor that will embrace pedestrians, bicyclists and public transit to maintain traffic flows and reduce traffic conflicts along one of Moreno Valley's major arterials.

This project supports the policies in SCAG's 2012-2035 Regional Transportation Plan/Sustainable Communities Strategy (2012 RTP/SCS) by taking a multi-modal approach to planning for the future of the Nason Street Corridor as a complete street that will support travelers using a variety of transportation modes, including public transit, active transportation, and automobiles.

**Project's Benefits  
& Key Deliverables:**

The project's benefits and key deliverables include, but are not limited to:

- Conceptual corridor alternatives; and
- Draft and Final Nason Street Corridor Plan.

**Strategic Plan:**

This item supports SCAG's Strategic Plan Goal 1: Improve Regional Decision Making by Providing Leadership and Consensus Building on Key Plans and Policies; Objective c: Provide practical solutions for moving new ideas forward.

**Contract Amount:**

<b>Total not-to-exceed</b>	<b>\$149,621</b>
Raimi Associates, Inc. (prime consultant)	\$55,919
Sargent Town Planning (subconsultant)	\$39,532
Fehr & Peers (subconsultant)	\$35,595
Metropolitan Research and Economics (subconsultant)	\$18,575

**Contract Period:**

July 1, 2014 through June 30, 2015

**Project Number:**

065-0137.01 \$149,621

Funding sources: Consolidated Planning Grant – FTA and TDA

**Request-for-Proposal  
(RFP):**

SCAG staff notified 2,196 firms of the release of RFP 14-001-B44 via SCAG's Solicitation Management system. A total of 115 firms downloaded the RFP. SCAG received the following five (5) proposals in response to the solicitation:

<b>Raimi Associates, Inc. (3 subconsultants)</b>	<b>\$149,621</b>
Terra Nova (2 subconsultants)	\$144,686
Iteris, Inc. (4 subconsultants)	\$149,917
KTU&A (2 subconsultants)	\$149,943

Stantec (3 subconsultants)

\$153,364

**Selection Process:**

The Proposal Review Committee (PRC) evaluated each proposal in accordance with the criteria set forth in the RFP, and conducted the selection process in a manner consistent with all applicable federal and state contracting regulations. After evaluating the proposals, the PRC interviewed the three (3) highest ranked offerors.

The PRC consisted of the following individuals:

Claudia Manrique, Associate Planner, City of Moreno Valley  
Chris Ormsby, Iterim Planning Official, City of Moreno Valley  
Eric Lewis, Transportation Division Manager, City of Moreno Valley  
Milele Robertson, Associate Transportation Planner, Caltrans District 8  
Ryan Kuo, Program Manager, SCAG

**Basis for Selection:**

The PRC recommended Raimi Associates for the contract award because the Consultant:

- Proposed the most thorough and clearest technical approach to developing the Nason Street Corridor Plan;
- Demonstrated the most extensive experience performing similar projects throughout the region;
- Were the most versed in both economic and planning principles, including those associated with Complete Streets;
- Demonstrated the best grasp of the interrelationship between different modes of transportation within a corridor; and
- Demonstrated the most thorough understanding of local planning issues in the Nason Street corridor area.

## **CONSULTANT CONTRACT 14-001-B16**

**Recommended  
Consultant:**

Alta Planning + Design

**Background &  
Scope of Work:**

The Consultant shall provide services for a Sustainability Planning Grant Program for the Cities of Bellflower and Paramount. Specifically, the Consultant shall develop complementary bicycle master plans that focus on the Pacific Electric Right-Of-Way (PEROW) for future adoption. Bellflower's emphasis is on increasing connectivity between the Bike Trail and a limited number of target destinations, including other bike trails, parks, high schools, and downtown Bellflower. Paramount hopes to continue the bike path, providing connectivity to the residents of Bellflower, Paramount and other regional bicyclists passing through to the Los Angeles River Bike Path.

The Consultant shall analyze the opportunities and constraints in both cities, which will include background document review, field review, collision analysis, base map preparation, an existing conditions report, and a draft recommended bikeway network.

**Project's Benefits  
& Key Deliverables:**

The project's benefits and key deliverables include, but are not limited to:

- Enabling the Cities of Bellflower and Paramount to enhance their residents' options in Active Transportation, whether it be walking or biking;
- Focusing on a regional asset, the Pacific Electric Right-of-Way/West Santa Ana Branch, and enhancing its Active Transportation capabilities and connectivity to the existing bicycle routes and infrastructure in both cities;
- An existing conditions report that will include base maps both cities will be able to utilize for future use; and
- A Final Bicycle Master Plan.

**Strategic Plan:**

This item supports SCAG's Strategic Plan Goal 1: Improve Regional Decision Making by Providing Leadership and Consensus Building on Key Plans and Policies; Objective a: Create and Facilitate a Collaborative and Cooperative Environment to Produce Forward Thinking Regional Plans.

**Contract Amount:**

<b>Total not-to-exceed</b>	<b>\$139,957</b>
Alta Planning + Design (prime consultant)	\$92,128
AECOM (subconsultant)	\$32,106
Arellano Associates (subconsultant)	\$15,723

**Contract Period:**

June 3, 2014 through June 30, 2015

**Project Number:**

065.SCG0137.01      \$139,957

Funding sources: Consolidated Planning Grant – FTA and TDA

**Request-for-Proposal (RFP):** SCAG staff notified 2038 firms of the release of RFP 14-001-B16 via SCAG’s Solicitation Management System. A total of 78 firms downloaded the RFP. SCAG received the following four (4) proposals in response to the solicitation:

<b>Alta Planning + Design (2 subconsultants)</b>	<b>\$139,957</b>
Ryan Snyder Associates (2 subconsultants)	\$139,990
RBF Consulting (No subconsultants)	\$139,996
AIM Consulting Services (4 subconsultants)	\$198,375

**Selection Process:** The Proposal Review Committee (PRC) evaluated each proposal in accordance with the criteria set forth in the RFP, and conducted the selection process in a manner consistent with all applicable federal and state contracting regulations. After evaluating the proposals, the PRC interviewed the three (3) highest ranked offerors. The PRC consisted of the following individuals:

- Dale Benson, Senior Transportation Engineer, Caltrans District 7
- Sarah Ho, Management Analyst, City of Paramount
- Wendy Macias, Community Development Planner, City of Paramount
- Jerry Stock, City Engineer, City of Bellflower
- Christopher Tzeng, Regional Planner, SCAG

**Basis for Selection:** The PRC recommended Alta Planning + Design for the contract award because the Consultant:

- Proposed the lowest price;
- Demonstrated the most comprehensive and broadest range of services and solutions that will meet SCAG’s requirements. Specifically, proposed a multi-layer community outreach approach that gives both jurisdictions different options to gather input for this project, and effectively gave detailed descriptions on the team’s approach that allowed the PRC to understand the possibilities this project can pursue; and
- Demonstrated the best understanding of the proposed scope of work and the key elements involved. Specifically, they showed the most expansive knowledge of the West Santa Ana Branch (WSAB)/PEROW, which the PRC believe is the largest asset for this project. They most effectively communicated the difficulties and challenges for this project including implementing bicycle facilities along the WSAB; they understood the importance of involving the County Transportation Commission in the project; and they effectively communicated the project’s economic benefits for both Cities.

## **CONSULTANT CONTRACT 14-012-C1**

**Recommended  
Consultant:**

IML US Inc.

**Background &  
Scope of Work:**

The Consultant shall provide electronic voting (e-voting) equipment and services. SCAG utilizes the equipment for voting at the Regional Council (RC) and policy committees.

Beginning January 1, 2014, all legislative bodies of local agencies in California must publicly report the vote or abstention of each member present for any action taken. These new requirements are imposed by Senate Bill 751 ("SB 751"), signed by Governor Brown in September 2013, as an amendment to the Brown Act. SCAG's selected solution, IML e-voting system, ensures compliance with these changes and allows SCAG to maintain and provide accurate and detailed voting results. The IML system accommodates a large number of voting members, and provides a high level of accuracy and voting verification by the participants. Staff testing was successfully completed in March 2014. Test voting was successful in April 2014 at the Executive Administration Committee (EAC) meeting and in June 2014 at the RC and EAC meetings. The system will be used in future meetings for the RC and policy committee meetings.

**Project's Benefits  
& Key Deliverables:**

The project's benefits and key deliverables include, but are not limited to:

- Providing accurate, easy-to-use electronic voting for compliance with SB 751
- Enabling visual presentation of results for immediate voter review; documented voting roster; and results by participant name
- Demonstrating SCAG's commitment to conduct voting in an open, transparent and accountable manner.

**Strategic Plan:**

This item supports SCAG's Strategic Plan Goal 1: Create and facilitate a collaborative and cooperative environment to produce forward thinking regional plans; Strategy 4. Maintain transparency in all aspects of Agency's regional planning work.

**Contract Amount:**

**Total not-to-exceed**

**\$134,194**

IML US Inc. (prime consultant)

**Contract Period:**

May 1, 2014 through April 30, 2019

**Project Number:**

800-0160.04                      \$134,194

Funding source: General Fund

Fiscal Year '14	Voting devices, base stations, 3-yr. license/warranty, and training	\$93,204
Fiscal Year '15	2015 GA rental/support (optional)	\$5,242
Fiscal Year '16	2016 GA rental/support (optional)	\$4,242
Fiscal Year '17	1-yr. license/warranty and 2017 GA rental/support (optional)	\$15,753
Fiscal Year '18	1-yr. license/warranty and 2018 GA	\$15,753

rental/support (optional)

**Request-for-Proposal (RFP):** SCAG staff notified 826 firms of the release of RFP 14-012-C1 via SCAG's Solicitation Management System. A total of 29 firms downloaded the RFP. SCAG received the following five (5) proposals in response to the solicitation:

<b>IML US Inc (no subconsultants) – includes options above</b>	<b>\$133,894</b>
Photo Communications (no subconsultants) – w/o options	\$9,670
Option Technologies (no subconsultants) – w/o options	\$27,950
Audience Response Systems, Inc. (no subconsultants) – w/o options	\$64,501
Election–America (no subconsultants) – w/o options	\$167,035

**Selection Process:** The Proposal Review Committee (PRC) evaluated each proposal in accordance with the criteria set forth in the RFP, and conducted the selection process in a manner consistent with all applicable federal and state contracting regulations. After evaluating the proposals, the PRC interviewed the four (4) highest ranked offerors.

The PRC consisted of the following individuals:

Catherine Kirschbaum, Chief Information Officer, SCAG  
Lillian Harris-Neal, Clerk of the Board, SCAG  
David Milner, Senior Operations Technician, SCAG  
Roy Henderson, Information Technology Manager, County of Riverside  
Etienne Carolyn, Clerk of the Board, County of Riverside

**Basis for Selection:** The PRC recommended IML US Inc. for the contract award because the Consultant:

- Proposed the best features of e-voting, including attendance management, accuracy, ease of administration and security, at a reasonable cost;
- Provided the best track record and references most appropriate to SCAG's needs, specifically, e-voting geared towards large public voting bodies, in contrast to lower-cost products geared towards audience response; and
- Provided the best technical approach, including a superior communication method that ensures accuracy, and smart cards pre-authorized for each voting member.

The lowest priced firm, Photo Communications, did not offer a viable solution for SCAG, e.g., inability to display detailed votes by member. Option Technologies did not meet SCAG's requirements for seamless operations, e.g., the devices require repeated logout and login if member temporarily exits the meeting. Audience Response Systems (ARS) quoted the price shown; however, the system quoted is not suitable for a large voting body. ARS offers a system that more closely meets SCAG's needs, but rental and support alone would total over \$100,000 for three years. Election America uses higher cost tablets that are more difficult to support than dedicated voting devices and its system relies on wireless (wifi) networks versus the more reliable radio frequency technology used by IML.



## **CONSULTANT CONTRACT 14-001-B25**

**Recommended  
Consultant:**

Human Impact Partners

**Background &  
Scope of Work:**

The Consultant shall provide consultant services for a Sustainability Project for the City of the Coachella Valley (CV). Specifically, the Consultant shall assess the City's CV Link. The 52-mile CV Link will assist the SCAG region in implementing its Sustainable Communities Strategy to reduce greenhouse gas emissions from passenger vehicles by introducing a "green" alternative to driving. The trail will enable users to walk, jog, bike, or drive their Neighborhood Electric Vehicles (NEVs) to different points throughout the nine cities in the Coachella Valley.

Specifically, the Consultant shall conduct a Health Impact Assessment (HIA) of the CV Link that will include the following seven phases:

1. Project administration
2. Screening
3. Scoping
4. Assessment
5. Recommendations
6. Reporting
7. Monitoring

**Project's Benefits  
& Key Deliverables:**

The project's benefits and key deliverables include, but are not limited to:

- Providing evidence-based recommendations for monitoring and managing potential negative impacts of the CV Link; and
- Provide additional evidence-based recommendations for maximizing the potential benefits of the CV Link in relation to public health, including reducing obesity, Type II diabetes and other health issues related to a sedentary lifestyle.

**Strategic Plan**

This item supports SCAG's Strategic Plan Goal 1: Improve Regional Decision Making by Providing Leadership and Consensus Building on Key Plans and Policies; Objective a: Create and Facilitate a Collaborative and Cooperative Environment to Produce Forward Thinking Regional Plans.

**Contract Amount:**

<b>Total not-to-exceed</b>	<b>\$107,940</b>
Human Impact Partners (prime consultant)	\$77,940
Raimi + Associates (subconsultant)	\$30,000

**Contract Term:**

July 10, 2014 through June 30, 2015

**Project Number(s):**

065.SCG.00137.01 \$99,940

266.07154.02 \$8,000

Funding sources: Consolidated Planning Grant – TDA and Cash Match

**Request-for-Proposal (RFP):** SCAG staff notified 1,747 firms of this contracting opportunity via SCAG's Solicitation Management System and the American Planning Association's website. A total of 51 firms downloaded the RFP. SCAG received the following two (2) proposals in response to the solicitation:

**Human Impact Partners (1 subconsultant) \$107,940**

Health Assessment Resource Center (2 subconsultants) \$63,783

**Selection Process:** The Proposal Review Committee (PRC) evaluated each proposal in accordance with the criteria set forth in the RFP, and conducted the selection process in a manner consistent with all applicable federal and state contracting regulations. After evaluating the proposals, the PRC interviewed the two (2) offerors.

The PRC consisted of the following individuals:

Rebecca Forbes, Transportation Planner, Caltrans District 8

Leticia DeLara, CEO, Regional Access Project Foundation

Michael Osur, Deputy Director, Riverside County Department of Public Health

Michael Shoberg, Transportation Program Manager, Coachella Valley Association of Governments

Alan Thompson, Regional Senior Planner, SCAG

**Basis for Selection:** The PRC recommended the Human Impact Partners for the contract award because the Consultant:

- Proposed a price that was in the range of what the PRC determined it would take to meet the required deliverables.
- Provided the best overall value to SCAG by providing a team that demonstrated specific relevant experience conducting the type of analyses necessary for this project on multiple occasions; and
- Demonstrated the best understanding of the project. Specifically, the selected Consultant provided the best knowledge of how to complete the scope of work and tie the work to SCAG, The Coachella Valley Association of Governments and Riverside County Department of Public Health.

Although the other firm proposed a lower price, the PRC recommended Human Impact Partners for the contract because, while the lower priced firm demonstrated that they had training in Health Impact Assessments, they did not demonstrate actual experience performing the Assessment. Further, the PRC felt the lower priced firm underestimated the costs and levels of effort necessary to successfully complete the project.

## **CONSULTANT CONTRACT 14-001-B27**

**Recommended  
Consultant:**

Atkins North America, Inc.

**Background &  
Scope of Work:**

The Consultant shall provide services for a Sustainability Planning Grant Program for the City of Chino Hills. The City of Chino Hills intends to develop and adopt a Climate Action Plan and Implementation Strategy (CAPIS) that will facilitate the reduction of greenhouse gas (GHG) emissions throughout the City, including municipal operations. The CAPIS will include broad policies currently in the final draft, San Bernardino County Regional Greenhouse Emissions Inventory and Reduction Plan, the City of Chino Hills Draft General Plan Update, and standards in the City's Green Building Code. Once implemented, the CAPIS will contribute to the regional goals and policies of SCAG's 2012-2035 Regional Transportation Plan/Sustainable Communities Strategy (2012 RTP/SCS) including "placemaking," increased travel choices, improvements in public health, and improved access and mobility.

The Consultant shall be responsible for completing all aspects associated with the preparation and adoption of the CAPIS for the City of Chino Hills. The CAPIS will include baseline data, outline short-term and long-term implementation targets, and a monitoring plan.

**Project's Benefits  
& Key Deliverables:**

The project's benefits and key deliverables include, but are not limited to:

- A Climate Action Plan and Implementation Strategy that will facilitate the reduction of GHG emissions throughout the City, including municipal operations; and
- A plan to address GHG emissions in several sectors of Chino Hills, including transportation and other sectors that indirectly have the potential to reduce transportation related emissions.

**Strategic Plan:**

This item supports SCAG's Strategic Plan Goal 1: Improve Regional Decision Making by Providing Leadership and Consensus Building on Key Plans and Policies; Objective a: Create and Facilitate a Collaborative and Cooperative Environment to Produce Forward Thinking Regional Plans.

**Contract Amount:**

**Total not-to-exceed**

**\$102,252**

Atkins North America, Inc. (prime consultant)

**Contract Period:**

June 2, 2014 through June 30, 2015

**Project Number:**

220-2666.01 \$102,252

Funding sources: Strategic Growth Council

**Request-for-Proposal (RFP):** SCAG staff notified 2039 firms of the release of RFP 14-001-B27 via SCAG's Solicitation Management System. A total of 48 firms downloaded the RFP. SCAG received the following five (5) proposals in response to the solicitation:

<b>Atkins North America (no subconsultants)</b>	<b>\$102,252</b>
Rincon Consultants, Inc. (3 subconsultants)	\$121,242
Pacific Municipal Consultants (1 subconsultant)	\$125,291
Cadmus Group, Inc. (1 subconsultant)	\$129,072
EcoMotion (no subconsultant)	\$133,352

**Selection Process:** The Proposal Review Committee (PRC) evaluated each proposal in accordance with the criteria set forth in the RFP, and conducted the selection process in a manner consistent with all applicable federal and state contracting regulations. After evaluating the proposals, the PRC interviewed the three (3) highest ranked offerors.

The PRC consisted of the following individuals:

Ryan Gackstetter, Senior Planner, City of Chino Hills  
Alison Linder, Senior Regional Planner, SCAG  
Daniel Kopulsky, Senior Transportation Planner, Caltrans District 8

**Basis for Selection:** The PRC recommended Atkins North America, Inc., for the contract award because the Consultant:

- Proposed the lowest price;
- Demonstrated the most comprehensive and broadest range of services and solutions that will meet SCAG's requirements. Specifically, their technical approach provided the most well thought out and thorough approach to the RFP Scope of Work. Their approach to selecting the GHG reduction targets was detailed and logical. They planned to create a GHG inventory using widely accepted tools, and presented a comprehensive approach inclusive of the sectors of interest described in the RFP. Following the inventory, they described a specific plan to identify GHG reduction measures based on forecasted emissions, anticipated emission reductions, level of anticipated participation, potential co-benefits, costs and savings related to each measure and potential funding sources. They also had a comprehensive plan to manage an effective public participation and technical advisory process and present the final product. The approach suggested by the selected firm was more comprehensive than other firms interviewed; and
- Demonstrated the most extensive experience, specifically, they demonstrated a greater breadth of experience than other firms based on how they might adapt their approach to the preferences of the City in real time.

## **CONSULTANT CONTRACT 14-001-B38**

Recommended Consultant:	Raimi + Associates								
Background & Scope of Work:	The Consultant shall provide consultant services for a Sustainability Project for the City of Lynwood. Specifically, the Consultant shall update the City’s General Plan Safe and Healthy Community Element that will address the major intersections of public health and planning, including transportation and active living, access to nutritious food, access to health care, mental health, social capital, and clean air. These topics support SCAG’s regional transportation and land use policies for improved community livability, active transportation and sustainability development.								
Project’s Benefits & Key Deliverables:	<p>The project’s benefits and key deliverables include, but are not limited to:</p> <ul style="list-style-type: none"><li>• A policy framework for topics related to health and safety in Lynwood;</li><li>• A Public Health Element including access to health foods, active transportation, parks and open space programs, transportation safety, access to health care, respiratory health, air quality, seismic safety, public safety; and</li><li>• An implementation program priority list of activities to be implemented within the first 10 years.</li></ul>								
Strategic Plan:	This item supports SCAG’s Strategic Plan Goal1: Improve Regional Decision Making by Providing Leadership and Consensus Building on Key Plans and Policies; Objective a: Create and Facilitate a Collaborative and Cooperative Environment to Produce Forward Thinking Regional Plans								
Contract Amount:	<b>Total not-to-exceed</b>		<b>\$99,954</b>						
	Raimi + Associates (prime consultant)		\$69,874						
	Pacific Municipal Consultants (subconsultant)		\$15,143						
	Fehr & Peers (subconsultant)		\$14,937						
Contract Period:	July 9, 2014 through June 30, 2015								
Project Number:	065.SCG00137.01     \$99,954 Funding sources: Consolidated Planning Grant – FTA and TDA								
Request-for-Proposal (RFP):	<p>SCAG staff notified 2,054 firms of the release of RFP 14-001-B38 via SCAG’s Solicitation Management System. A total of 46 firms downloaded the RFP. SCAG received the following three (3) proposals in response to the solicitation:</p> <table><tr><td><b>Raimi + Associates (2 subconsultants)</b></td><td><b>\$99,954</b></td></tr><tr><td>GRC Associates (1 subconsultant)</td><td>\$88,930</td></tr><tr><td>AIM Consulting Services (1 subconsultant)</td><td>\$89,385</td></tr></table>			<b>Raimi + Associates (2 subconsultants)</b>	<b>\$99,954</b>	GRC Associates (1 subconsultant)	\$88,930	AIM Consulting Services (1 subconsultant)	\$89,385
<b>Raimi + Associates (2 subconsultants)</b>	<b>\$99,954</b>								
GRC Associates (1 subconsultant)	\$88,930								
AIM Consulting Services (1 subconsultant)	\$89,385								
Selection Process:	The Proposal Review Committee (PRC) evaluated each proposal in accordance with the criteria set forth in the RFP, and conducted the selection process in a manner consistent with all applicable federal and state contracting regulations. After evaluating the proposals, the PRC interviewed all three (3) offerors.								

The PRC consisted of the following individuals:

Melanie Bradford, Transportation Planner, Caltrans District 7

Margaret Lin, Associate Transportation Planner, SCAG

Bruno Naulls, Project Manager, City of Lynwood

Octavio Silva, Planning Associate, City of Lynwood

**Basis for Selection:** The PRC recommended Raimi + Associates for the contract award because the Consultant:

- Proposed the most realistic price to perform the complete Scope of Work. Other firms proposed lower prices but did not propose a technical approach that fully met the RFP scope and did not demonstrate the familiarity and breadth of experience as did the selected consultant.
- Consultant provided the most extensive public outreach strategy, which is integral to the success of the project. They addressed both safety and healthy communities, while other firms concentrated primarily on the health element;
- Demonstrated the most extensive experience working with similar projects with cities such as South Gate; and
- Was able to respond with specific sets of actions that they would take to complete the deliverables detailed in the Scope of Work. Specifically, their public outreach included roadside surveys and the use of community ambassadors to promote the project. The Consultant also allocated the highest number of hours of effort to the project and therefore provided the best overall value for the project.

## **CONSULTANT CONTRACT 14-001-B39**

<b>Recommended Consultant:</b>	Dyett & Bhatia		
<b>Background &amp; Scope of Work:</b>	<p>The Consultant shall provide services for a Sustainability Planning Grant for the City of Palmdale. Specifically, the Consultant shall provide professional services to develop the Avenue Q Corridor as a Transit Oriented Development (TOD) mixed use development corridor, designed to maximize mobility and accessibility, ensure travel safety and reliability, and encourage land use growth patterns that facilitate transit oriented and non-motorized transportation consistent with the Sustainable Communities Strategy (SCS) outlined in SCAG's 2012-2035 Regional Transportation Plan/Sustainability Communities Strategies (2012 RTP/SCS).</p> <p>The City anticipates that providing for TOD/mixed use development opportunities along the Avenue Q Corridor and extending the proposed multi-use trails identified in the Palmdale Transit Village Specific Plan into the project area, will allow for development of a variety of land uses that will be beneficial to the City and its residents.</p>		
<b>Project's Benefits &amp; Key Deliverables:</b>	<p>The project's benefits and key deliverables include, but are not limited to:</p> <ul style="list-style-type: none"><li>• Data Collection/Existing Conditions and Site Analysis;</li><li>• Public Outreach and Community Engagement;</li><li>• Research and Data Collection;</li><li>• Zoning Code Recommended Amendments and Environmental Analysis;</li><li>• Meeting materials, staff reports, notices, and presentations; and</li><li>• Draft and final reports.</li></ul>		
<b>Strategic Plan:</b>	This item supports SCAG's Strategic Plan Goal 1: Improve Regional Decision Making by Providing Leadership and Consensus Building on Key Plans and Policies; Objective a: Create and Facilitate a Collaborative and Cooperative Environment to Produce Forward Thinking Regional Plans.		
<b>Contract Amount:</b>	<b>Total not-to-exceed</b>		<b>\$97,606</b>
	Dyett & Bhatia (prime consultant)		\$50,992
	Nelson Nygaard Associates (subconsultant)		\$20,432
	MLA Green, Inc. (subconsultant)		\$26,182
	Note: Dyett & Bhatia originally proposed \$98,106, but staff negotiated the price down to \$97,606 without reducing the scope of work.		
<b>Contract Period:</b>	July 1, 2014 through June 30, 2015		
<b>Project Number:</b>	065.SCG137.01	\$97,606	
	Funding sources: Consolidated Planning Grant – FTA and TDA		
<b>Request-for-Proposal (RFP):</b>	SCAG staff notified 1,746 firms of the release of RFP No. 14-001-B39 via SCAG's Solicitation Management System. A total of 97 firms downloaded the RFP. SCAG received the following three (3) proposals in response to the solicitation:		
	<b>Dyett &amp; Bhatia (2 subconsultants)</b>		<b>\$98,106</b>

Gruen Associates (2 subconsultants)	\$79,262
Rangwala Associates (3 subconsultants)	\$120,770

**Selection Process:** The Proposal Review Committee (PRC) evaluated each proposal in accordance with the criteria set forth in the RFP, and conducted the selection process in a manner consistent with all applicable federal and state contracting regulations. After evaluating the proposals, the PRC interviewed all three (3) offerors.

The PRC consisted of the following individuals:

Dave Walter, Economic Development Director, City of Palmdale  
 Juan Carrillo, Assistant Planner I, City of Palmdale  
 Kari Blackburn, Sr. Project Manager-Economic Development, City of Palmdale  
 Mike Behen, Transportation and GIS Manager, City of Palmdale  
 Rebecca Sanchez, Associate Transportation Planner, Caltrans District 7  
 Steve Fox, Sr. Regional Planner, SCAG

**Basis for Selection:** The PRC selected Dyett & Bhatia for the contract award because the Consultant:

- Demonstrated the best balance between technical skills and policy analysis;
- Provided the clearest examples of visual products from previous work in their proposal and interview presentation;
- Demonstrated the best understanding of state of the art practices in zoning code specifications to achieve sustainable land use and transportation integration; and
- Identified and best explained relevant and similar projects throughout the state from which to draw best practices, and applicable lessons learned.

Although, one firm proposed a lower cost, the PRC determined they did not provide adequate staff and hours needed to complete Scope of Work.



## **CONSULTANT CONTRACT 14-001-B13**

<b>Recommended Consultant:</b>	KTU&A		
<b>Background &amp; Scope of Work:</b>	The Consultant shall provide services for a Sustainability Planning Grant Program for the City of Eastvale. Specifically, the Consultant shall develop a comprehensive citywide Bicycle Master Plan for the City of Eastvale that shall guide the planning, development, and management of existing and future bicycle facilities within the City as well as integrate these facilities with other active transportation projects and strategies benefitting the SCAG region. The Consultant shall also develop an implementation plan to help the City construct bicycle facilities in a manner consistent with SCAG's 2012-2035 Regional Transportation Plan/Sustainable Communities Strategy (2012 RTP/SCS) to ensure integrated regional transportation planning.		
<b>Project's Benefits &amp; Key Deliverables:</b>	The project's benefits and key deliverables include, but are not limited to: <ul style="list-style-type: none"><li>• Developing the first bicycle master plan for a newly chartered City;</li><li>• Developing plans that link cities as well as major active transportation corridors in the SCAG region; and</li><li>• Developing an infrastructure development framework that reduces the potential for conflicts between bicyclists and motor vehicles, thereby increasing safety.</li></ul>		
<b>Strategic Plan:</b>	This item supports SCAG's Strategic Plan Goal 1: Improve Regional Decision Making by Providing Leadership and Consensus Building on Key Plans and Policies; Objective a: Create and Facilitate a Collaborative and Cooperative Environment to Produce Forward Thinking Regional Plans.		
<b>Contract Amount:</b>	<b>Total not-to-exceed</b> KTU&A (prime consultant)		<b>\$79,922</b>
<b>Contract Period:</b>	May 20, 2014 through May 20, 2015		
<b>Project Number:</b>	065.SCG0137.01	\$23,077	
	065.SCG0137.01	\$56,845	
	Funding sources: Consolidated Planning Grant – FTA and TDA		
<b>Request-for-Proposal (RFP):</b>	SCAG staff notified 2,004 firms of the release of RFP 14-001-B13 via SCAG's Solicitation Management System. A total of 61 firms downloaded the RFP. SCAG received the following six (6) proposals in response to the solicitation:		
	<b>KTU&amp;A (no subconsultant)</b>		<b>\$79,922</b>
	GRC Associates (1 subconsultant)		\$65,548
	KOA Corporation (no subconsultants)		\$78,580
	Fehr & Peers (1 subconsultant)		\$79,899
	Stantec (no subconsultants)		\$90,583
	Langan Engineering (2 subconsultants)		\$116,728

**Selection Process:** The Proposal Review Committee (PRC) evaluated each proposal in accordance with the criteria set forth in the RFP, and conducted the selection process in a manner consistent with all applicable federal and state contracting regulations. After evaluating the proposals, the PRC interviewed the three (3) highest ranked offerors.

The PRC consisted of the following individuals:

Aaron Pfannenstiel, Project Manager, Pacific Mutual Consultants  
Ross Johnson, Park Maintenance Supervisor, Jurupa Community Services District  
Ruben Castaneda, Assistant Engineer, City of Eastvale  
Rebecca Forbes, Transportation Planner, Caltrans District 8  
Alan Thompson, Senior Planner, SCAG

**Basis for Selection:** The PRC recommended KTU&A for the contract award because the Consultant:

- Quoted the lowest most realistic price to perform all the scope of work. Of the three firms interviewed, the lowest priced firm (\$3,000 less) did not demonstrate the familiarity and breadth of experience with regard to public outreach. The second lowest priced firm (\$34 less), offered less in terms of the proposed Scope of Work. The selected firm offered the greatest benefit relative to the cost, such as proposing additional strategies to increase the value of the project, including developing a grant matrix, and bicycle collision map.
- Demonstrated the best understanding of the project and the required tasks by providing their experiences with previous projects. The selected firm has worked in Ontario, Temecula and cities of similar size with similar issues. In addition they worked on the Santa Ana River Trail plans which cut through the City, which allowed them to demonstrate an existing understanding of key issues affecting the City; and
- Has conducted multiple bicycle plans and is certified by the League of American Bicyclists as a “Bicycle Friendly Firm.” Their key personnel are qualified, and are bicycle safety instructors, which brings a critical understanding of the user’s perspective.

## **CONSULTANT CONTRACT 14-001-B30**

**Recommended  
Consultant:**

URS Corp.

**Background &  
Scope of Work:**

The Consultant shall provide services for a Sustainability Planning Grant Program for the City of Hawthorne. Specifically, the Consultant shall develop an Active Transportation Plan (ATP) and an implementation strategy to connect the Crenshaw Station safely and conveniently to the manufacturing, commercial and residential developments, as well as the existing bicycle paths within walking and biking distance. A more effective use of the Green Line as a journey to work option is also envisioned through this project. Developing the ATP as well as implementation strategy is a planning effort designed to align the plan investments and policies with improving regional economic development and competitiveness, consistent with SCAG's 2012-2035 Regional Transportation Plan/Sustainability Communities Strategy (2012 RTP/SCS).

The Consultant shall: 1) create an Active Transportation Plan and an implementation strategy to connect the Crenshaw Station safely and conveniently to the manufacturing, commercial, and residential developments, as well as the existing bicycle path, within walking and biking distance; and 2) Devise a strategy that begins the planning of an electric vehicle (EV) and local use vehicle (LUV) infrastructure network, culminating in an EV Strategy and an LUV Strategy.

**Project's Benefits  
& Key Deliverables:**

The project's benefits and key deliverables include, but are not limited to:

- Improved connectivity between the Green Line Crenshaw station and areas of employment, retail, and residential developments;
- Improved conditions for walking and biking contributing to public health; and
- Reducing greenhouse gas emissions by making it easier to walk, bike, or use non-carbon emitting vehicles.

**Strategic Plan:**

This item supports SCAG's Strategic Plan Goal 1: Improve Regional Decision Making by Providing Leadership and Consensus Building on Key Plans and Policies; Objective a: Create and Facilitate a Collaborative and Cooperative Environment to Produce Forward Thinking Regional Plans.

**Contract Amount:**

<b>Total not-to-exceed</b>	<b>\$67,586</b>
URS (prime consultant)	\$40,777
BNIM (subconsultant)	\$10,702
Civic Projects (subconsultant)	\$10,303
MR+E (subconsultant)	\$5,804

Note: URS originally proposed \$68,141, but staff negotiated the price down to \$67,586 without reducing the Scope of Work.

**Contract Period:**

July 14, 2014 through June 30, 2015

**Project Number:**

065.SCG00137.01 \$67,586

Funding sources: Consolidated Planning Grant – FTA and TDA

**Request-for-Proposal (RFP):** SCAG staff notified 1,785 firms of the release of RFP 14-001-B30 via SCAG's Solicitation Management System. A total of 103 firms downloaded the RFP. SCAG received the following four (4) proposals in response to the solicitation:

<b>URS Corp (3 subconsultants)</b>	<b>\$68,141</b>
Alta Planning (1 subconsultant)	\$68,378
IBI Group (1 subconsultant)	\$70,000
Ryan Snyder (1 subconsultant)	\$95,437

**Selection Process:** The Proposal Review Committee (PRC) evaluated each proposal in accordance with the criteria set forth in the RFP and conducted the selection process in a manner consistent with all applicable federal and state contracting regulations. After evaluating the proposals, the PRC interviewed the three (3) highest ranked offerors.

The PRC consisted of the following individuals:

Alan Leung, Sr. Transportation Engineer, City of Hawthorne  
India Brookover, Asst. Regional Planner, SCAG  
Gregg McClain, Director of Planning, City of Hawthorne

**Basis for Selection:** The PRC recommended URS for the contract award because the Consultant:

- Proposed the lowest price;
- Provided the clearest defined and comprehensive Project Execution Plan;
- Demonstrated the best experience with similar projects in similar communities;
- Demonstrated the best experience with aviation planning; and
- Demonstrated particularly successful experience with public outreach.

## **CONSULTANT CONTRACT 14-001-B28**

**Recommended  
Consultant:**

Fehr and Peers

**Background &  
Scope of Work:**

The Consultant shall provide services for a Sustainability Planning Grant for the City of Coachella. Specifically, the Consultant, shall provide assistance to create an urban development plan (La Plaza East Urban Development Plan) that identifies land-use and transportation planning best practices and outcomes, which are strategically aligned with SCAG's 2012 – 2035 Regional Transportation Plan/Sustainable Communities Strategy (2012 RTP/SCS) goals and objectives that encourages development of a distressed commercial property that is well-positioned for future passenger rail and new SunLine bus routes and facilities.

**Project's Benefits  
& Key Deliverables:**

The project's benefits and key deliverables include, but are not limited to:

- Creating an urban and sustainable transit-oriented destination at the "La Plaza East" Commercial Center to create opportunities to live, work, learn, play, dine, and shop, and to provide opportunities to commute, by transit, to a variety of places in the City and to destinations throughout the Coachella Valley and Southern California;
- Existing Conditions Report and technical memorandum with maps, data, and ArcGIS files;
- Transportation Connectivity Data and Traffic Impact Studies;
- Multi-modal Connectivity Analysis maps and memorandum;
- Real Estate Feasibility Analysis;
- Stakeholder List, Public Outreach Plan, and Community and Planning Commission Workshops;
- Project Website; and
- Draft and Final La Plaza East Development Plan.

**Strategic Plan:**

This item supports SCAG's Strategic Plan Goal 1: Improve Regional Decision Making by Providing Leadership and Consensus Building on Key Plans and Policies; Objective a: Create and Facilitate a Collaborative and Cooperative Environment to Produce Forward Thinking Regional Plans.

**Contract Amount:**

<b>Total not-to-exceed</b>	<b>\$59,840</b>
Fehr and Peers (prime consultant)	\$31,601
Raimi + Associates, Inc. (subconsultant)	\$18,952
Metropolitan Research and Economics (subconsultant)	\$9,287

**Contract Period:**

July 1, 2014 through June 30, 2015

**Project Number:**

065.SCG137.01      \$59,840  
Funding sources: Consolidated Planning Grant – FTA and TDA

**Request-for-Proposal  
(RFP):**

SCAG staff notified 1,584 firms of the release of RFP No. 14-001-B28 via SCAG's Solicitation Management System. A total of 53 firms downloaded the RFP. SCAG received the following two (2) proposals in response to the solicitation:

**Fehr and Peers (2 subconsultants)      \$59,840**

RTKL Associates Inc. (3 subconsultants)

\$347,813

**Selection Process:** The Proposal Review Committee (PRC) evaluated each proposal in accordance with the criteria set forth in the RFP, and conducted the selection process in a manner consistent with all applicable federal and state contracting regulations. After evaluating the proposals, the PRC interviewed both offerors.

The PRC consisted of the following individuals:

Rebecca Forbes, Transportation Planner, Caltrans District 8

Ma' Ayn Johnson, Senior Regional Planner, SCAG

Luis Lopez, Development Services Director, City of Coachella

Mitch Nieman, Assistant to the City Manager, City of Coachella

**Basis for Selection:** The PRC selected Fehr and Peers for the contract award because the Consultant:

- Proposed the lowest price; and
- Demonstrated the most comprehensive technical approach that will address all tasks and deliverables described in the scope of work.

The PRC felt the other proposer overestimated the level of effort required to complete the Scope of Work.

## **CONSULTANT CONTRACT 14-001-B29**

**Recommended  
Consultant:**

Alta Planning + Design

**Background &  
Scope of Work:**

The Consultant shall provide consultant services for a Sustainability Project for the Cities of Manhattan Beach, Redondo Beach, and Hermosa Beach in partnership with the South Bay Bicycle Coalition. Specifically, the Consultant shall develop a Bicycle Mini-Corral Plan for the Cities of Hermosa Beach, Manhattan Beach, and Redondo Beach. The Plan shall identify a strategy for prioritizing mini-corral locations and apply that strategy to select ten specific locations per City to begin installing mini-corrals.

The Plan calls for the development of more end-of-trip bicycle facilities including bicycle racks and lockers. Support facilities are essential components of a bicycle system because they enhance safety and convenience for bicyclists at the end of every trip. Providing ample, convenient bicycle parking is an important component to supporting those who currently use bicycling for transportation and encouraging more people to cycle instead of drive, thereby reducing greenhouse gas (GHG) emissions. Moreover, a bicycle parking strategy with connections to public transit will further the geographical range of residents traveling without an automobile. In addition to environmental benefits, studies have shown that ample and convenient bicycle parking benefits local businesses through increased revenue from people who are able to securely park their bicycle within a business area.

**Project's Benefits  
& Key Deliverables:**

The project's benefits and key deliverables include, but are not limited to:

- Identifying ten (10) locations within each City that will most benefit from bicycle mini-corrals. Given limited parking capacity in the three cities, the Consultant will identify potential bicycle mini-corrals locations on curb space that is currently not used for parking; and
- A final plan, incorporating a section providing the rationale for installing bicycle mini-corrals in each City. The final plan will also reflect stakeholder input given during the final stage public input meeting and from the online public forum to review the draft plan.

**Strategic Plan**

This item supports SCAG's Strategic Plan Goal 1: Improve Regional Decision Making by Providing Leadership and Consensus Building on Key Plans and Policies; Objective a: Create and Facilitate a Collaborative and Cooperative Environment to Produce Forward Thinking Regional Plans.

**Contract Amount:**

**Total not-to-exceed**

**\$39,994**

Alta Planning + Design (prime consultant)

**Contract Term:**

July 8, 2014 through June 30, 2015

**Project Number(s):**

065-0137B.01      \$35,407

065-0137E.01      \$4,587

Funding sources: Consolidated Planning Grant & TDA

**Request-for-Proposal (RFP):** SCAG staff notified 1,749 firms of this contracting opportunity via SCAG's Solicitation Management System. A total of 68 firms downloaded the RFP. SCAG received the following three (3) proposals in response to the solicitation:

**Alta Planning + Design (no subconsultants) \$39,994**

Stantec Consulting Services Inc. (no subconsultants) \$40,547

LVR International (no subconsultants) \$134,742

**Selection Process:** The Proposal Review Committee (PRC) evaluated each proposal in accordance with the criteria set forth in the RFP, and conducted the selection process in a manner consistent with all applicable federal and state contracting regulations. After evaluating the proposals, the PRC did not conduct interviews because the proposals contained sufficient information to base a contract award.

The PRC consisted of the following individuals:

Kristen M. Torres, Assoc. Planner, SCAG  
Mike Witzanksy, Dir. Public Works, City of Redondo Beach  
Nhung Madrid, Sr. Management Analyst, City of Manhattan Beach  
Julian Katz, President, South Bay Bicycle Coalition  
Mondher Saied, Assoc. Engineer, City of Hermosa Beach

**Basis for Selection:** The PRC recommended the Consultant for the contract award because the Consultant:

- Proposed the lowest price;
- Provided the best technical approach. Specifically, the firm showcased a truly collaborative approach, which will be necessary for this land use context. Their approach to design will resonate with the residents of these three communities;
- Demonstrated the best understanding of the project. Their proposal highlighted an understanding of the rather specific goals of this project. The Consultant demonstrated a unique approach to developing a bicycle parking plan;
- Demonstrated the most extensive experience with projects of similar size and scope. Specifically, the Consultant demonstrated their leadership and technical skill in bicycle parking plans in similarly dense, urban, coastal communities, such as Newport Beach and Culver City;
- Demonstrated the most effective approach to meet SCAG's requirements. Specifically, the Consultant demonstrated an excellent grasp of the scope and goals through the proposal of an online mapping tool for use in the public outreach process; and
- Provided the best overall value for the level of effort proposed.



## **CONSULTANT CONTRACT 14-001-B33**

<b>Recommended Consultant:</b>	Juan Matute (dba Kaizenergy)
<b>Background &amp; Scope of Work:</b>	<p>The Consultant shall provide consultant services for a Sustainability Planning Grant Program for the City of Hermosa Beach. Specifically, the purpose of this project is to facilitate non-automobile modes of travel in the South Bay subregion, as well as reducing air pollution from vehicles. In addition, the resulting work will provide best practices for other SCAG member cities hoping to reduce greenhouse gas emissions, and integrate transportation, land use and sustainability planning, a goal of SCAG's 2012-2035 Regional Transportation Plan/Sustainable Communities Strategy (2012 RTP/SCS), and to create a Carbon Neutral Plan (CNP) for reducing the carbon in city operations and facilities. This CNP will be shared with other cities via the South Bay Cities Council of Governments.</p>
<b>Project's Benefits &amp; Key Deliverables:</b>	<p>The project's benefits and key deliverables include, but are not limited to:</p> <ul style="list-style-type: none"><li>• GHG emission reduction training sessions for the City;</li><li>• Video and newsletter regarding GHG emissions reduction strategies; and</li><li>• Stakeholder workshops.</li></ul>
<b>Strategic Plan:</b>	<p>This item supports SCAG's Strategic Plan Goal 1: Improve Regional Decision Making by Providing Leadership and Consensus Building on Key Plans and Policies; Objective a: Create and Facilitate a Collaborative and Cooperative Environment to Produce Forward Thinking Regional Plans.</p>
<b>Contract Amount:</b>	<p><b>Total not-to-exceed</b> <b>\$28,191</b> Juan Matute (prime consultant)</p> <p>Note: Juan Matute originally proposed \$33,496, but staff negotiated the price down to \$28,191 without reducing the scope of work.</p>
<b>Contract Period:</b>	June 5, 2014 through June 30, 2015
<b>Project Number:</b>	065.0137.01. \$28,191 Funding sources: Consolidated Planning Grant – FTA and TDA
<b>Request-for-Proposal (RFP):</b>	<p>SCAG staff notified 1,749 firms of the release of RFP No. 14-001-B33 via SCAG's Management System. A total of 65 firms downloaded the RFP. SCAG received the following proposal in response to the solicitation:</p> <p><b>Juan Matute (no subconsultants)</b> <b>\$33,496</b></p> <p>After receiving only one proposal, staff surveyed the 65 firms that downloaded the RFP to determine why each did not submit a proposal. Seventeen (17) firms responded to staff's inquiry, which disclosed the main reason these firms did not respond was because they either did not have the resources or expertise to respond to the RFP, or they felt the Scope of Work was insufficient.</p>

**Selection Process:** The Proposal Review Committee (PRC) evaluated each proposal in accordance with the criteria set forth in the RFP, and conducted the selection process in a manner consistent with all applicable federal and state contracting regulations. After evaluating the proposals, the PRC did not conduct an interview because the proposal contained sufficient information to base a contract award.

The PRC consisted of the following individuals:

Frank Senteno, Public Works Director, City of Hermosa Beach  
Ken Robertson, Director of Community Development, City of Hermosa Beach  
Pamela Townsend, City Planner, City of Hermosa Beach  
Grieg Asher, Program Manager, SCAG

**Basis for Selection:** The PRC recommended Juan Matute for the contract award because the Consultant:

- Submitted a price that was within the project's budget;
- Demonstrated a comprehensive technical approach that will address all the tasks and deliverables described in the RFP Scope of Work; and
- Demonstrated past successful experience working with similar issues, including conducting workshops in other cities of similar size as Hermosa Beach.

**DATE:** August 7, 2014

**TO:** Regional Council (RC)  
Executive/Administration Committee (EAC)  
Community, Economic and Human Development Committee (CEHD)  
Energy and Environment Committee (EEC)  
Transportation Committee (TC)

**FROM:** Darin Chidsey; Director, Strategy, Policy & Public Affairs; (213) 236-1836;  
[chidsey@scag.ca.gov](mailto:chidsey@scag.ca.gov)

**SUBJECT:** State Approved Cap-and-Trade Expenditure Plan

**EXECUTIVE DIRECTOR'S APPROVAL:** 

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**RECOMMENDATION:**

For Information Only – No Action Required

**EXECUTIVE SUMMARY:**

*On June 20, 2014, Governor Brown signed the Fiscal Year (FY) 2014-15 California state budget (effective July 1, 2014) which, in addition to providing \$108 billion to pay down debt, build the state's rainy day fund, and provide additional money for schools and health care, also establishes an expenditure plan for Cap-and-Trade revenues. The approved expenditure plan is the culmination of a process of development of the plan to allocate Cap-and-Trade revenues begun by the state in 2012. SCAG, as part of its board adopted 2013 and 2014 legislative priorities, has partnered with transportation, local government, business and environmental stakeholders from around the state to work closely with the legislature to ensure that equitable allocations of Cap-and-Trade revenues flow to transportation programs and policies reducing Greenhouse Gas Emissions (GHGs), in recognition of the fact that transportation is the largest single sector emitter responsible for approximately 40% of all carbon emissions statewide. This report summarizes major provisions of the Cap-and-Trade Expenditure Plan passed by the Legislature and signed by the Governor.*

**BACKGROUND:**

AB 32, the nation's first comprehensive climate state law passing in 2006, requires California to reduce its greenhouse gas (GHG) emissions to 1990 levels by 2020 - a reduction of approximately 15 percent below emissions expected under a "business as usual" scenario. AB 32 also requires the California Air Resources Board (ARB) to develop a Scoping Plan, to be updated every five (5) years, that lays out California's strategy for meeting the goals. The Scoping Plan identifies a market-based Cap-and-Trade program as one of the strategies utilized by California to reduce GHG emissions. Under Cap-and-Trade, companies must hold enough emission allowances to cover their emissions, and are free to buy and sell allowances on the open market. The intended effect is for market forces to spur technological innovation and investment to encourage polluting industries to operate more cleanly to ensure compliance with AB 32 goals as quickly and efficiently as possible.

The Cap-and-Trade rules came into effect on January 1, 2013 and apply to large electric power plants and large industrial plants. In 2015, they will extend to fuel distributors (including distributors of heating

and transportation fuels). At that stage, the program will encompass approximately 360 businesses throughout California and nearly 85 percent of the state's total GHG emissions. California held its first auction of GHG allowances on November 14, 2012.

Also in 2012, the legislature passed and the Governor signed into law the following related bills: AB 1532 (Pérez, Chapter 807); SB 535 (De León, Chapter 830); and SB 1018 (Budget and Fiscal Review Committee, Chapter 39) – that establish the Greenhouse Gas Reduction Fund to receive Cap-and-Trade auction proceeds and to provide the framework for how the auction proceeds will be administered. Among the requirements of these enacted laws are that the Department of Finance (DOF), in consultation with ARB, develop and submit a three-year investment plan to the legislature outlining allocation of the Cap-and-Trade revenues, and that required minimum allocations be directed for benefit of disadvantaged communities. This plan, originally to be enacted in 2013 was delayed until 2014 because the Scoping Plan had not been fully completed when the FY 2013-14 state budget was enacted and, thus, allocation decisions were deemed by the Legislature and the Governor to be better made after the Scoping Plan was completed in 2013. The requirements of these laws and the Scoping Plan have largely directed development of the Cap-and-Trade expenditure plan passed as part of the FY 2014-15 state budget.

In October 2012, the Regional Council adopted support of Cap-and-Trade principles developed by statewide transportation, business, environment, and local government organizations forming the Statewide Coalition of Liveable Communities', outlining how and for what purposes Cap-and-Trade revenues should be allocated for transportation related programs and policies to reduce GHG emissions, with the overarching goal of ensuring that allocations to the sector mirror its overall responsibility for creating harmful carbon emissions, estimated at approximately 40%. The Regional Council followed this by adopting as part of the 2013 and 2014 SCAG state legislative priorities support of legislation ensuring that an equitable portion of revenues generated from the implementation of the Cap-and-Trade program are allocated to transportation improvements that result in the reduction of pollution and GHG emissions commensurate with the transportation sector's impact in causing these emissions. SCAG fully participated with Coalition efforts, meeting with legislative and Administration staff and appearing at state legislative budget committee hearings in 2013 and 2014 to secure funding consistent with the adopted principles. Additionally, SCAG advocated for regional allocation of Cap-and-Trade funding, which was not passed as part of the final expenditure plan as addressed herein.

### **Cap-and-Trade Expenditure Plan**

The 2014-15 state budget establishes an expenditure plan for Cap-and-Trade auction revenues to meet the goals set for by the California Global Warming Solutions Act of 2006 (AB 32). This law sets a goal of reducing overall state greenhouse gas emissions to 1990 levels by 2020, of which the Cap-and-Trade program will be responsible for achieving approximately 30 percent of these reductions.

On June 20, 2014, the Governor signed the FY 2014-15 state budget that includes the first investment plan for Cap-and-Trade auction revenues. This brief outlines the main points of interest for regional transportation planning agencies, summarizes the scope of key programs. The adopted budget bill and a series of "trailer bills" implement two aspects of the Cap-and-Trade expenditure plan: a budget year (2014-15) appropriation (SB 852) with fixed dollar amounts going to specified programs, and, in 2015-

# REPORT

16 and thereafter, specified programs will receive set percentages of annual Cap-and-Trade proceeds (SB 862: Cap-and-Trade program trailer bill).

In summary, primary provisions of both bills indicating ongoing appropriations under the Cap-and-Trade program as well as the FY 2014-15 are as follows:

- Only two categories under Cap-and-Trade program receive multi-year allocations – which total 60% of future revenues: Transit, Housing, and Sustainable Communities (35%); and High-Speed Rail (25%). The other 40% of Cap-and-Trade funds will be subject to the annual budget process for other program areas;
- Funding for FY 2014-15 varies from this formula because of a one-time \$200 million allocation to clean transportation. FY 2014-15 appropriations are broken down as follows:
  - \$130 million allocated to Affordable Housing and Sustainable Communities (AHSC);
  - \$250 million allocated to High Speed Rail;
  - \$200 million allocated to Clean Vehicle Program;
  - \$50 million allocated to Transit;
  - \$242 million for non-transportation related programs for energy, water, waste diversion and weatherization.
- SB 862 apportions 20 percent of the Greenhouse Gas Reduction Fund proceeds on an annual basis to the AHSC program beginning in FY 2015-16;
- The AHSC Program is intended to further the regulatory purposes of AB 32 and SB 375 by investing in projects that reduce greenhouse gas emissions by creating more compact, infill development patterns, encouraging active transportation and mass transit usage, and protecting agricultural land from sprawl development;
- Funding for the AHSC program is subject to the following requirements:
  - Half (50%) of this money must be used for affordable housing;
  - Other half (50%) for projects typically included in a regional transportation plan, such as but not limited to, transit capital and programs supporting transit ridership; active transportation projects; Transit Oriented Development (TOD) projects; ‘Complete Streets’ projects; planning to support SCS implementation, including local plans; programs must be in a draft or adopted SCS and subject to SCS guidelines.
  - Distribution of funds is not further defined and there is no provision for regional parity.
  - The percentage of funds that must be appropriated for benefit of disadvantaged communities is doubled under the budget proposal from 25% (under SB 525) to 50% of funds;
  - The Strategic Growth Council (SGC) is given authority to develop guidelines for the allocation of Cap-and-Trade funds with consideration of comments from local and regional governments and the public and, after guidelines are developed, is required to coordinate with Metropolitan Planning Organizations and other regional agencies to recommend projects.
  - Anticipated schedule for the AHSC program guidelines and funding solicitation are: draft and final guidelines to SGC in October and December 2014, respectively; funding solicitation January 2015; applications due April 2015; award announced June 2015. \*

- SGC held a public meeting on July 10, 2014, in which they approved a parallel structure for implementation of the program, whereby the California Department of Housing and Community Development (HCD) will be responsible for the technical administration of the housing and sustainable communities component and the Natural Resources Agency will technically administer the agricultural lands component on behalf of SGC. SGC retains final oversight authority for the program.
- \* SGC will hold an AHSC Program Guideline Development Workshop (with registration required) on August 15, 2014 at Caltrans District 7 Office in Los Angeles. Workshop information including registration is available at <http://www.sgc.ca.gov>
- Of the \$50 million allocated to transit, \$25 million is for Transit Operations or Capital (local) – funded by State Transit Assistance formula, subject to ARB guidelines and Caltrans approval; and \$25 million for Transit Capital or Operations (State) - including bus transit, and commuter and intercity and urban light rail – funded on competitive basis at California Transportation Commission, California State Transportation Agency review.

Attachment 1 is a comprehensive policy brief prepared by the California Association of Councils of Governments (CALCOG) that details the overall cap-and-trade program with a detailed summary of 2014-15 budget allocations and references to related sections of existing statute concerning program requirements and other provisions. Attachment 2 is the presentation from the July 10, 2014 SGC meeting including a handout from the Air Resources Board (ARB) which summarizes ARB's statutory responsibilities and roles related to the Greenhouse Gas Reduction Fund and upcoming milestones. More information on the 2014-15 budget may be obtained at the California Department of Finance website: [www.ebudget.ca.gov](http://www.ebudget.ca.gov)

SCAG will continue its work with the SGC and the ARB in developing implementation guidelines for the Cap-and-Trade program going forward and will provide regular updates to the Regional Council.

## **ATTACHMENTS:**

1. CALCOG Cap-and-Trade Policy Brief
2. Overview of Affordable Housing and Sustainable Communities Program Concept
3. SGC July 10, 2014 Public Meeting Presentation and ARB Handout



# CAP & TRADE POLICY BRIEF

## TRANSIT, HOUSING, & SUSTAINABLE COMMUNITIES PROGRAM

California Association of Councils of Governments

June 23, 2014

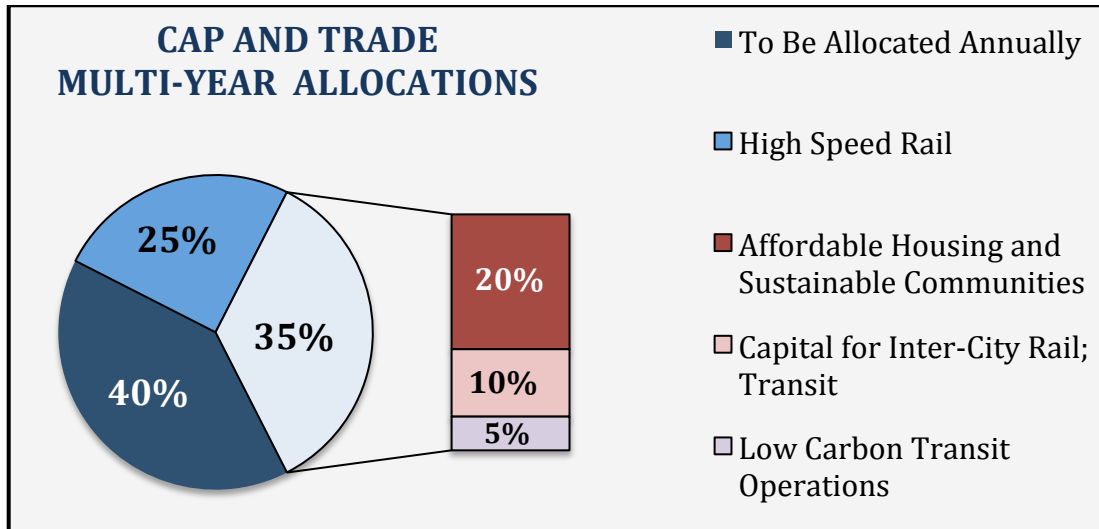
### I. INTRODUCTION

Last week, the Legislature adopted—and the Governor signed—a budget for FY 2014-15 that includes the first investment plan for Cap and Trade auction revenues. This brief outlines the main points of interest for regional transportation planning agencies, summarizes the scope of key programs, and provides selected language from SB 852 (allocations) and SB 862 (cap and trade program trailer bill).

### II. CAP AND TRADE PROGRAM HIGHLIGHTS

- The Big News: Ongoing Appropriation.*** Only two categories (totaling 60% of future revenues) get multi-year allocations: Transit, Housing, and Sustainable Communities (35%) and High-Speed Rail (25%). Other program areas will be subject to the annual budget process. *See chart, next page.*
- But FY 14-15 is Different.*** Funding is different the first year largely because of a \$200 million allocation to clean transportation. Thus, \$130 million is allocated to affordable housing and sustainable communities; and \$50 million for transit split evenly between the Transit Capital and Transit Operations. An additional \$242 million for non-transportation related programs for energy, water, waste diversion, and weatherization.
- Reporting and Quantification.*** The Air Resource Board will develop guidance on GHG reporting and quantification methods for all state agencies that receive appropriations to ensure that the requirements of AB 32 are met.
- Strategic Growth Council Change.*** The Senate and Assembly each get to appoint a public member to the Strategic Growth Council—making the council 3 public members and seven senior members of the Administration.
- 20% for Affordable Housing & Sustainable Communities.*** This funding is subject to a specific set of rules and guidelines:
  - Half for Housing.*** Half (10%) must be used for affordable housing. SGC will be the lead agency, though SGC likely will “leverage” HCD’s expertise.
  - “Other Half” Eligibility.*** Threshold eligibilities include projects typically included in a regional transportation plan (see table on page 3). But it also includes agriculture mitigation and undefined “other programs.”
  - Distribution Undetermined.*** The distribution method is to be determined; no provision is made for regional parity, though non-MPO areas are included.

FY 14-15 Appropriations	\$ in Millions
High Speed Rail	\$250
Clean Vehicle Program	\$200
Housing/Sustainable Comm.	\$130
Transit	\$50
Other Programs	\$242
<b>TOTAL</b>	<b>\$872</b>



- iv. *50% for Disadvantaged Communities.* The percentage for disadvantaged communities is doubled from the SB 535 standard of 25% to 50%.
  - v. *State Guidelines.* The council is directed to “leverage the programmatic and administrative expertise of relevant state departments” in developing the guidelines. Comments from local and regional governments are to be “considered” in the same manner as other stakeholders in public hearings.
  - vi. *“Coordinated” Project Selection.* After guidelines are developed, the Council is required to “coordinate” with regional agencies to recommend projects.
6. ***Transit and Intercity Rail Capital Program.*** This program is for commuter and inter-regional rail and bus rapid transit projects. The Transportation Agency will develop guidelines, review applications, and make recommendations to the CTC. Funding must comply with the SB 535-standard 25% for disadvantaged communities; and achieve “geographic equity” and SCS consistency.
    - *Special Note:* Clean up language on this element is in the works to explicitly authorize bus transit as an eligible use under the program.
  7. ***Low Carbon Transit.*** Funding goes out under the State Transit Assistance formula for new and expanded service (including equipment); and 50% of the funding must be expended for disadvantaged communities. Caltrans must approve all expenditures to determine they meet the guidelines.
  8. ***High-Speed Rail.*** High speed rail gets \$250 million in the first year; 25% going forward; and gets an additional \$400 million from prior year auction sales.
  9. ***Disadvantaged Communities & CalEnviroscreen.*** The CalEnviroscreen tool (that identifies disadvantaged communities) came under scrutiny throughout the budget process. New language provides that the Air Resources Board, working with CalEPA, shall develop guidelines for the use of the CalEnviroscreen tool, including how “benefits” should be “maximized.”



### III. SUSTAINABLE COMMUNITIES SCOPE & ELIGIBILITY

<b>PROGRAM:</b>	<b>AFFORDABLE HOUSING &amp; SUSTAINABLE COMMUNITIES</b>
<b>Administered By:</b>	Strategic Growth Council
<b>Target:</b>	50% must benefit disadvantaged communities; 50% for Affordable Housing
<b>Project Eligibility:</b>	<ul style="list-style-type: none"> <li>• Affordable housing that supports infill and compact development</li> <li>• Transit capital and programs “supporting transit ridership”</li> <li>• Active transportation projects (infrastructure &amp; non-infrastructure)</li> <li>• TOD projects</li> <li>• Capital projects that implement complete streets</li> <li>• Projects that reduce GHG emissions by reducing auto trips and VMT</li> <li>• Acquisition of easements or other approaches to protect agricultural lands under threat of development</li> <li>• Planning to support SCS implementation, including local plans</li> <li>• Must be in draft or adopted SCS</li> <li>• Subject to SGC guidelines</li> </ul>
<b>PROGRAM:</b>	<b>TRANSIT &amp; INTER-CITY RAIL (AND BUS) CAPITAL PROGRAM</b>
<b>Administered By:</b>	Transportation Agency develops guidelines, scores applications. and makes recommendations, CTC allocates funds
<b>Target:</b>	25% must benefit disadvantaged communities; achieve geographic equity
<b>Project Eligibility:</b>	<ul style="list-style-type: none"> <li>• Rail capital</li> <li>• Bus rapid transit and other bus investments to increase ridership and reduce GHGs</li> <li>• Service improvements to improve reliability &amp; decrease travel times</li> <li>• Integrated ticketing and scheduling systems, shared-use corridors, related planning efforts and service integration initiatives</li> <li>• Must be consistent with SCS</li> <li>• Subject to SGC guidelines</li> </ul>
<b>PROGRAM:</b>	<b>LOW CARBON TRANSIT OPERATIONS PROGRAM</b>
<b>Administered By:</b>	Operator (or RTPA for population-based funds) must submit project to Caltrans for approval and verification that it qualifies as a GHG reducing project. Controller allocates funds
<b>Target:</b>	50% must benefit disadvantaged communities
<b>Project Eligibility:</b>	<ul style="list-style-type: none"> <li>• Transit capital and operating expenses that enhance transit service and reduce GHG emissions</li> <li>• Support new or expanded bus or rail services, or expanded intermodal facilities and equipment, fueling and maintenance for those facilities.</li> </ul>

### III. FY 14-15 Budget Allocations (SB 852)

FY 14-15 Cap and Trade Allocations Related to Transportation	
with Budget Line Item and SB 852 Page Number	
<b>Affordable Housing and Sustainable Communities</b>	
- For Local Assistance (0650-101-3228, page 34)	129,201,000
- For Support of OPR (0650-001-3228, page 40)	799,000
<b>Subtotal</b>	<b>130,000,000</b>
<b>Transit and Rail Capital and Transit Operations</b>	
- Transit Operations (2640-101-3228, page 116)	25,000,000
- Rail and Transit Capital (2660-101-3228, Page 132)	24,791,000
- Support, Dept. of Transp. (2660-001-3228, page 124)	208,000
- Capital Outlay (2660-301-3228, page 143)	1,000
<b>Subtotal</b>	<b>50,000,000</b>
<b>High Speed Rail</b>	
- Capital Outlay (2665-306-3228, page 164)	191,414,000
- Capital Outlay (2665-301-3228, page 163)	58,586,000
<b>Subtotal</b>	<b>250,000,000</b>
Clean Transportation (3900-101-3228, Page 275)	197,266,000
ARB Support - All Programs (3900-001-3228, page 274)	11,520,000
<b>TOTAL</b>	<b>638,786,000</b>

#### Notes:

- *AB 852 Language.* Key provision related to these programs are included at the end of this document. A copy of SB 852 is posted on our website.
- *Final Determination and the Last 25%.* The last 25% of any fund cannot be allocated until the Department of Finance makes a final determination based on auction proceeds after the last auction of the year. See Section 15.13 of SB 852 (page 683).
- *Affordable Housing & Sustainable Communities.* These funds “may be available for transfer to the Department of Transportation, the Department of Housing and Community Development, the Department of Conservation, and the Natural Resources Agency for support costs and local assistance. . .”
- *California Transit Association.* A note of appreciation for the California Transit Association for identifying the key line items in SB 852.

## V. SEVEN ADMINISTRATIVE PROCESSES

1. Reporting and quantification methods for GHG reductions	
<b>Scope:</b>	Define how projects further regulatory purposes of AB 32 contribute to reducing GHGs, and applicability of other non-greenhouse gas reduction objectives of AB 32
<b>Agency:</b>	Air Resources Board
<b>Process:</b>	Undefined (ARB hearing likely)
<b>Statute:</b>	Government Code § 16428.9(b)
2. Identification of Disadvantaged Communities	
<b>Scope:</b>	<i>(Already in Statute)</i> Geographic, socioeconomic, health, environmental hazard, pollution, and concentration of low income, high unemployment, high rent, or other factors.
<b>Agency:</b>	California Environmental Protection Agency
<b>Process:</b>	At least one public hearing
<b>Statute:</b>	Health and Safety Code § 38711
3. Funding Guidelines Relating to Disadvantaged Communities for Administering Agencies	
<b>Scope:</b>	Agencies shall “maximize benefits for disadvantaged communities.”
<b>Agency:</b>	Air Resources Board, in consultation with CALEPA
<b>Process:</b>	ARB shall provide an “opportunity for public input” prior to final guidelines.
<b>Statute:</b>	Public Resources Code § 39715
4. Coordinate Activities of SGC Member Agencies that related to Program	
<b>Scope:</b>	Coordinate programs SGC members in way that is consistent with requirements for disadvantaged communities, GHG reporting, and transit priority projects.
<b>Agency:</b>	Strategic Growth Council, in consultation with Air Resources Board
<b>Process:</b>	No public process is defined
<b>Statute:</b>	Public Resources Code § 75200.1
5. Affordable Housing & Sustainable Community Guidelines and Selection Criteria	
<b>Scope:</b>	Develop guidelines that are consistent with extensive eligibility and policy objectives included in the statute (See Pub. Resources §§ 75210 to 75214).
<b>Agency:</b>	SGC with member agencies and departments; ARB, other state entities as needed
<b>Process:</b>	At least two workshops (one north, one south); draft guidelines published 30 days in advance; consider comments from local and regional governments, stakeholders; conduct outreach to disadvantaged communities.
<b>Statute:</b>	Public Resources Code § 75215
6. Guidelines for Transit and Inter-City Rail Capital Program	
<b>Scope:</b>	Extensive criteria provided by statute
<b>Agency:</b>	California State Transportation Agency
<b>Process:</b>	At least two public workshops with draft posted at least 30 days prior.
<b>Statute:</b>	Public Resources Code § 75222
7. Guidelines for Low Carbon Transit Operations Program	
<b>Scope:</b>	Develop guidelines that describe methodologies that recipient transit agencies shall use to demonstrate that proposed expenditures will meet the established criteria
<b>Agency:</b>	CalTrans (working with ARB)
<b>Process:</b>	Undefined
<b>Statute:</b>	Public Resources Code Section 75230(f)

## VI. ILLUSTRATIVE REVENUE ESTIMATE

The “ballpark” projections below are only “illustrative.” We cannot predict future auction revenues. But planners and economists make such projections all the time—see (for example) any forecast in a regional transportation plan. The table estimates revenues from FY 14-15 through FY 19-20 (but the two auctions in the first half of FY 20-21 are not included). Its based on the following assumptions:

- **Total Allowances.** ARB has published the number of state allowances that will be sold each year through 2020. But this assumption may be “optimistic” if the state elects to give more allowances away in lieu of sale if (for example) there is significant public concern about the economic effects (*e.g.*, price of gas increases).
- **75% Sell Rate for Allowances.** Not all allowances sell at every auction. The table below includes a very “back-of-the-envelope” guess that 75% of the allowances will actually sell. Some would call this assumption “conservative.”
- **Price.** Under current policy, the minimum price for allowances increases by 5% plus an inflation factor each year. This table starts with the minimum price in the first half of FY 14-15 and adds 5% (with no inflation factor) each year. The LAO noted in its *Cap and Trade Report* for the FY 14-15 Budget that “several economists” have estimated that the average price would be between \$15 and \$20 per ton. Thus, this could also be a conservative assumption.

The total revenue under these assumptions (counting the omitted two auctions) is approximately \$8.8 billion, well shy of the \$12 to \$45 billion range cited by the LAO. Accordingly, the table below may be a conservative estimate—which is why they are presented here for illustrative purposes only.

FISCAL YEAR	14/15	15/16	16/17	18/19	17/18	19/20
<b>Allowances Offered</b> (in millions)	125	195	182	128	155	68
<b>75% Sell Rate</b> (in millions)	93.75	146.25	136.5	96	116.25	51
<b>Minimum Price</b>	<b>\$11.34</b>	<b>\$11.91</b>	<b>\$12.50</b>	<b>\$13.78</b>	<b>\$13.13</b>	<b>\$14.47</b>
<b>TOTAL AUCTION REVENUES</b>	<b>\$1,063,125,000</b>	<b>\$1,741,398,750</b>	<b>\$1,706,570,775</b>	<b>\$1,323,248,724</b>	<b>\$1,526,068,097</b>	<b>\$738,124,679</b>
<b>20% - Afford Housing &amp; Sustainable Communities</b>	<b>\$212,625,000</b>	<b>\$348,279,750</b>	<b>\$341,314,155</b>	<b>\$264,649,745</b>	<b>\$305,213,619</b>	<b>\$147,624,936</b>
<b>10% - Transit Capital</b>	<b>\$106,312,500</b>	<b>\$174,139,875</b>	<b>\$170,657,078</b>	<b>\$132,324,872</b>	<b>\$152,606,810</b>	<b>\$73,812,468</b>
<b>5%- Transit Operations</b>	<b>\$53,156,250</b>	<b>\$87,069,938</b>	<b>\$85,328,539</b>	<b>\$66,162,436</b>	<b>\$76,303,405</b>	<b>\$36,906,234</b>
<b>“SUSTAINABLE” TOTAL</b>	<b>\$372,093,750</b>	<b>\$609,489,563</b>	<b>\$597,299,771</b>	<b>\$463,137,053</b>	<b>\$534,123,834</b>	<b>\$258,343,638</b>

## VII. SELECTED LANGUAGE from SB 862

**SECTION 1.** (a) The Legislature **finds and declares** all of the following:

*.... (omitted text)*

*(6) As required by existing law, the use of the moneys appropriated from the GGRF for the Cap-and-Trade Expenditure Plan furthers the regulatory purposes of AB 32 by facilitating the achievement of reductions in greenhouse gases in the state. The Cap-and-Trade Expenditure Plan includes the following programmatic investment areas:*

*(A) Transit, Affordable Housing, and Sustainable Communities.*

*(B) High-Speed Rail.*

*(C) Low Carbon Transportation.*

*(D) Energy Efficiency and Renewable Energy.*

*(E) Natural Resources and Waste Diversion.*

*(7) Programs included in the Cap-and-Trade Expenditure Plan include the following:*

*(A) Expenditures for low-carbon transportation that include, but are not limited to, cleaning up cars, trucks, buses, and freight movement to meet federally mandated clean air requirements and long-term greenhouse gas emissions reduction goals, funding for heavy-duty freight, electric vehicle programs and rebates, and off-road vehicles.*

*.... (omitted text)*

*(D) The Affordable Housing and Sustainable Communities Program, which authorizes the Strategic Growth Council to fund land-use, housing, transportation, and land preservation projects to support infill and compact development that reduces greenhouse gas emissions. These projects, which were described in the AB 32 Scoping Plan, facilitate the reduction of the emissions of greenhouse gases by improving mobility options and increasing infill development, which decrease vehicle miles traveled and associated greenhouse gas and other emissions, and by reducing land conversion, which would result in emissions of greenhouse gases.*

*(E) The Transit and Intercity Rail Capital Program, which authorizes the California Transportation Commission to provide grants, based on determinations of the Transportation Agency, to fund capital improvements and operational investments that will modernize California's transit systems and intercity, commuter, and urban rail systems to reduce emissions of greenhouse gases by reducing vehicle miles traveled throughout California.*

*(F) The Low Carbon Transit Operations Program, which authorizes the Controller to provide funding allocations based on project evaluation from the Department of Transportation and the State Air Resources Board, to fund operation investments to increase transit ridership and reduce emissions of greenhouse gases by reducing vehicle miles traveled throughout California.*

*(G) The High Speed Rail Program, which authorizes the High Speed Rail Authority to utilize funds to begin the initial operating segment and the Phase I Blended System, and further environmental and design work on the statewide high speed rail system. The Safe, Reliable High-Speed Passenger Train Bond Act for the 21st Century (Chapter 20 (commencing with Section 2940) of Division 3 of the Streets and Highways Code), approved by the voters in 2008, specifies that the high-speed train system, once it is completed and becomes operational, will contribute significantly toward the goal of reducing emissions of greenhouse gases and other air pollutants and will help reduce California's dependence on foreign energy sources. As recognized in the AB 32 Scoping Plan, implementation of a high speed rail system will*

*facilitate the reduction of emissions of greenhouse gases and other air pollutants by providing the foundation for a large-scale transformation of California's transportation infrastructure, displacing millions of vehicle miles traveled on the road, reducing demand for air travel, and increasing train ridership to ensure that the state's greenhouse gas emission reductions are maintained and continued.*

*..... (omitted text)*

**SEC. 3.** Section 16428.9 of the Government Code is **amended** to read:

**16428.9.** (a) Prior to expending any moneys appropriated to it by the Legislature from the fund, a state agency shall prepare a record consisting of all of the following:

- (1) A description of each expenditure proposed to be made by the state agency pursuant to the appropriation.
- (2) A description of how a proposed expenditure will further the regulatory purposes of Division 25.5 (commencing with Section 38500) of the Health and Safety Code, including, but not limited to, the limit established under Part 3 (commencing with Section 38550) and other applicable requirements of law.
- (3) A description of how a proposed expenditure will contribute to achieving and maintaining greenhouse gas emission reductions pursuant to Division 25.5 (commencing with Section 38500) of the Health and Safety Code.
- (4) A description of how the state agency considered the applicability and feasibility of other nongreenhouse gas reduction objectives of Division 25.5 (commencing with Section 38500) of the Health and Safety Code.
- (5) A description of how the state agency will document the result achieved from the expenditure to comply with Division 25.5 (commencing with Section 35800) of the Health and Safety Code.

*(b) The State Air Resources Board shall develop guidance on reporting and quantification methods for all state agencies that receive appropriations from the fund to ensure the requirements of this section are met. Chapter 3.5 (commencing with Section 11340) of Part 1 of Division 3 does not apply to the procedures developed pursuant to this subdivision.*  
*(omitted text)*

**SEC. 5.** Section 39711 of the Health and Safety Code is **amended** to read:

**39711.** (a) The California Environmental Protection Agency shall identify disadvantaged communities for investment opportunities related to this chapter. These communities shall be identified based on geographic, socioeconomic, public health, and environmental hazard criteria, and may include, but are not limited to, either of the following:

- (1) Areas disproportionately affected by environmental pollution and other hazards that can lead to negative public health effects, exposure, or environmental degradation.
- (2) Areas with concentrations of people that are of low income, high unemployment, low levels of homeownership, high rent burden, sensitive populations, or low levels of educational attainment.

*(b) The California Environmental Protection Agency shall hold at least one public workshop prior to the identification of disadvantaged communities pursuant to this section.*

*(c) Chapter 3.5 (commencing with Section 11340) of the Part 1 of Division 3 of Title 2 of the Government Code does not apply to the identification of disadvantaged communities pursuant to this section.*



**SEC. 6.** Section 39715 of the Health and Safety Code is **amended** to read:

**39715.** (a) *The state board, in consultation with the California Environmental Protection Agency shall develop funding guidelines for administering agencies that receive appropriations from the fund to ensure the requirements of this chapter are met. The guidelines shall include a component for how administering agencies should maximize benefits for disadvantaged communities, as described in Section 39711.*

(b) *The state board shall provide an opportunity for public input prior to finalizing the guidelines.*

(c) *Chapter 3.5 (commencing with Section 11340) of the Part 1 of Division 3 of Title 2 of the Government Code does not apply to the guidelines developed pursuant to this section.*

**SEC. 7.** Section 39719 is **added** to the Health and Safety Code, to read:

**39719.** (a) *The Legislature shall appropriate the annual proceeds of the fund for the purpose of reducing greenhouse gas emissions in this state in accordance with the requirements of Section 39712.*

(b) *To carry out a portion of the requirements of subdivision (a), annual proceeds are continuously appropriated for the following:*

(1) *Beginning in the 2015–16 fiscal year, and notwithstanding Section 13340 of the Government Code, 35 percent of annual proceeds are continuously appropriated, without regard to fiscal years, for transit, affordable housing, and sustainable communities programs as following:*

(A) *Ten percent of the annual proceeds of the fund is hereby continuously appropriated to the Transportation Agency for the Transit and Intercity Rail Capital Program created by Part 2 (commencing with Section 75220) of Division 44 of the Public Resources Code.*

(B) *Five percent of the annual proceeds of the fund is hereby continuously appropriated to the Low Carbon Transit Operations Program created by Part 3 (commencing with Section 75230) of Division 44 of the Public Resources Code. Funds shall be allocated by the Controller, according to requirements of the program, and pursuant to the distribution formula in subdivision (b) or (c) of Section 99312 of, and Sections 99313 and 99314 of, the Public Utilities Code.*

(C) *Twenty percent of the annual proceeds of the fund is hereby continuously appropriated to the Strategic Growth Council for the Affordable Housing and Sustainable Communities Program created by Part 1 (commencing with Section 75200) of Division 44 of the Public Resources Code. Of the amount appropriated in this subparagraph, no less than 10 percent of the annual proceeds shall be expended for affordable housing, consistent with the provisions of that program.*

(2) *Beginning in the 2015–16 fiscal year, notwithstanding Section 13340 of the Government Code, 25 percent of the annual proceeds of the fund is hereby continuously appropriated to the High-Speed Rail Authority for the following components of the initial operating segment and Phase I Blended System as described in the 2012 business plan adopted pursuant to Section 185033 of the Public Utilities Code:*

(A) *Acquisition and construction costs of the project.*

(B) *Environmental review and design costs of the project.*

(C) *Other capital costs of the project.*

(D) *Repayment of any loans made to the authority to fund the project.*

(c) *In determining the amount of annual proceeds of the fund for purposes of the calculation in subdivision (b), the funds subject to Section 39719.1 shall not be included.*

**SEC. 20.** Section 75121 of the Public Resources Code is **amended** to read:

**75121.** (a) The Strategic Growth Council is hereby established in state government and it shall consist of the Director of State Planning and Research, the Secretary of the Natural Resources Agency, the Secretary for Environmental Protection, the Secretary of Transportation, the Secretary of California Health and Human Services, the Secretary of Business, Consumer Services, and Housing, the Secretary of Food and Agriculture, *one member of the public appointed by the Speaker of the Assembly, one member of the public appointed by the Senate Committee on Rules*, and one member of the public to be appointed by the Governor. The public members shall have a background in land use planning, local government, resource protection and management, or community development or revitalization *and shall serve at the pleasure of the appointing authority*.

(b) Staff for the council shall be reflective of the council's membership.

**SEC. 21.** Division 44 (commencing with Section 75200) is **added** to the Public Resources Code, to read: **Transit, Affordable Housing, and Sustainable Communities Program**

## **PART 1. Affordable Housing and Sustainable Communities**

### **CHAPTER 1. General Provisions**

**75200.** *For the purposes of this part, the following terms have the following meanings:*

(a) *"Council" means the Strategic Growth Council established pursuant to Section 75121.*

(b) *"Disadvantaged communities" means communities identified as disadvantaged communities pursuant to Section 39711 of the Health and Safety Code.*

(c) *"Program" means the Affordable Housing and Sustainable Communities Program established pursuant to Section 75210.*

**75200.1.** *Consistent with Section 75125, the council, in consultation with the State Air Resources Board, shall review and coordinate the activities of member agencies of the council for the programs included in this part. The council shall review these programs, including grant guidelines of each program, consistent with Chapter 4.1 (commencing with Section 39710) of Part 2 of Division 26 of the Health and Safety Code, including the recommendations of the investment plan, Article 9.7 (commencing with Section 16428.8) of Chapter 2 of Part 2 of Division 4 of Title 2 of the Government Code, and Chapter 4.2 (commencing with Section 21155) of Division 13 of this code.*

### **CHAPTER 2. Affordable Housing and Sustainable Communities Program**

**75210.** *The council shall develop and administer the Affordable Housing and Sustainable Communities Program to reduce greenhouse gas emissions through projects that implement land use, housing, transportation, and agricultural land preservation practices to support infill and compact development, and that support related and coordinated public policy objectives, including the following:*

(a) *Reducing air pollution.*

(b) *Improving conditions in disadvantaged communities.*

(c) *Supporting or improving public health and other cobenefits as defined in Section 39712 of the Health and Safety Code.*

(d) *Improving connectivity and accessibility to jobs, housing, and services.*

(e) *Increasing options for mobility, including the implementation of the Active Transportation Program established pursuant to Section 2380 of the Streets and Highways Code.*

(f) *Increasing transit ridership.*



*(g) Preserving and developing affordable housing for lower income households, as defined in Section 50079.5 of the Health and Safety Code.*

*(h) Protecting agricultural lands to support infill development.*

**75211.** *To be eligible for funding pursuant to the program, a project shall do all of the following:*

*(a) Demonstrate that it will achieve a reduction in greenhouse gas emissions.*

*(b) Support implementation of an adopted or draft sustainable communities strategy or, if a sustainable communities strategy is not required for a region by law, a regional plan that includes policies and programs to reduce greenhouse gas emissions.*

*(c) Demonstrate consistency with the state planning priorities established pursuant to Section 65041.1 of the Government Code.*

**75212.** *Projects eligible for funding pursuant to the program include any of the following:*

*(a) Intermodal, affordable housing projects that support infill and compact development.*

*(b) Transit capital projects and programs supporting transit ridership.*

*(c) Active transportation capital projects that qualify under the Active Transportation Program, including pedestrian and bicycle facilities and supportive infrastructure, including connectivity to transit stations.*

*(d) Noninfrastructure-related active transportation projects that qualify under the Active Transportation Program, including activities that encourage active transportation goals conducted in conjunction with infrastructure improvement projects.*

*(e) Transit-oriented development projects, including affordable housing and infrastructure at or near transit stations or connecting those developments to transit stations.*

*(f) Capital projects that implement local complete streets programs.*

*(g) Other projects or programs designed to reduce greenhouse gas emissions and other criteria air pollutants by reducing automobile trips and vehicle miles traveled within a community.*

*(h) Acquisition of easements or other approaches or tools that protect agricultural lands that are under pressure of being converted to nonagricultural uses, particularly those adjacent to areas most at risk of urban or suburban sprawl or those of special environmental significance.*

*(i) Planning to support implementation of a sustainable communities strategy, including implementation of local plans supporting greenhouse gas emissions reduction efforts and promoting infill and compact development.*

**75213.** *A project eligible for funding pursuant to the program shall be encouraged to promote the objectives of Section 75210, and economic growth, reduce public fiscal costs, support civic partnerships and stakeholder engagement, and integrate and leverage existing housing, transportation, and land use programs and resources.*

**75214.** *In implementing the program, the council shall support the goals established pursuant to Chapter 830 of the Statutes of 2012 by ensuring a programmatic goal of expending 50 percent of program expenditure for projects benefiting disadvantaged communities. To the extent feasible, the council shall coordinate outreach to promote access and program participation in disadvantaged communities.*

**75215.** *(a) Prior to awarding funds under the program, the council, in coordination with the member agencies and departments of the council, the State Air Resources Board, and other state entities, as needed, shall develop guidelines and selection criteria for the implementation*

of the program.

(b) Prior to adoption of the guidelines and the selection criteria, the council shall conduct at least two public workshops to receive and consider public comments. One workshop shall be held at a location in northern California and one workshop shall be held at a location in southern California.

(c) The council shall publish the draft guidelines and selection criteria on its Internet Web site at least 30 days prior to the public meetings.

(d) In adopting the guidelines and selection criteria, the council shall consider the comments from local governments, regional agencies, and other stakeholders. The council shall conduct outreach to disadvantaged communities to encourage comments on the draft guidelines from those communities.

(e) Program guidelines may be revised by the council to reflect changes in program focus or need. Outreach to stakeholders shall be conducted, pursuant to subdivisions (a), (b), and (c) before the council adopts changes to guidelines.

(f) Upon the adoption of the guidelines and selection criteria, the council shall, pursuant to Section 9795 of the Government Code, submit copies of the guidelines to the fiscal and appropriate policy committees of the Legislature.

(g) Chapter 3.5 (commencing with Section 11340) of Part 1 of Division 3 of Title 2 of the Government Code does not apply to the development and adoption of the guidelines and selection criteria pursuant to this section.

**75216.** (a) The council shall leverage the programmatic and administrative expertise of relevant state departments and agencies in implementing the program.

(b) The council shall coordinate with the metropolitan planning organizations and other regional agencies to identify and recommend projects within their respective jurisdictions that best reflect the goals and objectives of this division.

**75217.** The executive director of the council shall report the progress on the implementation of the program in its annual report required pursuant to subdivision (e) of Section 75125.

## **PART 2. Transit and Intercity Rail Capital Program**

**75220.** (a) The Transit and Intercity Rail Capital Program is hereby created to fund capital improvements and operational investments that will reduce greenhouse gas emissions, modernize California's intercity, commuter, and urban rail systems to achieve all of the following policy objectives:

(1) Reduce greenhouse gas emissions.

(2) Expand and improve rail service to increase ridership.

(3) Integrate the rail service of the state's various rail operators, including integration with the high-speed rail system.

(4) Improve rail safety.

(b) The Transportation Agency shall evaluate applications for funding under the program consistent with the criteria set forth in this chapter and prepare a list of projects recommended for funding. The list may be revised at any time.

(c) The California Transportation Commission shall award grants to applicants pursuant to the list prepared by the Transportation Agency.

**75221.** (a) Projects eligible for funding under the program include, but are not limited to, all of the following:

(1) Rail capital projects, including acquisition of rail cars and locomotives, that expand,

*enhance, and improve existing rail systems and connectivity to existing and future rail systems, including the high-speed rail system.*

*(2) Intercity and commuter rail projects that increase service levels, improve reliability, and decrease travel times.*

*(3) Rail integration implementation, including integrated ticketing and scheduling systems, shared-use corridors, related planning efforts, and other service integration initiatives.*

*(4) Bus rapid transit and other bus transit investments to increase ridership and reduce greenhouse gas emissions.*

*(b) In order to be eligible for funding under the program, a project shall demonstrate that it will achieve a reduction in greenhouse gas emissions.*

*(c) The program shall have a programmatic goal of providing at least 25 percent of available funding to projects benefiting disadvantaged communities, consistent with the objectives of Chapter 830 of the Statutes of 2012.*

*(d) In evaluating grant applications for funding, the Transportation Agency shall consider both of the following:*

*(1) The cobenefits of projects that support implementation of sustainable communities strategies through one or more of the following:*

*(A) Reducing auto vehicles miles traveled through growth in rail ridership.*

*(B) Promoting housing development in the vicinity of rail stations.*

*(C) Expanding existing rail and public transit systems.*

*(D) Implementing clean vehicle technology.*

*(E) Promoting active transportation.*

*(F) Improving public health.*

*(2) The project priorities developed through the collaboration of two or more rail operators and any memoranda of understanding between state agencies and local or regional rail operators.*

*(3) Geographic equity.*

*(4) Consistency with the adopted sustainable communities strategies and the recommendations of regional agencies.*

*(e) Eligible applicants under the program shall be public agencies, including joint powers agencies, that operate existing or planned regularly scheduled intercity or commuter passenger rail service or urban rail transit service. An eligible applicant may partner with transit operators that do not operate rail service on projects to integrate ticketing and scheduling with bus or ferry service.*

*(f) A recipient of funds under the program may combine funding from the program with other funding, including, but not limited to, the State Transportation Improvement Program, the Low Carbon Transit Operations Program, the State Air Resources Board clean vehicle program, and state transportation bond funds.*

**75222.** *(a) Applications for grants under the program shall be submitted to the Transportation Agency for evaluation in accordance with procedures and program guidelines adopted by the agency.*

*(b) The Transportation Agency shall conduct at least two public workshops on draft program guidelines containing selection criteria prior to adoption and shall post the draft guidelines on the agency's Internet Web site at least 30 days prior to the first public workshop. Concurrent with the posting, the agency shall transmit the draft guidelines to the fiscal committees and to the appropriate policy committees of the Legislature.*

*(c) Chapter 3.5 (commencing with Section 11340) of Part 1 of Division 3 of Title 2 of the Government Code does not apply to the development and adoption of procedures and program guidelines for the program pursuant to this section.*

### **PART 3. Low Carbon Transit Operations Program**

**75230.** *(a) The Low Carbon Transit Operations Program is hereby created to provide operating and capital assistance for transit agencies to reduce greenhouse gas emissions and improve mobility, with a priority on serving disadvantaged communities.*

*(b) Funding for the program is continuously appropriated pursuant to Section 39719 of the Health and Safety Code from the Greenhouse Gas Reduction Fund established pursuant to Section 16428.8 of the Government Code.*

*(c) Funding shall be allocated by the Controller consistent with the requirements of this part and with Section 39719 of the Health and Safety Code, upon a determination by the Department of Transportation that the expenditures proposed by a transit agency meet the requirements of this part and guidelines developed pursuant to subdivision (f), and the amount of funding requested that is currently available.*

*(d) Moneys for the program shall be expended to provide transit operating or capital assistance that meets all of the following criteria:*

*(1) Expenditures supporting new or expanded bus or rail services, or expanded intermodal transit facilities, and may include equipment acquisition, fueling, and maintenance, and other costs to operate those services or facilities.*

*(2) The recipient transit agency demonstrates that each expenditure directly enhances or expands transit service to increase mode share.*

*(3) The recipient transit agency demonstrates that each expenditure reduces greenhouse gas emissions.*

*(e) For transit agencies whose service areas include disadvantaged communities as identified pursuant to Section 39711 of the Health and Safety Code, at least 50 percent of the total moneys received pursuant to this chapter shall be expended on projects or services that meet requirements of subdivision (d) and benefit the disadvantaged communities, consistent with the guidance developed by the State Air Resources Board pursuant to Section 39715 of the Health and Safety Code.*

*(f) The Department of Transportation, in coordination with the State Air Resources Board, shall develop guidelines that describe the methodologies that recipient transit agencies shall use to demonstrate that proposed expenditures will meet the criteria in subdivisions (d) and (e) and establish the reporting requirements for documenting ongoing compliance with those criteria.*

*(g) Chapter 3.5 (commencing with Section 11340) of Part 1 of Division 3 of Title 2 of the Government Code does not apply to the development of guidelines for the program pursuant to this section.*

*(h) A transit agency shall submit the following information to the Department of Transportation before seeking a disbursement of funds pursuant to this part:*

*(1) A list of proposed expense types for anticipated funding levels.*

*(2) The documentation required by the guidelines in developed pursuant to subdivision (f) to demonstrate compliance with subdivisions (d) and (e).*

*(i) Before authorizing the disbursement of funds, the department, in coordination with the State Air Resources Board, shall determine the eligibility, in whole or in part, of the proposed list of expense types, based on the documentation provided by the recipient transit agency to ensure ongoing compliance with the guidelines developed pursuant to subdivision (f).*

*(j) The department shall notify the Controller of approved expenditures for each transit agency, and the amount of the allocation for each transit agency determined to be available at that time of approval.*

*(k) The recipient transit agency shall provide annual reports to the Department of Transportation, in the format and manner prescribed by the department, consistent with the internal administrative procedures for use of fund proceeds developed by the State Air Resources Board.*

*(l) The Department of Transportation and recipient transit agencies shall comply with the guidelines developed by the State Air Resources Board pursuant to Section 39715 of the Health and Safety Code to ensure that the requirements of Section 39714 of the Health and Safety Code are met to maximize the benefits to disadvantaged communities as described in Section 39711 of the Health and Safety Code.*

## **VIII. SB 852 (FY 14-15 Budget Allocations)**

### **A. For Affordable Housing and Sustainable Communities**

0650-101-3228—For local assistance, Office of Planning and Research, Program 31-Strategic Growth Council ( ) .....\$129,201,000

1. The funds appropriated in this item may be available for transfer to the Department of Transportation, the Department of Housing and Community Development, the Department of Conservation, and the Natural Resources Agency for support costs and local assistance associated with administering the affordable housing and sustainable communities program.
2. Notwithstanding any other provision of law, the funds appropriated in this item shall be available for expenditure and encumbrance until June 30, 2017, for support and local assistance.

### **B. State Transit Assistance (Low Carbon Transit Operations)**

2640-101-3228—For local assistance, State Transit Assistance, for allocation by the Controller pursuant to subdivisions (b) and (c) of Section 99312, Section 99313, and Section 99314 of the Public Utilities Code ( ).....\$25,000,000

1. Notwithstanding Sections 99313 and 99314 of the Public Utilities Code, not more than \$14,355 of the amount appropriated in this item shall reimburse the Controller for expenditures for administration of State Transit Assistance funds.
2. Funds appropriated in this item shall not be allocated prior to the enactment of implementing legislation and fulfillment of any specified requirement of that legislation. This implementing legislation shall establish requirements that funds be used to support additional transit services that result in additional greenhouse gas emission reductions to further the regulatory purposes of the California Global Warming Solutions Act of 2006, in accordance with Chapter 4.1 (commencing with Section 39710) of Part 2 of Division 26 of the Health and Safety Code, including the recommendations of the investment plan, and Article 9.7 (commencing with Section 16428.8) of Chapter 2 of Part 2 of Division 4 of Title 2 of the Government Code.

**C. Transit and Intercity Rail Capital Program**

Page 117 -118. 2660-101-3228—For local assistance, Department of Transportation, payable from the Greenhouse Gas Reduction Fund .... 24,791,000

1. Funds appropriated in this item shall be available for transit and intercity rail capital programs for allocation by the California Transportation Commission until June 30, 2016, and available for encumbrance and liquidation until June 30, 2020.
2. Notwithstanding any other provision of law, funds appropriated in this item may be transferred to Item 2660-301-3228. These transfers shall require the prior approval of the Department of Finance.

**D. General Provision Relating to Timing of Allocations**

(Page 683) SEC. 15.13. (a) Any appropriation from the Greenhouse Gas Reduction Fund, except for (1) appropriations in Items 2665-301-3228 and 2665-306-3228 and (2) appropriations for state operations expenditures necessary for program administration, including statewide coordination and reporting activities by the State Air Resources Board for cap and trade expenditures, shall be subject to the restrictions specified in subdivision (b).

(b) No department shall encumber or commit more than 75 percent of any appropriation prior to the fourth cap and trade auction in the 2014–15 fiscal year. Upon determination of the final amount of auction proceeds after the fourth cap and trade auction, the Department of Finance shall make a final determination for the expenditure of the remaining auction proceeds. The Department of Finance shall notify the Joint Legislative Budget Committee no later than 30 days after the final determination.



AFFORDABLE HOUSING AND SUSTAINABLE COMMUNITIES PROGRAM

# Overview of Affordable Housing and Sustainable Communities Program Concept

Strategic Growth Council  
July 10, 2014

AFFORDABLE HOUSING AND SUSTAINABLE COMMUNITIES PROGRAM

## Proposed Timeline

Mid AUGUST 2014	Three Public Workshops on Guideline Development
Early OCTOBER 2014	Draft Guidelines presented to Council
OCTOBER 2014	Three Public Workshops on Draft Guidelines
DECEMBER 2014	Final Guidelines presented to Council for Approval
JANUARY 2015	Funding Solicitation Released
APRIL 2015	Applications Due
JUNE 2015	Awards

# 2014-15 Greenhouse Gas Reduction Fund Investments

Category	Department	Program	2014-15
Sustainable Communities and Clean Transportation	High-Speed Rail Authority	High-Speed Rail Project	\$250 m
	State Transit Assistance	Low Carbon Transit Operations Program	\$25 m
	Caltrans	Transit and Intercity Rail Capital Program	\$25 m
	<b>Strategic Growth Council</b>	<b>Affordable Housing and Sustainable Communities Program</b>	<b>\$130 m</b>
	Air Resources Board	Low Carbon Transportation	\$200 m
Energy Efficiency and Clean Energy	Dept. of Community Services and Development	Energy Efficiency Upgrades/Weatherization	\$75 m
	Energy Commission	Energy Efficiency for Public Buildings	\$20 m
	Dept. of Food and Agriculture	Agricultural Energy and Operational Efficiency	\$15 m
Natural Resources and Waste Diversion	Dept. of Fish and Wildlife	Wetlands and Watershed Restoration	\$25 m
	Dept. of Forestry and Fire Protection	Fire Prevention and Urban Forestry Projects	\$42 m
	Cal Recycle	Waste Diversion	\$25 m
TOTAL			<b>\$832 m</b>

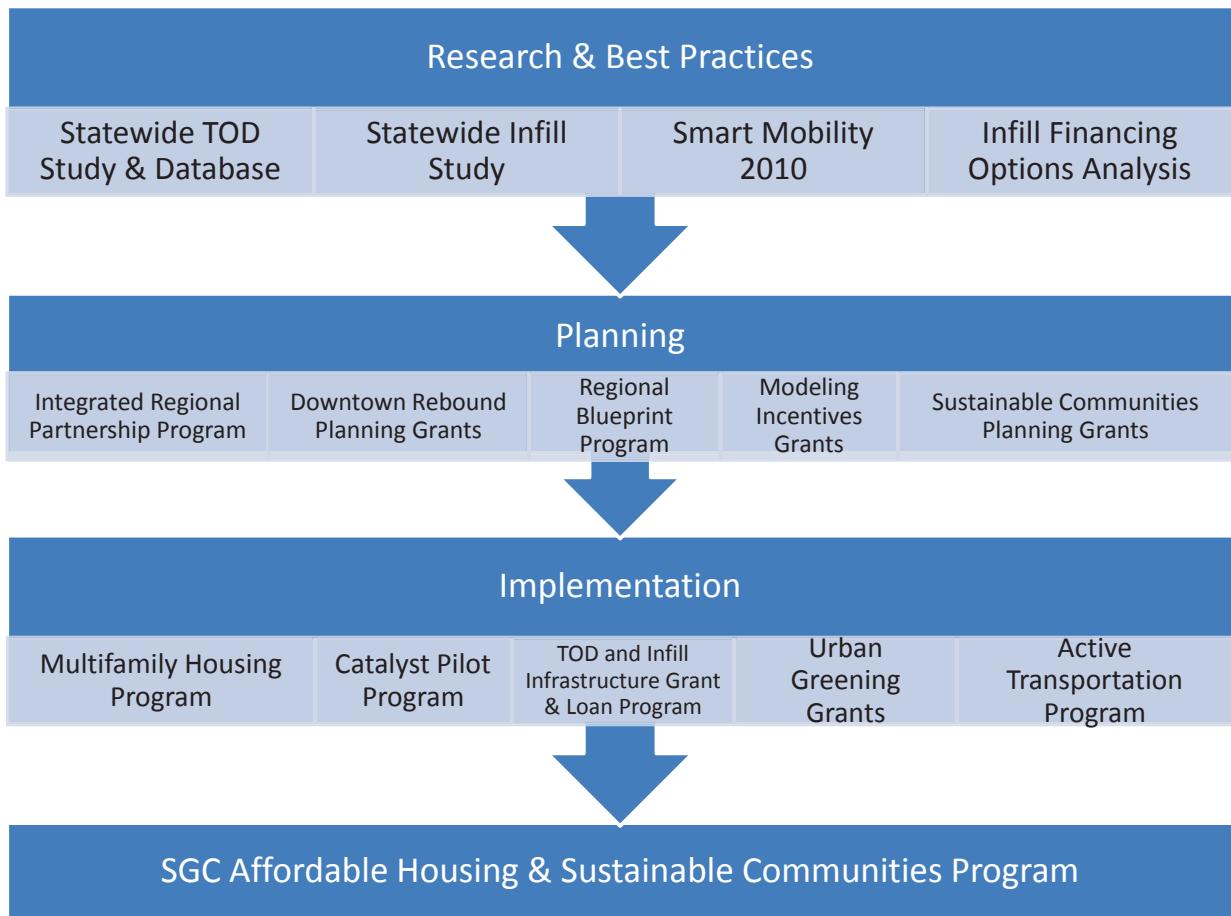


## Legal Background for the Affordable Housing and Sustainable Communities Program

2006	AB 32	California Global Warming Solutions Act
2008	SB 375	Sustainable Communities and Climate Protection Act
2012	SB 535	Requires auction proceeds benefit and invest in Disadvantaged Communities
2012	AB 1532	Establishes public process and directs funds to reduce GHGs and achieve co-benefits
2012	SB 1018	Established the Greenhouse Gas Reduction Fund and accountability requirements
2014	SB 862	Created the Affordable Housing and Sustainable Communities Program

## Role of the California Air Resources Board

## Evolution of State Support for Sustainable Communities



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AFFORDABLE HOUSING AND SUSTAINABLE COMMUNITIES PROGRAM

## Examples of Sustainable Communities Implementation

# HCD Proposition 1C Programs

## Transit-Oriented Development (TOD) and Infill Infrastructure (Infill) Programs

TOD		Infill
\$300M		\$850M
Housing Loans	Infrastructure Grants	Infrastructure Grants
64% to Loans 34 contracts	36% to Grants 14 contracts	100% Grants 124 contracts
Housing Project must be within ¼ mile of qualifying transit station		Project must be a qualifying infill site
Loans Fund: affordable housing	Grants Fund: Wide variety of infrastructure including streets, sidewalks, sewer, utilities, bike and pedestrian improvements, transit stations and linkages, parks, traffic mitigation – in support of a qualifying project.	

\*HCD has administered three rounds of funding for these programs

7/10/2014

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## TOD/Infill Program Examples

**1050 B St., San Diego**  
\$4M TOD Grant  
229 Affordable Units



**MacArthur Transit Village, Oakland**  
\$17M TOD Grant  
\$17M Infill Grant  
448 Total Units  
89 Affordable



**MacArthur Park Apartments, Westlake MacArthur Station, LA**  
\$16M TOD Loans, \$1M TOD Grant  
172 Affordable Units



**Union City Intermodal, Union City**  
\$8M TOD Grant  
344 Total Units  
155 Affordable

7/10/2014

## Affordable Housing and Sustainable Communities Program Concept

The SGC is authorized to fund land-use, housing, transportation, and land preservation projects to support infill and compact development that reduce GHG emissions. These projects facilitate the reduction of the emissions of GHGs by improving mobility options and increasing infill development, which decrease vehicle miles traveled and by reducing land conversion, resulting in a reduction of GHG and other emissions.

((Sec. 1(a)(7)(D), SB 862, Chapter 36, Stats. 2014 )

## Statutory Requirements

- Demonstrate GHG reductions
- Consistent with State Planning Priorities
- Implement regional Sustainable Communities Strategy\*
- 50% of funding invested:
  - To benefit Disadvantaged Communities
  - To provide housing opportunities for lower income households

\*If a Sustainable Communities Strategy is not required for a region by law, a regional plan that includes policies and programs to reduce greenhouse gas emissions will meet this requirement.

## Eligible Uses

*Statutorily-eligible projects for funding include the following (PRC Sec. 75212):*

- Affordable Housing
- Transit
- Active Transportation
- Non-infrastructure Active Transportation Projects
- Transit-Oriented Development Projects
- Complete Streets Capital Projects
- Other GHG and Criteria Air Pollutant Reduction projects or programs
- Ag land protection strategies that support infill development
- SCS Implementation Plans

## Proposed Program Structure

- SGC provides central authority for program implementation
- Proposed parallel structure for implementation
  - AHSC component administered by HCD on behalf of SGC
  - Ag lands component administered by Natural Resources Agency on behalf of SGC
- Recommended distribution through a competitive process
- Funding would be distributed as loans and grants, as appropriate

## Public Policy Objectives

*Projects are also to support related and coordinated public policy objectives, including:*

- Reducing air pollution
- Improving conditions in disadvantaged communities
- Supporting or improving public health
- Improving connectivity and accessibility to jobs, housing and services
- Increasing options for mobility, including active transportation
- Promoting water conservation
- Increasing transit ridership
- Preserving and developing affordable housing for lower income households
- Protecting agricultural lands to support infill development
- Project scoring criteria shall support benefits per AB 1532 and SB 535 and other co-benefits

# Roles and Responsibilities

## Strategic Growth Council

- Policy Direction
- Approve Guidelines
- Approve Projects for Funding
- Oversight of Implementation

## SGC & Member Agency Staff

- Hosts Public Guideline Workshops
- Develops Draft Guidelines
- Oversees Proposal Review Process

## Implementing Agencies

- Contracts with Awardees
- Manages Contracts
- Monitors Implementation
- Reports to SGC on Project Progress

California  
Strategic Growth Council

AFFORDABLE HOUSING AND SUSTAINABLE COMMUNITIES PROGRAM

## Role of Metropolitan Planning Organizations (MPOs)

- Per SB 862, “council shall coordinate with the metropolitan planning organizations and other regional agencies to identify and recommend projects within their respective jurisdictions that best reflect the goals and objectives of this division.”



## Proposed Timeline

Mid AUGUST 2014	Three Public Workshops on Guideline Development
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Questions/Comments?

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Cap-and-Trade Auction Proceeds:  
Greenhouse Gas Emission Reduction Fund Investments  
**Air Resources Board Roles and Upcoming Milestones**

Air Resources Board (ARB) Statutory Responsibilities:

- Administer the Greenhouse Gas Reduction Fund (GGRF)
- Develop funding guidelines for agencies that receive GGRF appropriations that cover:
  - Expenditure record requirement to ensure investments further the purposes of AB 32
  - SB 535 requirement to maximize the benefits of investments to disadvantaged communities; California Environmental Protection Agency (CalEPA) to identify the communities and consult with ARB
  - Methodologies to quantify greenhouse gas emission reductions and other co-benefits
  - Project tracking and reporting to provide accountability and transparency
- Provide consultation to the Strategic Growth Council on development of Affordable Housing and Sustainable Communities Implementation Program
- Provide consultation to Department of Transportation on Low Carbon Transit Operations
- Manage the GGRF appropriation for Low Carbon Transportation
- Hold a public hearing on the triennial investment plan

**Near-Term Milestones for Program Implementation**

<i>July 2014</i>	<ul style="list-style-type: none"> <li>• ARB releases Interim Guidance for expenditure records and fiscal procedures</li> </ul>
<i>Aug 2014</i>	<ul style="list-style-type: none"> <li>• CalEPA releases the draft cutpoint for identification of disadvantaged communities for public comment (based on CalEnviroScreen 2.0)</li> <li>• ARB releases preliminary concepts for Interim Guidance on investment in disadvantaged communities for public comment</li> <li>• CalEPA and ARB hold joint public workshops on identification of disadvantaged communities and preliminary concepts for Interim Guidance</li> </ul>
<i>Sep 2014</i>	<ul style="list-style-type: none"> <li>• CalEPA finalizes identification of disadvantaged communities</li> <li>• ARB staff updates the Board at its September 18-19 public meeting and receives feedback on development of Interim Guidance</li> <li>• ARB releases Interim Guidance for use by State and local agencies</li> </ul>
<i>Oct 2014 to Mid 2015</i>	<ul style="list-style-type: none"> <li>• ARB, in consultation with CalEPA and administering agencies, develops full funding guidelines, holds public workshops to solicit public comment, and presents proposed guidelines to the Board for approval</li> <li>• ARB and agencies begin developing methodologies to quantify greenhouse gas reductions and other co-benefits; ARB will prioritize programs using emission reductions as a criterion in a competitive process to select projects</li> <li>• Administration begins process to update the three-year investment plan (due Jan 2016)</li> </ul>

# REPORT

**DATE:** August 7, 2014

**TO:** Executive/ Administrative Committee (EAC)  
Regional Council (RC)

**FROM:** Basil Panas, Chief Financial Officer; (213) 236-1817; panas@scag.ca.gov

**SUBJECT:** CFO Monthly Report

**EXECUTIVE DIRECTOR'S APPROVAL:** 

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**RECOMMENDED ACTION:**

For Information Only-No Action Required.

**STRATEGIC PLAN:**

This item supports SCAG's Strategic Plan Goal, 3: Enhance the Agency's Long Term Financial Stability and Fiscal Management.

**CPG FUNDING**

The California Department of Transportation (Caltrans) has been informed by the US Department of Transportation that the Federal Highway Trust Fund is expected to become insolvent by the end of July. Consequently, the state will receive a reduced amount after that date if there is no congressional action. The impact to SCAG would be a reduction of 30% in monthly reimbursements from Caltrans. Caltrans has informally indicated that it can make up the difference to SCAG for a short time. After that, SCAG would rely on its reserves and its \$6.5 million line of credit at Bank of the West to cover the shortfall. At the time of writing, congressional action seems imminent.

**RISK MANAGEMENT**

At its annual Board of Directors meeting on July 16, 2014, the California Joint Powers Insurance Authority (JPIA) presented SCAG with an award for Best Overall Performance Liability Program. This is the fifth award that SCAG has earned during its 11 years of membership with the JPIA. SCAG's Risk Management Committee (made up of SCAG staff) continues to explore ways to reduce the agency's risk profile. The agency took a big step forward when it secured server co-location facilities in Las Vegas and began its conversion to paperless systems.

**BUDGET & GRANTS (B&G):**

On June 30, 2014, SCAG received notification from Caltrans on the approval of SCAG's FY 2014-15 Overall Work Program (OWP).

On July 3, 2014, SCAG received formal notification from Caltrans of the award of six (6) Federal Transportation planning grants for Fiscal Year 2014-15, with a total dollar amount of \$1,112,388, which was the most awarded amount made to any region in the State.

B&G staff, in collaboration with SCAG's Planning Department, is in the process of preparing the 4th Quarter Progress Report of the FY 2013-14 Overall Work Program with preliminary total expenditures for end of the fiscal year due to Caltrans by the July 31<sup>st</sup> deadline.

**CONTRACTS:**

In June 2014, the Contracts Department issued one (1) Request for Proposal (RFP); six (6) contracts; fifteen (15) contract amendments; and 88 Purchase Orders to support ongoing business and enterprise operations. Staff also administered 85 consultant contracts.

Contracts staff continued to negotiate better pricing and reduced costs for services. During the month of June 2014, over \$213,776 in budget savings was realized, bringing the total fiscal year savings to approximately \$414,554.

**ATTACHMENT:**

June 2014 CFO Monthly Status Report



SOUTHERN CALIFORNIA  
**ASSOCIATION of GOVERNMENTS**

# **Office of the Chief Financial Officer**

Monthly Status Report

**JUNE 2014**



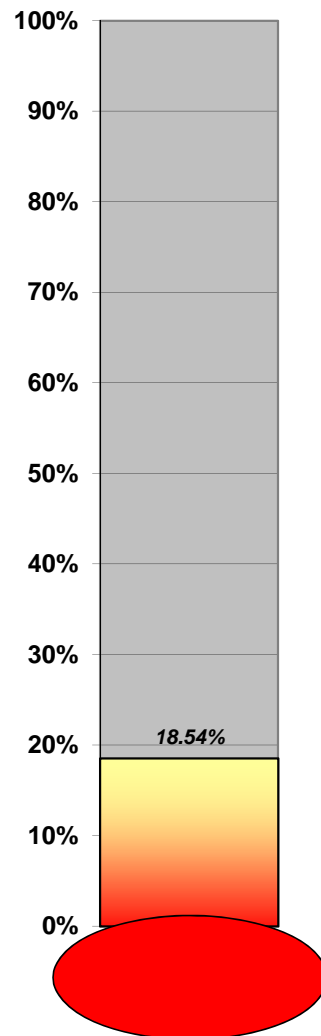
### OVERVIEW

As of July 9, 2014, 58 cities have renewed their membership while 131 cities have not yet renewed. No cities' dues have been waived and there is one (1) city in the SCAG region which is still being recruited for membership.

### SUMMARY

FY15 Membership Dues	<u>\$ 1,912,751.73</u>
Total Collected	<u>\$ 354,638.00</u>
Percentage Collected	<u>18.54%</u>

### FY15 Membership Dues Collected

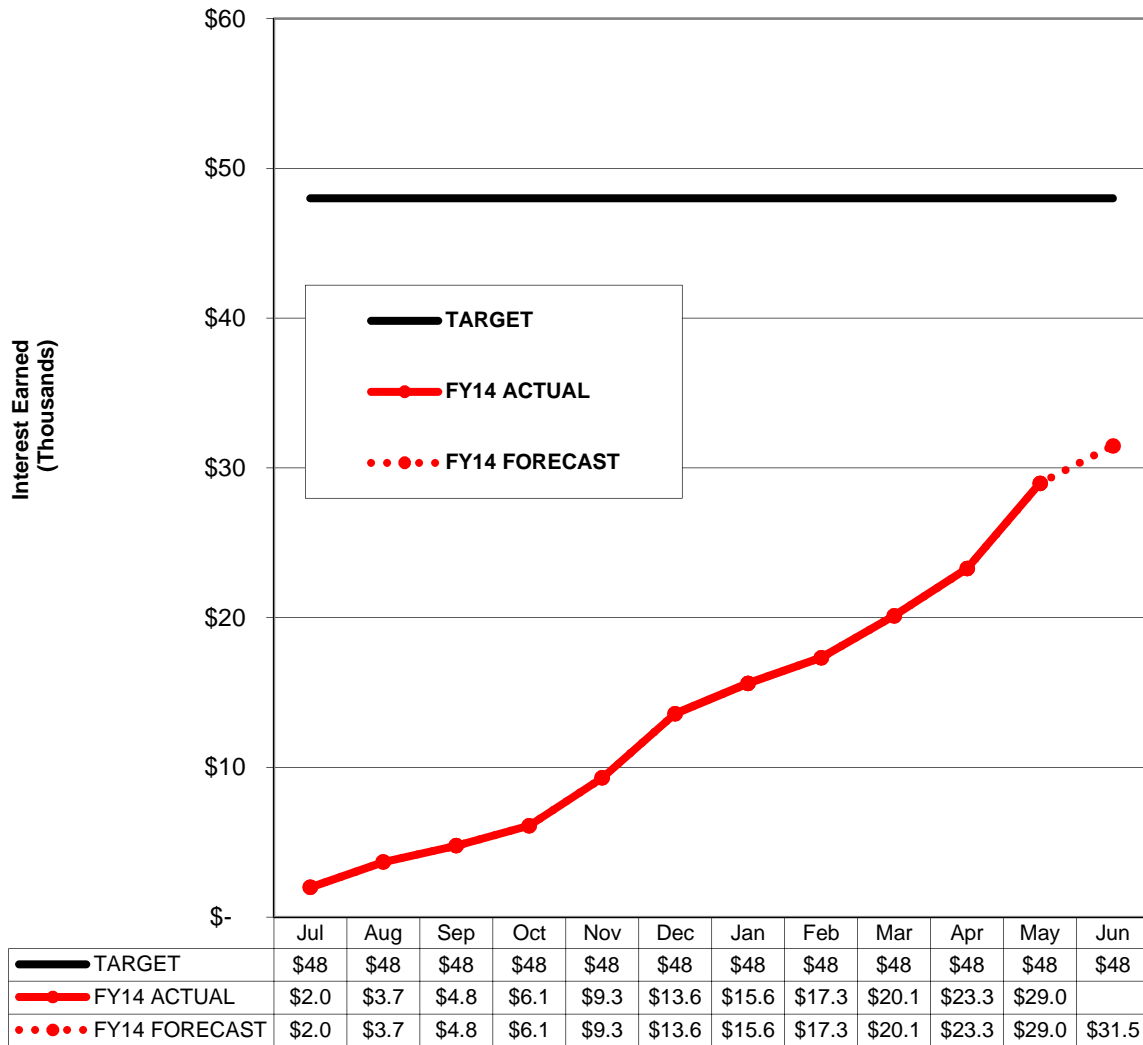


**Office of the CFO**  
*Interest Earnings Variance*



**SOUTHERN CALIFORNIA  
ASSOCIATION of GOVERNMENTS**

**INTEREST EARNINGS VARIANCE**



**OVERVIEW**

Actual interest income is plotted against the target amount. The amount earned through May was \$28,962. The LA County Pool earned 0.66% in May.

**SUMMARY**

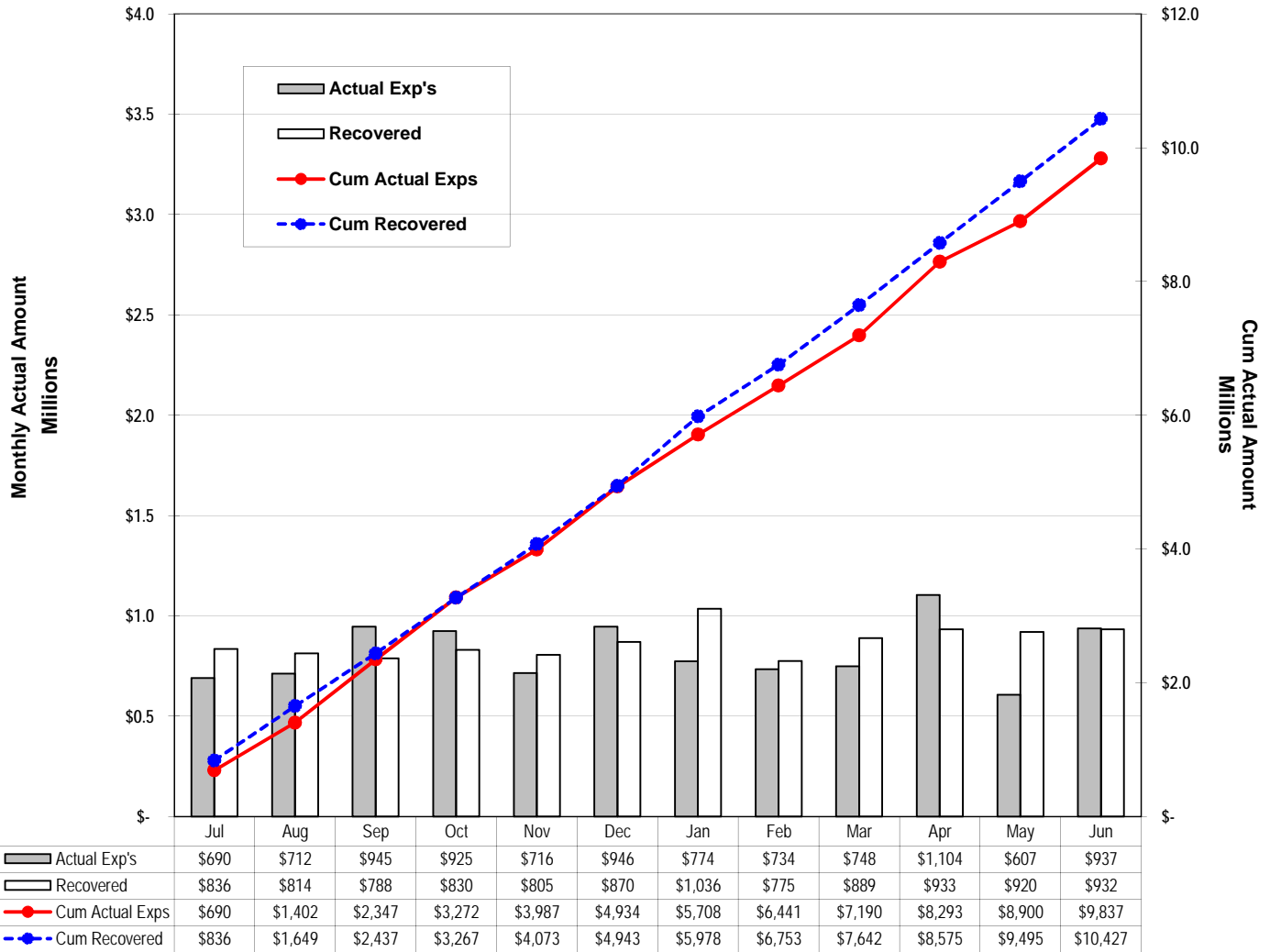
The amount projected for FY14 is \$31,462, which is \$16,538 less than the target.





SOUTHERN CALIFORNIA  
ASSOCIATION of GOVERNMENTS

FY14 INDIRECT COST & RECOVERY



OVERVIEW

A comparison of Indirect Cost (IC), incurred by SCAG vs. IC recovered from SCAG's grants.

SUMMARY

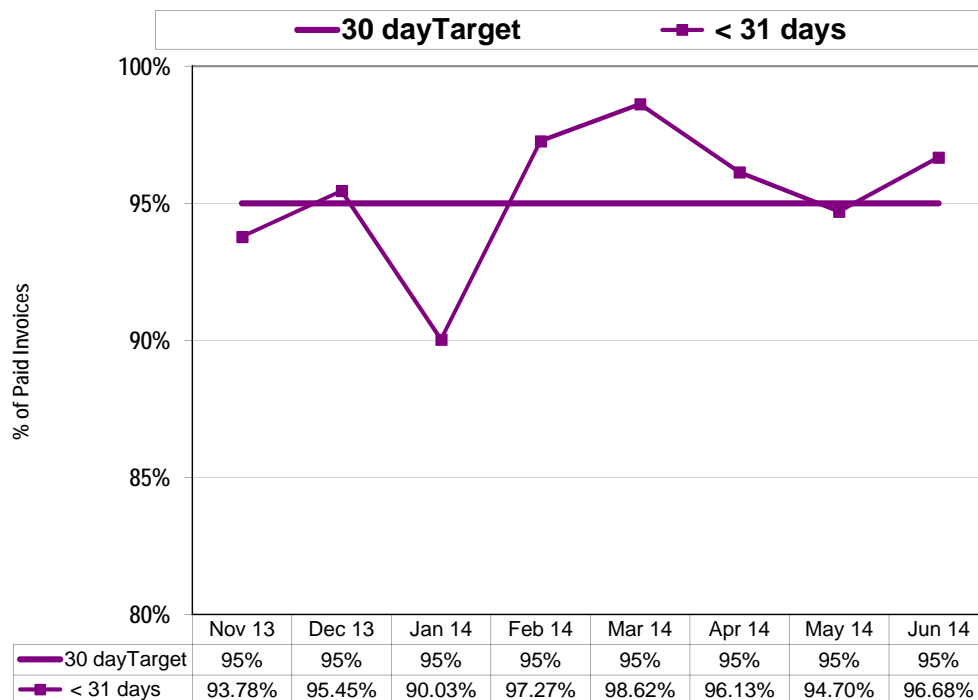
Through June 2014, SCAG was over-recovered by \$590,541 due to the IC budget being underspent.

Office of the CFO  
Invoice Aging



SOUTHERN CALIFORNIA  
ASSOCIATION of GOVERNMENTS

### INVOICE AGING



### OVERVIEW

The percent of total invoices paid within 30 days. The target is to pay 95% of all invoices within 30 days. This goal was met.

### SUMMARY

96.68% of June 2014's payments were made within 30 days of invoice receipt.

At month-end, 42 invoices remained unpaid less than 30 days.

Actual

### OVERVIEW

The percent of total invoices paid within 60 and 90 days. The target is to pay 98% of invoices within 60 days and 100% within 90 days.

### SUMMARY

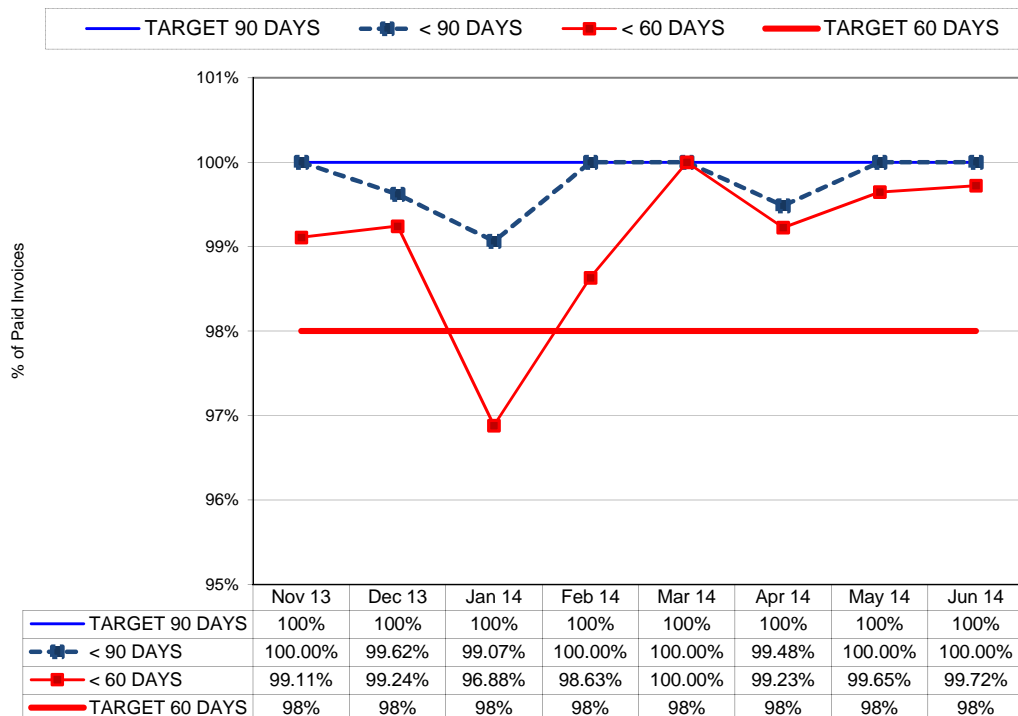
These goals were met during this period.

99.72% of June 2014's payments were within 60 days of invoice receipt and 100.00% within 90 days. Invoices unpaid 30-60 days totaled 6; 60-90 days: 3; >90 days: 2.



SOUTHERN CALIFORNIA  
ASSOCIATION of GOVERNMENTS

### INVOICE AGING



Office of the CFO  
Consolidated Balance Sheet

	5/31/2014	6/30/2014	Incr (decr) to equity	COMMENTS
Cash at Bank of the West	\$ 767,153	\$ 1,427,231		
LA County Investment Pool	\$ 10,416,872	\$ 9,422,562		
Cash & Investments	\$ 11,184,025	\$ 10,849,794	\$ (334,231)	Accounts receivable increased
Accounts Receivable	\$ 5,383,468	\$ 5,769,541	\$ 386,074	Non-CPG grant receivables increased
Fixed Assets - Net Book Value	\$ 551,101	\$ 536,464	\$ (14,637)	Depreciation
Total Assets	\$ 17,118,594	\$ 17,155,799	\$ 37,205	
Accounts Payable	\$ (682,261)	\$ (589,642)	\$ 92,619	Invoice backlog was reduced
Employee-related Liabilities	\$ (497,815)	\$ (560,670)	\$ (62,855)	May had ten unpaid working days, June had eleven
Other Current Liabilities	\$ (940,478)	\$ (686,352)	\$ 254,126	Prepaid expenses increased
Deferred Revenue	\$ (609,401)	\$ (876,074)	\$ (266,673)	Increase is FY15 dues paid early
Total Liabilities and Deferred Revenue	\$ (2,729,955)	\$ (2,712,738)	\$ 17,217	
Fund Balance	\$ 14,388,638	\$ 14,443,061	\$ 54,422	
<b>WORKING CAPITAL</b>				
	5/31/2014	6/30/2014	Incr (decr) to working capital	
Cash	\$ 11,184,025	\$ 10,849,794	\$ (334,231)	
Accounts Receivable	\$ 5,383,468	\$ 5,769,541	\$ 386,074	
Accounts Payable	\$ (682,261)	\$ (589,642)	\$ 92,619	
Employee-related Liabilities	\$ (497,815)	\$ (560,670)	\$ (62,855)	
Working Capital	\$ 15,387,417	\$ 15,469,023	\$ 81,606	

**Office of the CFO**  
Fiscal Year-To-Date Expenditure Report Through June 30, 2014



**SOUTHERN CALIFORNIA  
ASSOCIATION of GOVERNMENTS**

**COMPREHENSIVE BUDGET**

		<b>Adopted Budget</b>	<b>Amended Budget</b>	<b>Expenditures</b>	<b>Commitments</b>	<b>Budget Balance</b>	<b>% Budget Spent</b>
1	Staff & Allocated Fringe Benefits	149,472	309,764	309,733		31	100.0%
2	51001 Allocated Indirect Costs	130,526	270,103	270,087		16	100.0%
3	54300 SCAG Consultants	324,000	278,511	90,101	186,797	1,613	32.4%
4	54340 Legal costs	25,000	129,000	63,840	65,160	0	49.5%
5	54350 Professional Services	120,000	13,500	11,319	2,182	0	83.8%
6	55441 Payroll, bank fees	13,000	14,000	12,770		1,230	91.2%
7	55510 Office Supplies		20,000	19,520	480	0	97.6%
8	55600 SCAG Memberships	135,300	45,192	29,723	15,469	0	65.8%
9	55610 Professional Membership		13,000	11,542	531	927	88.8%
10	55730 Capital Outlay	677,106	559,606	-		559,606	0.0%
11	55860 Scholarships		14,000	14,000		0	100.0%
12	55910 RC/Committee Meetings	50,000	-	-	0	0	
13	55914 RC General Assembly	330,000	340,000	339,449	552	0	99.8%
14	55915 Demographic Workshop		12,000	8,108	2,421	1,471	67.6%
15	55916 Economic Summit	-	65,000	64,951		49	99.9%
16	55917 Labor Summit	-	13,450	13,408	0	42	99.7%
17	55920 Other Meeting Expense	90,000	91,000	61,488	29,512	0	67.6%
18	55930 Miscellaneous other	319,374	103,175	24,662	27,071	51,442	23.9%
19	55940 Stipend - RC Meetings	180,000	180,000	177,090	0	2,910	98.4%
20	55972 Rapid Pay Fees	975	975	-		975	0.0%
21	56100 Printing	6,000	1,900	1,252	225	423	65.9%
22	58100 Travel - outside SCAG region	37,200	44,350	32,182	0	12,168	72.6%
23	58101 Travel - local	21,100	22,969	20,500	0	2,469	89.2%
24	58110 Mileage - local	14,000	24,000	21,454	0	2,546	89.4%
25	58150 Staff Lodging Expense	3,500	3,500	1,592		1,908	45.5%
26	58800 RC Sponsorships	66,400	96,000	95,540		460	99.5%
27	<b>Total General Fund</b>	<b>2,692,953</b>	<b>2,664,995</b>	<b>1,694,310</b>	<b>330,399</b>	<b>640,285</b>	<b>63.6%</b>
28				-			
29	Staff & Fringe Benefits	12,164,400	12,339,666	11,648,160		691,506	94.4%
30	51001 Allocated Indirect Costs	10,622,486	10,860,037	10,157,195		702,842	93.5%
31	54300 SCAG Consultants *	11,818,643	13,456,422	3,491,704	7,806,884	2,157,833	25.9%
32	54350 Professional Services	889,000	914,000	630,658	283,342	0	69.0%
33	55210 Software Support	188,059	217,121	211,163	5,958	0	97.3%
34	55220 Hardware Support	120,000	120,000	12,021	107,979	0	10.0%
35	55280 Third Party Contribution	3,170,438	3,333,545	2,078,104	126,397	1,129,044	62.3%
36	55520 Graphic Supplies	30,000	30,000	2,100	4,066	23,834	7.0%
37	55620 Resource Materials - subscrib	205,000	297,485	129,691	59,542	108,252	43.6%
38	55810 Public Notices	28,000	28,000	14,240	13,760	0	50.9%
39	55830 Conference - Registration	10,500	15,099	15,076	0	23	99.8%
40	55920 Other Meeting Expense	96,000	153,826	7,389		146,437	4.8%
41	55930 Miscellaneous - other	135,953	77,273	37,765	13,354	26,154	48.9%
42	56100 Printing	105,000	111,705	8,524	801	102,380	7.6%
43	58100 Travel	170,700	200,802	126,158	0	74,644	62.8%
44	<b>Total OWP</b>	<b>39,754,179</b>	<b>42,154,981</b>	<b>28,569,946</b>	<b>8,422,084</b>	<b>5,162,951</b>	<b>67.8%</b>
45				-			
46	<b>Comprehensive Budget</b>	<b>42,447,132</b>	<b>44,819,976</b>	<b>30,264,257</b>	<b>8,752,483</b>	<b>5,803,236</b>	<b>67.5%</b>

\* Amount spent will increase when all year-end invoices are processed

**Office of the CFO**

*Fiscal Year-To-Date Expenditure Report Through June 30, 2014*

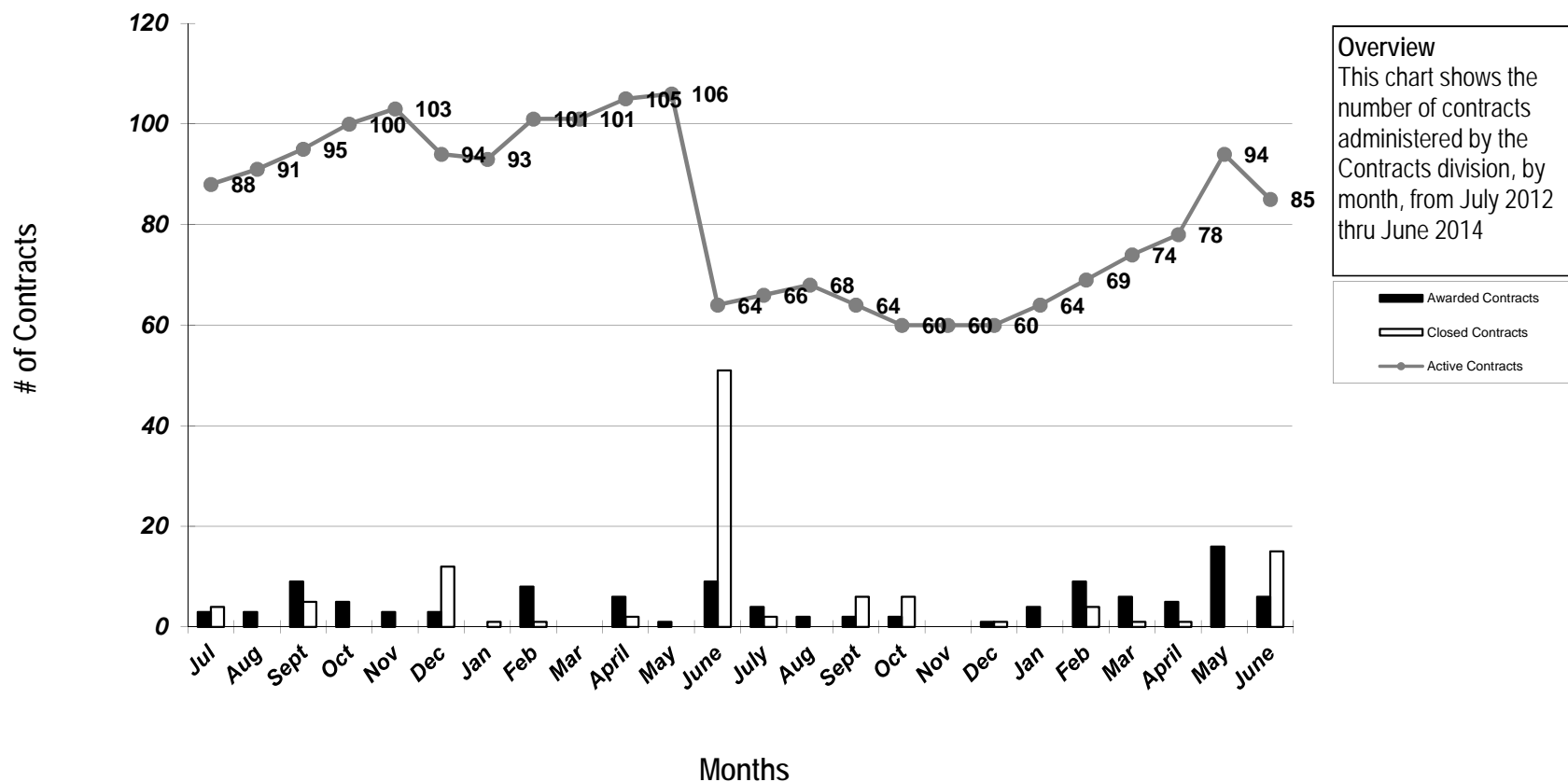


**INDIRECT COST EXPENDITURES**

			<b>Adopted Budget</b>	<b>Amended Budget</b>	<b>Expenditures</b>	<b>Commitments</b>	<b>Budget Balance</b>	<b>% Budget Spent</b>
1	50010	Regular Staff	3,435,840	3,320,678	3,312,482		8,196	99.8%
2	50013	Regular OT	-	6,900	6,538		362	94.8%
3	50014	Interns, Temps, Annuit	20,000	52,453	49,867		2,586	95.1%
4	50030	Severance	-	2,629	2,629		0	100.0%
5	51000	Allocated Fringe Benefits	2,407,072	2,430,746	2,430,268		478	100.0%
6	54300	SCAG Consultants	117,271	368,845	258,086	110,759	0	70.0%
7	54340	Legal	150,000	52,046	27,065	24,981	0	52.0%
8	54350	Prof Svcs	1,498,594	1,138,196	866,733	271,464	0	76.1%
9	55210	Software Support	343,305	398,397	267,331	131,066	0	67.1%
10	55220	Hardware Supp	98,512	70,499	60,046	10,452	0	85.2%
11	55230	Computer Maintenance		20,565	20,564		1	100.0%
12	55240	Repair & Maint Non-IT	20,000	37,500	34,832	2,668	0	92.9%
13	55270	Software Purchases	-	3,060	3,060	0	0	100.0%
14	55400	Office Rent 818 Offices	1,582,877	1,531,877	1,527,173	4,704	0	99.7%
15	55410	Office Rent Satellite	220,328	160,328	146,130	14,198	0	91.1%
16	55420	Equip Leases	117,979	82,979	71,487	11,492	0	86.2%
17	55430	Equip Repairs & Maint	35,000	19,180	15,417	3,763	0	80.4%
18	55440	Insurance *	(126,622)	(126,622)	24,443	1,216	(103,395)	-19.3%
19	55441	Payroll / Bank Fees	10,000	10,000	8,678		1,322	86.8%
20	55460	Mater & Equip < \$5,000	93,600	396,906	16,903	211,895	168,108	4.3%
21	55510	Office Supplies	130,000	82,615	73,499	9,117	0	89.0%
22	55530	Telephone	184,800	199,401	180,584	18,816	0	90.6%
23	55540	Postage	20,000	5,000	48	200	4,752	1.0%
24	55550	Delivery Services	8,500	8,500	4,979	3,522	0	58.6%
25	55600	SCAG Memberships	-	75,016	73,048	1,968	0	97.4%
26	55610	Prof Memberships	1,850	2,030	180	75	1,775	8.9%
27	55620	Res Mats/Subscrip	36,200	38,971	35,490	3,481	0	91.1%
28	55700	Deprec - Furn & Fixt	43,700	45,200	45,174		26	99.9%
29	55710	Deprec - Computer Equipment	95,000	101,500	101,236		264	99.7%
30	55720	Amortiz - Leasehold Improvement	5,300	5,300	4,405		895	83.1%
31	55800	Recruitment Notices	10,000	10,000	9,079	921	0	90.8%
32	55801	Recruitment - other	22,000	19,250	12,356	6,894	0	64.2%
33	55810	Public Notices	25,000	21,000	9,996	11,004	0	47.6%
34	55820	Training	65,000	98,171	53,452	44,719	0	54.4%
35	55830	Conference/workshops	25,850	15,628	8,929	465	6,234	57.1%
36	55920	Other Mtg Exp	1,200	4,563	1,999	480	2,084	43.8%
37	55930	Miscellaneous - other	13,500	7,342	1,150	6,192	0	15.7%
38	55950	Temp Help	23,500	31,386	4,373	27,013	0	13.9%
39	56100	Printing	7,500	7,000	3,845	3,155	0	54.9%
40	58100	Travel - Outside	91,850	73,755	33,613		40,142	45.6%
41	58101	Travel - Local	9,950	12,200	5,255		6,945	43.1%
42	58110	Mileage - Local	40,375	44,625	23,173		21,452	51.9%
43	58150	Staff lodging Expense	3,000	1,650	(150)		1,800	-9.1%
44	58200	Travel - Reg Fees		566	541		25	95.6%
45	58450	Fleet Vehicle	6,500	6,500	757	5,742	0	11.7%
46		<b>Total Indirect Cost</b>	<b>10,894,331</b>	<b>10,894,331</b>	<b>9,836,741</b>	<b>942,422</b>	<b>115,168</b>	<b>90.3%</b>

\* Negative budget reflects the refund that SCAG earned from CalJPIA. The refund itself was booked in FY13 in the Accounting records.

## SCAG Contracts (Year to Date)



### Summary

The chart shows that the Contract Division is managing 85 active consultant contracts. Forty-two of these are Cost Plus Fixed Fee contracts, 13 are fixed price contracts, and the remaining 30 are Time and Materials (T&M) contracts (includes Labor Hour and Retainer contracts). The Contracts Department anticipates issuing approximately 50 contracts during FY 2014-15. Note, due to the nature of SCAG's work, the majority of SCAG contracts have a one year term and end on June 30th each year.



GROUPS	Authorized Positions	Filled Positions	Vacant Positions
Executive	5	4	1
Legal	3	2	1
Strategy, Policy & Public Affairs	21	16	5
Administration	42	36	6
Planning & Programs	66	62	4
<b>Total</b>	<b>137</b>	<b>120</b>	<b>17</b>

**OTHER POSITIONS**

GROUPS	Limited Term Positions	Temp Positions	Agency Temps
Executive	0	0	0
Legal	0	0	0
Strategy, Policy & Public Affairs	0	4	0
Administration	1	2	1
Planning & Programs	0	15	0
<b>Total</b>	<b>1</b>	<b>21</b>	<b>1</b>